### **EXHIBIT G**

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19								
20	UNITED STAT	TES DISTRICT COURT						
	NORTHERN DISTRICT OF CALIFORNIA							
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23	IN RE WELLS FARGO & COMPANY	Lead Case No. 3:16-cv-05541-JST						
24	SHAREHOLDER DERIVATIVE LITIGATION	DECLARATION OF RICHARD M. HEIMANN						
25	LITIOATION	IN SUPPORT OF CO-LEAD COUNSEL'S						
26		MOTION FOR AWARD OF ATTORNEYS' FEES AND REIMBURSEMENT AWARDS TO						
27		CO-LEAD PLAINTIFFS						
28								
		HEIMANN DECL. ISO MOT FOR ATTORNEYS' FEES						

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#### I, RICHARD M. HEIMANN declare and state as follows:

- I am an attorney duly licensed to practice law in the State of California and 1. admitted to practice in this Court and other federal courts. I am a founding partner at the law firm Lieff Cabraser Heimann & Bernstein, LLP ("Lieff Cabraser"), which serves as counsel for Co-Lead Plaintiff Fire and Police Pension Association of Colorado and Co-Lead Counsel in the above-captioned shareholder derivative action (the "Action").
- 2. I have personal knowledge of the matters set forth herein based on my active supervision of and participation in the prosecution and resolution of the Action, and if called upon as a witness, I could and would testify competently thereto.
- 3. I make this Declaration in support of Co-Lead Counsel's motion for an award of attorney's fees in the amount of \$68 million.
- 4. The information in this Declaration and the accompanying exhibits regarding the time spent on the Action by Lieff Cabraser attorneys and support staff is based on contemporaneous daily time records regularly prepared and maintained by my firm in the ordinary course of business. I oversaw and conducted the day-to-day activities in the litigation. Attorneys working under my direction and supervision audited my firm's time records to confirm their accuracy.
- 5. This audit confirmed the accuracy of the time entries as well as the necessity for, and reasonableness of, the time committed to this Action. Only time that inured to the benefit of Co-Lead Plaintiffs and Nominal Defendant Wells Fargo & Company, and that advanced the claims resolved by the settlement is reflected in the firm's lodestar calculation. Accordingly, some reductions were made to time in the exercise of billing judgment. For example, time spent preparing the application for fees has been excluded. In addition, time for timekeepers who worked only a *de minimis* amount of total time on this case (*i.e.*, less than 10 hours) was also removed from the time report.
- 6. I have personal knowledge of the hourly rates charged by Lieff Cabraser attorneys and support staff included in the exhibits to this Declaration. The hourly rates for the attorneys and professional support staff in my firm are the usual and customary rates set by the firm for

each individual. These hourly rates are the same as, or comparable to, the rates accepted by courts in other class action litigation including courts in this Circuit. My firm's rates are set based on periodic analysis of rates charged by firms performing comparable work and that have been approved by courts in other derivative actions and securities class actions within this Circuit and nationwide. Different timekeepers within the same employment category (*e.g.*, partners, associates, paralegals, etc.) may have different rates based on a variety of factors, including years of practice, years at the firm, year in the current position (*e.g.*, years as a partner), relevant experience, relative expertise, and the rates of similarly experienced peers at our firm or other firms. For personnel who are no longer employed by my firm, the lodestar calculation is based upon the billing rates of such personnel in his or her final year of employment by my firm.

- 7. Attached as Exhibit 1 is a true and correct summary lodestar chart which lists: (1) the name of each Lieff Cabraser timekeeper who devoted more than 10 hours to the Action; (2) their title or position (*e.g.*, partner, associate, staff attorney, paralegal); (3) the total number of hours they worked on the Action through and including June 10, 2019; (4) their current hourly rate; and (5) their lodestar. For attorneys or support staff who no longer work with Lieff Cabraser, the current hourly rate is the rate for that individual in his or her final year of work with the firm.
- 8. As reflected in Exhibit 1, the total number of hours expended on this Action by Lieff Cabraser through and including June 10, 2019 is 18,732.6. The total lodestar for my firm for that period is \$9,217,249.
- 9. Attached hereto as Exhibit 2 are true and correct summary descriptions of the primary tasks performed in this Action by each timekeeper listed in Exhibit 1.<sup>1</sup>
- 10. Attached hereto as <u>Exhibit 3</u> are brief biographies for each timekeeper listed in Exhibit 1, including information about his or her position, education, and relevant experience.
- 11. Attached hereto as Exhibit 4 is a true and correct spreadsheet which lists: (1) the name and position of each timekeeper; (2) the hours incurred by that timekeeper in each month in

<sup>&</sup>lt;sup>1</sup> The descriptions of work done that appear in this declaration, including the descriptions appearing in Exhibit 2, are not waivers of work product or attorney-client privilege.

1	each of 10 different task categories; (3) the hourly rate charged for each timekeeper; and (4) his or					
2	her lodestar at the appropriate hourly rate. The time reflected includes time through and					
3	including June 10, 2019.					
4	12. Attached hereto as Exhibit 5 is a true and correct summary chart of certain					
5	information contained in Exhibit 4. Specifically, Exhibit 5 reflects the total hours spent by all of					
6	Lieff Cabraser's timekeepers in each of the 10 task categories during each month. Exhibit 5 also					
7	shows the total lodestar for all timekeepers for each month at their hourly rates.					
8	13. Attached hereto as Exhibit 6 is a true and correct summary chart of certain					
9	information contained in Exhibit 4. Specifically, Exhibit 6 reflects the hours spent during the					
10	entire case by each timekeeper in each of the 10 task categories, and also reflects each					
11	timekeeper's individual hours and lodestar at their rates.					
12	14. Attached hereto as Exhibit 7 is a brief resume describing the background and					
13	experience of my firm.					
14	15. Copies of the four Excel spreadsheets containing Exhibits 1, 4, 5, and 6 will be					
15	lodged with the Courtroom deputy. We will provide the Court with any further documentation or					
16	explanation with respect to our lodestar, including our detailed daily time records, upon request					
17	by the Court.					
18	I declare under penalty of perjury under the laws of the United States of America that the					
19	foregoing is true and correct.					
20	Executed on this 27th day of June, 2019, at San Francisco, California.					
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22	/s/ Richard M. Heimann					
23	Richard M. Heimann					
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In re Wells Fargo & Company Shareholder Derivative Litigation, No. 16-cv-05541-JST

Time Report: Wells Fargo

Firm Name: Lieff, Cabraser, Heimann & Bernstein, LLP
Summary Lodestar Chart
Inception to June 10, 2019

Name	Position	Total Hours	Current Rate	Historical Lodestar	Current Lodestar
RICHARD HEIMANN	PARTNER	407.00	1,075.00	\$422,932.50	\$437,525.00
STEVEN FINEMAN	PARTNER	19.60	950.00	\$17,347.50	\$18,620.00
KELLY DERMODY	PARTNER	11.40	900.00	\$9,690.00	\$10,260.00
JOY KRUSE	PARTNER	521.80	850.00	\$443,207.50	\$443,530.00
DANIEL CHIPLOCK	PARTNER	77.80	775.00	\$54,375.00	\$60,295.00
NICHOLAS DIAMAND	PARTNER	1,500.40	700.00	\$998,247.50	\$1,050,280.00
SHARON LEE	PARTNER	72.90	700.00	\$45,650.00	\$51,030.00
MICHAEL MIARMI	PARTNER	549.40	650.00	\$328,600.00	\$357,110.00
DOUGLAS CUTHBERTSON	PARTNER	11.00	590.00	\$5,690.00	\$6,490.00
KATHERINE LUBIN BENSON	PARTNER	1,906.20	560.00	\$999,182.00	\$1,060,827.00
JOHN NICOLAOU	ASSOCIATE	164.90	510.00	\$72,559.50	\$84,099.00
MIKE SHEEN	ASSOCIATE	1,144.20	480.00	\$529,998.00	\$549,216.00
SEAN PETTERSON	ASSOCIATE	484.40	440.00	\$207,282.00	\$213,136.00
EVAN BALLAN	ASSOCIATE	117.00	395.00	\$43,702.50	\$46,215.00
ABBY BILKISS	STAFF ATTORNEY	769.00	415.00	\$319,135.00	\$319,135.00
BRITT A. CIBULKA	STAFF ATTORNEY	182.50	415.00	\$75,737.50	\$75,737.50
CAMERON SAUNDERS	STAFF ATTORNEY	537.30	415.00	\$222,979.50	\$222,979.50
COLEEN LIEBMANN	STAFF ATTORNEY	259.00	415.00	\$107,485.00	\$107,485.00
ELLA KRAINSKY	STAFF ATTORNEY	64.00	415.00	\$26,560.00	\$26,560.00
JAE PARK	STAFF ATTORNEY	898.00	415.00	\$372,670.00	\$372,670.00
JASON KIM	STAFF ATTORNEY	672.00	415.00	\$278,880.00	\$278,880.00
JERRY SHINDELBOWER	STAFF ATTORNEY	929.00	415.00	\$385,535.00	\$385,535.00
JONATHAN ZAUL	STAFF ATTORNEY	411.10	415.00	\$170,606.50	\$170,606.50
KELLY GRALEWSKI	STAFF ATTORNEY	137.40	415.00	\$57,021.00	\$57,021.00
LEAH NUTTING	STAFF ATTORNEY	1,004.30	415.00	\$416,784.50	\$416,784.50
LINDSAY CARR	STAFF ATTORNEY	224.00	415.00	\$92,960.00	\$92,960.00
MARISSA OH	STAFF ATTORNEY	187.10	415.00	\$77,646.50	\$77,646.50
MICHELLE BAKER	STAFF ATTORNEY	98.00	415.00	\$40,670.00	\$40,670.00
PHIANH NGUYEN	STAFF ATTORNEY	254.00	415.00	\$105,410.00	\$105,410.00
RYAN STURTEVANT	STAFF ATTORNEY	264.00	415.00	\$109,560.00	\$109,560.00
YUN SWENSON	STAFF ATTORNEY	40.30	415.00	\$14,508.00	\$16,724.50
ANDREEA MICLUT	CONTRACT ATTORNEY	969.50	415.00	\$402,342.50	\$402,342.50
DENNY KIM	CONTRACT ATTORNEY	374.50	415.00	\$155,417.50	\$155,417.50
AISHA SAAD	LAW CLERK	48.00	395.00	\$18,960.00	\$18,960.00
RACHEL GREEN	LAW CLERK	60.80	375.00	\$22,800.00	\$22,800.00

In re Wells Fargo & Company Shareholder Derivative Litigation, No. 16-cv-05541-JST

Time Report: Wells Fargo

Firm Name: Lieff, Cabraser, Heimann & Bernstein, LLP

Summary Lodestar Chart Inception to June 10, 2019

Name	Position	Total Hours	Current Rate	Historical Lodestar	Current Lodestar
ALEXANDER ZANE	PARALEGAL/CLERK	47.70	390.00	\$16,972.50	\$18,603.00
BRIAN TROXEL	PARALEGAL/CLERK	28.70	390.00	\$10,573.50	\$11,193.00
ELIZABETH KEENLEY	PARALEGAL/CLERK	10.20	390.00	\$3,723.00	\$3,978.00
RICHARD TEXIER	PARALEGAL/CLERK	1,998.80	390.00	\$737,053.50	\$779,532.00
TODD CARNAM	PARALEGAL/CLERK	77.50	390.00	\$28,722.00	\$30,225.00
CHRISTOPHER MUNOZ	PARALEGAL/CLERK	14.70	370.00	\$5,218.50	\$5,439.00
HANNAH SELHORST	PARALEGAL/CLERK	17.80	370.00	\$5,874.00	\$6,586.00
AIDAN BROWN	PARALEGAL/CLERK	23.20	345.00	\$7,663.50	\$8,004.00
KIRTI DUGAR	LITIGATION SUPPORT / RESEARCH	313.50	495.00	\$147,315.00	\$155,182.50
ANIL NAMBIAR	LITIGATION SUPPORT / RESEARCH	280.00	405.00	\$106,020.00	\$113,400.00
ANTHONY GRANT	LITIGATION SUPPORT / RESEARCH	146.40	405.00	\$56,721.00	\$59,292.00
FAWAD RAHIMI	LITIGATION SUPPORT / RESEARCH	19.60	405.00	\$7,590.00	\$7,938.00
MAJOR MUGRAGE	LITIGATION SUPPORT / RESEARCH	20.20	405.00	\$7,645.50	\$8,181.00
MARGIE CALANGIAN	LITIGATION SUPPORT / RESEARCH	126.70	405.00	\$49,192.50	\$51,313.50
RENEE MUKHERJI	LITIGATION SUPPORT / RESEARCH	24.30	405.00	\$8,846.00	\$9,841.50
RICHARD ANTHONY	LITIGATION SUPPORT / RESEARCH	152.60	405.00	\$58,360.50	\$61,803.00
NIKKI BELUSHKO BARROWS	LITIGATION SUPPORT / RESEARCH	42.20	390.00	\$15,810.00	\$16,458.00
JESSICA MELTSER	LITIGATION SUPPORT / RESEARCH	16.70	345.00	\$5,761.50	\$5,761.50
Total		18,732.60		\$8,931,195.00	\$9,217,249.00

In re Wells Fargo & Co. Shareholder Derivative Litigation, No. 3:16-cv-05541-JST Lieff Cabraser Heimann & Bernstein, LLP Summary of Work Performed<sup>1</sup>

#### **PARTNERS**

**RICHARD M. HEIMANN** (407.00 hours) is a founding partner of Lieff Cabraser Heimann & Bernstein LLP ("Lieff Cabraser") and has been the firm's lead attorney on this case, with primary responsibility over this litigation on a day-to-day basis. In this role, he oversaw the development of Co-Lead Plaintiffs' strategy throughout the litigation and worked with Co-Lead Plaintiff Fire and Police Pension Association of Colorado ("FPPA") and Co-Lead Counsel to efficiently and effectively prosecute the case. Mr. Heimann has appeared most often before the Court on behalf of Co-Lead Plaintiffs at case management conferences and motion hearings during the pendency of this action. He has also been involved in supervising: the preparation and filing of FPPA's original complaint and the consolidated complaint; briefing on both rounds of Defendants' motions to dismiss; requests for stays, dismissals, and the resolution of potential conflicts in several related derivative actions throughout the country; negotiations with opposing counsel regarding discovery, case scheduling, and case management; and development of an overall discovery strategy. Mr. Heimann led the Lieff Cabraser team and participated extensively in the mediation process throughout 2017 and 2018, and was actively involved in negotiating the principal terms of the proposed Settlement. In his role as lead attorney, Mr. Heimann was also involved in direct negotiations between Parties, outside of mediations.

**STEVEN E. FINEMAN** (19.60 hours) is the Managing Partner of Lieff Cabraser and a member of the firm's securities and financial fraud practice. He was actively involved in the development and implementation of litigation strategy, particularly during its early phases.

**KELLY M. DERMODY** (11.40 hours) is the Managing Partner of the San Francisco office of Lieff Cabraser. She was involved in the development of litigation strategy, particularly during its early phases.

**JOY A. KRUSE** (521.80 hours) was a partner at Lieff Cabraser, prior to retiring at the end of 2017. Ms. Kruse was one of the partners with primary responsibility over the early phases of this litigation. In this role, Ms. Kruse oversaw all aspects of case management and prosecution of the action. Ms. Kruse was involved, both strategically and substantively, in the preparation and filing of FPPA's original complaint and the consolidated complaint, briefing on Defendants' motions to dismiss, and the mediation process in 2017. After retiring from the law firm, Ms. Kruse passed away in 2018.

**DANIEL P. CHIPLOCK** (77.80 hours) is a partner at Lieff Cabraser and a member of the firm's securities and financial fraud practice. He was actively involved in the development of litigation strategy, including in preliminary investigation, and participated in settlement strategy.

<sup>1</sup> The descriptions provided herein are not waivers of work product or attorney-client privilege.

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NICHOLAS DIAMAND (1,500.40 hours) is a partner at Lieff Cabraser and one of the partners with primary responsibility over this litigation on a day-to-day basis. In this role, he oversaw all aspects of case management and prosecution of the action. Mr. Diamand was involved, both strategically and substantively, in: the preparation and filing of FPPA's original complaint and the consolidated complaint; briefing on both rounds of Defendants' motions to dismiss; requests for stays, dismissals, and the resolution of potential conflicts in several related derivative actions throughout the country; and negotiations with opposing counsel regarding discovery, case scheduling, and case management. He was also intimately involved in Co-Lead Plaintiffs' discovery efforts, participating in several weekly Hot Document meetings, synthesizing key evidence, and developing an overall discovery strategy. Lastly, Mr. Diamand participated extensively in the mediation process throughout 2017 and 2018, and actively participated in negotiating the principal terms of the proposed Settlement, and the filing of preliminary and final approval motions.

**SHARON M. LEE** (72.90 hours) is a partner at Lieff Cabraser and a member of the firm's securities and financial fraud practice. She was actively involved in the development of litigation strategy, including in preliminary investigation, and early motion practice prior to consolidation.

**MICHAEL J. MIARMI** (549.40 hours) is a partner at Lieff Cabraser and a member of the firm's securities and financial fraud practice. He was intimately involved in several aspects of case management and prosecution of the action. For example, Mr. Miarmi was involved, both strategically and substantively, in the preparation and filing of FPPA's original complaint and the consolidated complaint, and briefing on both rounds of Defendants' motions to dismiss. He also participated extensively in the mediation process in 2017.

**DOUGLAS I. CUTHBERTSON** (11.00 hours) is a partner at Lieff Cabraser and a member of the firm's securities and financial fraud practice. He was involved in the development of litigation strategy, including in preliminary investigation.

**KATHERINE LUBIN BENSON** (1,817.60 hours) is a partner at Lieff Cabraser and one of the partners with primary responsibility over this litigation on a day-to-day basis. In this role, she oversaw all aspects of case management and prosecution of the action. Ms. Benson was involved, both strategically and substantively, in: the preparation and filing of FPPA's original complaint and the consolidated complaint; briefing on both rounds of Defendants' motions to dismiss; requests for stays, dismissals, and the resolution of potential conflicts in several related derivative actions throughout the country; and negotiations with opposing counsel regarding discovery, case scheduling, and case management. She was also intimately involved in Co-Lead Plaintiffs' discovery efforts, participating in several weekly Hot Document meetings, synthesizing key evidence, and developing an overall discovery strategy. Lastly, Ms. Benson participated extensively in the mediation process throughout 2017 and 2018, and actively participated in negotiating the principal terms of the proposed Settlement.

#### **ASSOCIATES**

**JOHN T. NICOLAOU** (164.90 hours) is an associate at Lieff Cabraser and was primarily involved in this litigation in 2017. In his role, Mr. Nicolaou performed legal research and factual analysis, particularly as it related to efforts to relate, consolidate, stay, and dismiss several related shareholder derivative actions before this Court and throughout the country.

MIKE SHEEN (1,144.20 hours) is an associate at Lieff Cabraser and one of the associates with primary responsibility over this litigation on a day-to-day basis, beginning in January 2018. In this role, he was heavily involved in performing legal research, factual analysis, and the drafting of motions and pleadings related to this litigation. For example, Mr. Sheen was involved strategically and substantively in briefing to relate, consolidate, stay, and dismiss several related shareholder derivative actions before this Court and throughout the country. In addition, Mr. Sheen oversaw staff attorneys throughout the document discovery process, including by developing a document review manual; facilitating the weekly Hot Document meetings; synthesizing key evidence; and working with partners, other associates, and staff attorneys to develop a strategy around the anticipated depositions of over forty fact witnesses. During the discovery process, he was also actively involved in negotiations with Wells Fargo, Defendants, and several third parties over the scope of document production. He also assisted in preparing materials for the mediation process, and attended all mediation sessions in 2018.

**SEAN A. PETTERSON** (484.40 hours) is an associate at Lieff Cabraser and one of the associates with primary responsibility over this litigation, beginning in late 2018. In this role, he was heavily involved in performing legal research and factual analysis, particularly as it related to discovery and settlement of the action. For example, Mr. Petterson helped oversee staff attorneys involved in the document discovery process, including by facilitating the weekly Hot Document meetings; synthesizing key evidence; and working with partners, other associates, and staff attorneys to develop a strategy around the anticipated depositions of over forty fact witnesses. He was also actively involved in negotiations surrounding the terms of the Settlement after the Parties reached an agreement-in-principle to settle the action in December 2018.

**EVAN J. BALLAN** (117.00 hours) is an associate at Lieff Cabraser and was actively involved in this litigation, for several months in late 2018. He performed legal research and factual analysis, particularly as it related to discovery and settlement of the action. For example, Mr. Ballan conducted research in connection with Co-Lead Plaintiffs' efforts to obtain documents related to the Federal Reserve Consent Order and preliminary approval motion.

#### **STAFF ATTORNEYS**

**ABBY BILKISS** (769.00 hours) is a staff attorney and was a senior member of the review team. In that role, Ms. Bilkiss created and maintained training resources and reference materials, including a document coding manual, a "cast of characters" directory, and lists of key acronyms and terms. Ms. Bilkiss was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she led weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. As document review progressed, she served as a member of a second-level quality control team, which evaluated the work of and

mentored junior team members. In addition, Ms. Bilkiss worked with a team of attorneys to seed the Technology Aided Review artificial intelligence ("TAR") program to assist focusing review teams on relevant documents. She also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

**BRITT A. CIBULKA** (182.50 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. Ms. Cibulka also worked on special projects necessitating targeted searches for and analyses of documents relating to such topics as the Bank Examination Privilege, improper redactions, gaps in production, missing custodian files, oversight within the Company, and the Company's responses to various investigations.

CAMERON SAUNDERS (537.30 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. As document review progressed, she served as a member of a second-level quality control team, which evaluated the work of and mentored junior team members. In addition, Ms. Saunders worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. She also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

**COLEEN LIEBMANN** (259.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. In addition, she worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. Ms. Liebmann also worked on a team of attorneys who were tasked with creating a timeline of events, issues, and characters relevant to Co-Lead Plaintiffs' claims.

**ELLA KRAINSKY** (64.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues.

JAE PARK (898.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. She also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

JASON KIM (672.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, he participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. Mr. Kim also worked on special projects necessitating targeted searches for and analyses of documents relating to such topics as the Bank Examination Privilege, improper redactions, gaps in production, missing custodian files, oversight within the Company, and the Company's responses to various investigations. As document review progressed, he served as a member of a second-level quality control team, which evaluated the work of and mentored junior team members. In addition, he worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. He also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

JERRY SHINDELBOWER (929.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, he participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. Mr. Shindelbower also worked on special projects necessitating targeted searches for and analyses of documents relating to such topics as the Bank Examination Privilege, improper redactions, gaps in production, missing custodian files, oversight within the Company, and the Company's responses to various investigations. As document review progressed, he served as a member of a second-level quality control team, which evaluated the work of and mentored junior team members. In addition, he worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. He also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

**JONATHAN ZAUL** (411.10 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, he participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. In addition, he worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. He also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

**KELLY GRALEWSKI** (137.40 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices.

**LEAH NUTTING** (1,004.30 hours) is a staff attorney and was a senior member of the review team. In that role, Ms. Nutting created and maintained training resources and reference materials, including a document coding manual, a "cast of characters" directory, and lists of key acronyms and terms. Ms. Nutting was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she led weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. As document review progressed, Ms. Nutting served as a member of a second-level quality control team, which evaluated the work of and mentored junior team members. In addition, Ms. Nutting worked with the team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. She also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

**LINDSAY CARR** (224.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices.

**MARISSA OH** (187.10 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. She also worked on a team of attorneys who were tasked with creating a timeline of events, issues, and characters relevant to Co-Lead Plaintiffs' claims.

MICHELLE BAKER (98.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she attended weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. Ms. Baker also worked on a team of attorneys who were tasked with creating a timeline of events, issues, and characters relevant to Co-Lead Plaintiffs' claims.

**PHIANH NGUYEN** (254.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. In addition, she worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. Ms. Nguyen also worked on a team of attorneys who were tasked with creating a timeline of events, issues, and characters relevant to Co-Lead Plaintiffs' claims.

**RYAN STURTEVANT** (264.00 hours) is a staff attorney and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, he participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best

practices. As document review progressed, he served as a member of a second-level quality control team, which evaluated the work of and mentored junior team members. In addition, he worked with a team of attorneys to seed the TAR program to assist focusing review teams on relevant documents. He also worked on a team of attorneys who were tasked with creating a timeline of events, issues, and characters relevant to Co-Lead Plaintiffs' claims.

**YUN SWENSON** (40.30 hours) is a staff attorney and was part of the review team. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices

### **CONTRACT ATTORNEYS**<sup>2</sup>

**ANDREEA MICLUT** (969.50 hours) was a contract attorney during this litigation and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues. As part of the review team, she participated in weekly Hot Document meetings, during which team members would share key documents and collaborate on best practices. She also worked on a team of attorneys who prepared material for fact witness depositions, gathering information from documents produced, publicly available documents, and other sources, to develop comprehensive outlines for each deposition.

**DENNY KIM** (374.50 hours) was a contract attorney during this litigation and was part of the review team that analyzed documents produced in this case for relevance, improper redaction, improper privilege assertions, and key issues.

#### **LAW CLERKS**

**AISHA SAAD** (48.00 hours) was a summer associate in 2017. She performed work under the supervision of and at the direction of partners and associates on the case, including legal research and fact analysis, and the drafting of related memoranda.

**RACHEL GREEN** (60.80 hours) was a summer associate in 2018. She performed work under the supervision of and at the direction of partners and associates on the case, including legal research and fact analysis, and the drafting of related memoranda and briefing.

#### PARALEGALS/CASE CLERKS

**ALEXANDER ZANE** (47.70 hours) is a senior paralegal at Lieff Cabraser. He performed substantial work in the early phases of the litigation, including the monitoring of activity in this action and preliminary investigation.

**BRIAN TROXEL** (28.70 hours) is a senior paralegal at Lieff Cabraser. He performed work in this litigation, including the organization of the firm's documents and the preparation of documents for filing with the Court.

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<sup>&</sup>lt;sup>2</sup> On January 1, 2019, Ms. Miclut and Mr. Kim became staff attorneys at Lieff Cabraser.

**ELIZABETH KEENLEY** (10.20 hours) is a senior paralegal at Lieff Cabraser. She performed coding and data input for document productions in this case.

RICHARD TEXIER (1,998.80 hours) is a senior paralegal at Lieff Cabraser. He has been the firm's primary paralegal in this litigation. In that role, Mr. Texier has organized the firm's documents, prepared documents for filing with the Court, conducted research on various legal and factual issues, monitored activity in this and related actions across the country, and coordinated with Lieff Cabraser's litigation support department concerning document discovery and review. Mr. Texier worked extensively with the Lieff Cabraser and Saxena White attorneys throughout the litigation. From time to time, he also supervised other paralegals and worked with support staff.

**TODD CARNAM** (77.50 hours) was a senior paralegal and researcher at Lieff Cabraser. In this case, he principally conducted legal and factual research.

**CHRISTOPHER MUNOZ** (14.70 hours) is a paralegal at Lieff Cabraser. He performed coding and data input for document productions in this case, in connection with the firm's litigation support department.

**HANNAH SELHORST** (17.80 hours) is a paralegal at Lieff Cabraser. She performed coding and data input for document productions in this case, in connection with the firm's litigation support department.

**AIDAN BROWN** (23.20 hours) is a paralegal at Lieff Cabraser. She served a limited role and primarily assisted Mr. Texier with case management.

#### **LITIGATION SUPPORT/RESEARCH**

**KIRTI DUGAR** (313.50 hours) is a Financial Analyst and the head of Lieff Cabraser's Litigation Support department. In this role, he was intimately involved in all discovery-related aspects of this litigation, including developing discovery strategy, drafting an ESI protocol, processing document productions, managing Lieff Cabraser's document review platform, training attorneys in document review and analysis, and assisting attorneys in developing targeted document searches. He also supervised and managed the setup and maintenance of the firm's document review database, including implementation of TAR and needs relating to electronically stored information ("ESI"). In addition, Mr. Dugar provided financial expertise relating to potential damages, and assisted in analyzing and preparing mediation-related materials pertaining to Wells Fargo's financial data.

**ANIL NAMIBAR** (280.00 hours) is a financial analyst and a member of the firm's Litigation Support team. He performed substantial financial analysis during the early phases of the litigation and assisted in analyzing and preparing mediation-related materials pertaining to Wells Fargo's financial data.

**ANTHONY GRANT** (146.40 hours) is a member of the firm's Litigation Support team. In this role, he actively participated in aspects of discovery in this action, including developing an ESI protocol, processing document productions, managing Lieff Cabraser's document review platform, and assisting attorneys in analyzing the document productions.

**FAWAD RAHIMI** (19.60 hours) is a Litigation Support assistant at Lieff Cabraser. He assisted in processing document productions and managing Lieff Cabraser's document review platform, as well as work related to coding and data input.

**MAJOR MUGRAGE** (20.20 hours) is a Litigation Support assistant at Lieff Cabraser. He performed coding and data input for document productions in this case, in connection with the firm's litigation support department.

**MARGIE CALANGIAN** (126.70 hours) is a member of the firm's Litigation Support team. In this role, she actively participated in aspects of discovery in this action, including developing an ESI protocol, processing document productions, managing Lieff Cabraser's document review platform, and assisting attorneys in analyzing the document productions.

**RENEE MUKHERJI** (24.30 hours) is a law librarian at Lieff Cabraser. She oversaw and conducted legal and factual research in connection with this litigation.

**RICHARD ANTHONY** (152.60 hours) is a member of the firm's Litigation Support team. In this role, he actively participated in aspects of discovery in this action, including developing an ESI protocol, processing document productions, managing Lieff Cabraser's document review platform, training attorneys in document review and analysis, and assisting attorneys in developing targeted document searches. In addition, Mr. Anthony provided substantial assistance in conducting preliminary factual research.

**NIKKI BELUSHKO BARROWS** (42.20 hours) is a research assistant at Lieff Cabraser. She conducted legal and factual research in connection with this litigation.

**JESSICA MELTSER** (16.70 hours) was a research assistant at Lieff Cabraser. She conducted legal and factual research in connection with this litigation.

In re Wells Fargo & Co. Shareholder Derivative Litigation, No. 3:16-cv-05541-JST Lieff Cabraser Heimann & Bernstein, LLP Timekeeper Biographies

#### **PARTNERS**

**RICHARD M. HEIMANN** is a founding partner of Lieff Cabraser Heimann & Bernstein, LLP ("Lieff Cabraser"), and he supervises the firm's securities and financial fraud practice. He began his legal career as a deputy public defender and later served as an assistant district attorney. From this foundation, Mr. Heimann has become one of the nation's foremost trial attorneys and skilled negotiators, with unparalleled experience in complex securities and investor fraud, antitrust and intellectual property, consumer protection, and personal injury and mass torts cases.

Mr. Heimann supervised the firm's landmark litigation against the tobacco industry on behalf of Massachusetts, California, and several other states. The litigation was resolved in 1998 as part of a record \$206 billion master settlement agreement with the state Attorneys General. He has also successfully tried over 30 civil jury cases, including the successful *FPI/Agretech* and *Edsaco* securities class action trials. In April 2002 in the *Edsaco* case, a federal jury in San Francisco, California returned a \$170.7 million verdict against Edsaco Ltd., which included \$165 million in punitive damages. Mr. Heimann also tried the Wells Fargo Bank overdraft fees consumer protection class action trial and the LCDs antitrust class action. For his work in the *Wells Fargo* case, California Lawyer magazine awarded Mr. Heimann its prestigious California Lawyer of the Year (CLAY) Award. His trial performance, U.S. District Court Judge William A. Alsup stated, "ranks as one of the best this judge has seen in 16 years on the bench." He received a second CLAY award in 2013 for his outstanding success in the LCDs antitrust litigation, which resulted in settlements totaling over \$470 million.

In its 2015 inaugural review of the nation's top trial lawyers, Law360 selected Mr. Heimann as a "Trial Ace." Just one of 50 attorneys recognized, Mr. Heimann is the only trial ace practicing at a law firm that represents solely plaintiffs. He has also received the following awards and honors: AV Preeminent Peer Review Rated, Martindale-Hubbell; Selected for inclusion by peers in The Best Lawyers in America in fields of "Bet the Company Litigation," "Litigation -Antitrust," "Litigation - Securities," and "Mass Tort Litigation/Class Actions - Plaintiffs," 2007-2019; "Lawdragon 500 Leading Lawyers in America," Lawdragon, 2019; "Northern California Super Lawyer," Super Lawyers, 2004-2019; "Lawyer of the Year," Best Lawyers, Litigation-Securities for San Francisco, 2017; "Top 100 Trial Lawyers in America," Benchmark Litigation, 2017; "Outstanding Private Practice Antitrust Achievement," American Antitrust Institute, 2017; "Lawyer of the Year," Best Lawyers, Litigation-Securities for San Francisco, 2016; "California Litigation Star," Benchmark Litigation, 2013-2016; "Trial Ace," Law360 (one of 50 attorneys in the U.S. recognized by Law360 in 2015 as the foremost trial lawyers in America); Legal 500 recommended lawyer, LegalEase, 2013; "Top 100 Northern California Super Lawyers," Super Lawyers, 2013; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2011; California Lawyer of the Year (CLAY) Award, California Lawyer, 2011, 2013; "Lawdragon Finalist," Lawdragon, 2009-2011; "Top 100 Attorneys in California," Daily Journal, 2010-2011; "Top Attorneys In Securities Law," Super Lawyers Corporate Counsel

Edition, 2010, 2012. *Publications & Presentations:* Securities Law Roundtable, *California Lawyer* (March 2013); Securities Law Roundtable, *California Lawyer* (September 2010); Securities Law Roundtable, *California Lawyer* (March 2009); Securities Law Roundtable, *California Lawyer* (April 2008); Securities Law Roundtable, *California Lawyer* (April 2007); Co-Author, "Preliminary Issues Regarding Forum Selection, Jurisdiction, and Choice of Law in Class Actions" (December 1999).

EDUCATION: Georgetown University (J.D., 1972); *Georgetown Law Journal*, 1971-72; University of Florida (B.S.B.A., with honors, 1969).

BAR ADMISSIONS: California; District of Columbia; New York; Pennsylvania; U.S. Supreme Court; U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Ninth Circuit; U.S. Court of Appeals, Eleventh Circuit; U.S. Court of Appeals, D.C. Circuit; U.S. District Court, Central District of California; U.S. District Court, Northern District of California; U.S. District Court, Southern District of California; U.S. District Court, District of Hawaii; U.S. District Court, District of Colorado.

**STEVEN E. FINEMAN** is the Managing Partner of Lieff Cabraser. Based in the firm's New York City office, Mr. Fineman has been recognized for his leadership of Lieff Cabraser—Lawdragon Magazine has called him one of the "100 Managing Partners You Need to Know."

Mr. Fineman represents institutional and classes of investors in securities and financial fraud cases; classes and groups of individuals in mass tort litigation; classes of consumers in false marketing and deceptive business practice cases; and whistleblowers in False Claims Act matters.

Mr. Fineman has been recognized for his success as a litigator, and his awards and honors include: selection for inclusion by peers in The Best Lawyers in America in the fields of "Mass Tort Litigation/Class Actions – Plaintiffs," 2006-2019; "Super Lawyer for New York Metro," *Super Lawyers*, 2006-2017; "Lawyer of the Year," *Best Lawyers*, recognized in the category of Mass Tort Litigation/Class Actions – Plaintiffs for New York City, 2016; "New York Litigation Star," *Benchmark Litigation*, 2013-2016; Member, *Best Lawyers* Advisory Board, a select group of U.S. and international law firm leaders and general counsel, 2011-2012; "Lawdragon Finalist," *Lawdragon*, 2009-present; "Top Attorneys In Securities Law," *Super Lawyers Business Edition*, 2008-present; Consultant to the Office of Attorney General, State of New York, in connection with an industry-wide investigation and settlement concerning health insurers' use of the "Ingenix database" to determine usual and customary rates for out-of-network services, April 2008-February 2009; "100 Managing Partners You Need to Know," *Lawdragon*, 2008; "40 Under 40," selected as one of the country's most successful litigators under the age of 40, *The National Law Journal*, 2002.

Mr. Fineman has been appointed to numerous leadership roles in the legal community. He is a long-time member of the board and is a past President of the Public Justice Foundation. He is currently a member of the board of The American Constitution Society for Law and Policy, and serves on the Advisory Board of the Stanford Law School Center on the Legal Profession and the Advisory Board of the Thomson Reuters Legal Executive Institute. He previously served as the co-chair of the Securities Litigation Group of the American Association for Justice.

Mr. Fineman is a member of the Anti-Defamation League's National Commission and in 2019 was named Regional Board Chair of the ADL New York Region/New Jersey Chapter, having previously served as Regional Board Vice-Chair. He writes and lectures frequently on complex litigation and the business of the law.

EDUCATION: University of California, Hastings College of the Law (J.D., 1988); University of California, San Diego (B.A., 1985); Stirling University, Scotland (English Literature and Political Science, 1983-84).

BAR ADMISSIONS: California; District of Columbia; New York; U.S. Supreme Court; U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Fifth Circuit; U.S. Court of Appeals, Ninth Circuit; U.S. District Court, Central District of California; U.S. District Court, Eastern District of California; U.S. District Court, Northern District of California; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York; U.S. District Court, District of Colorado; and U.S. District Court for the District of Columbia.

**KELLY M. DERMODY** is the Managing Partner of the San Francisco office of Lieff Cabraser. She chairs the firm's Employment Practice Group and specializes in class and collective actions on behalf of employees. Ms. Dermody is a nationally-recognized advocate in the areas of pay equity, #metoo, and diversity and inclusion, and has prosecuted numerous cases challenging unfair hiring, promotion, compensation, and performance systems.

In 2012, Ms. Dermody served as President of the Bar Association of San Francisco. She is a member of the College of Labor and Employment Lawyers and the American Law Institute. She is a past member of the ABA Labor and Employment Law Section governing Council, where she also previously served as Co-Chair of the Section's Annual Conference, Committee on Diversity in the Legal Profession, and Equal Employment Opportunity Committee. The Daily Journal has selected Ms. Dermody as one of the top 100 attorneys in California, top 75 labor and employment lawyers in California, and top 100 women litigators in California. In 2016, The Recorder awarded her the "Giant Slayer" award for her litigation work.

Ms. Dermody has received awards from charitable and civic organizations, including the National Association of Women Judges, the Anti-Defamation League, the Lawyers' Committee for Civil Rights of the San Francisco Bay Area, California Rural Legal Assistance, Legal Momentum, Equal Rights Advocates, Centro Legal de la Raza, and Bay Area Lawyers for Individual Freedom. She has also been named as a 2019 recipient of the Margaret Brent Women Lawyers of Achievement Award, considered the nation's highest award for women in the legal profession.

EDUCATION: University of California, Berkeley, School of Law (J.D. 1993); Moot Court Executive Board (1992-1993); Harvard University (A.B., 1990, *magna cum laude*).

BAR ADMISSIONS: California; U.S. Supreme Court; U.S. Court of Appeals for the First Circuit; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Third Circuit; U.S. Court of Appeals for the Fourth Circuit; U.S. Court of Appeals for the Sixth Circuit; U.S. Court of Appeals for the Seventh Circuit; U.S. Court of Appeals for the Ninth

Circuit; U.S. District Court, Northern District of California; U.S. District Court, Central District of California; U.S. District Court, Eastern District of California; U.S. District Court of Colorado.

**JOY A. KRUSE** was an experienced and accomplished securities litigation partner at Lieff Cabraser. Ms. Kruse retired from the firm at the end of 2017 and passed away in 2018. At Lieff Cabraser, Ms. Kruse played a leading role in the day-to-day litigation and resolution of the highly successful *Broadcom*, *McKesson*, *America Online*, *Peregrine*, and *Network Associates* cases. In both *McKesson* and *America Online*, she had substantial responsibility for client communications (two Merrill Lynch mutual funds and the State of Alaska, respectively).

In the opt-out case brought by two Merrill Lynch mutual funds against McKesson Corp., the funds recovered 104% of their damages (\$145 million). This amount was approximately \$15-120 million more than the Merrill Lynch funds would have recovered had they participated in the federal class action settlement. The *Broadcom* stock options backdating derivative litigation resulted in a \$118 million partial settlement in which Broadcom Corporation's insurance carriers paid \$118 million to Broadcom. In addition, the Broadcom team pursued claims against three executives resulting in a separate settlement valued at \$79 million. The total recovery was approximately \$197.5 million.

EDUCATION: Harvard Law School (J.D., 1984); Wellesley College (B.A., 1977).

BAR ADMISSIONS: California; Washington, D.C.; U.S. Supreme Court; U.S. Courts of Appeals for the Federal Circuit; U.S. Court of Appeals 9th Circuit; U.S. District Court for the Central District of California; U.S. District Court for the Eastern District of California; U.S. District Court for the Northern District of California; U.S. District Court, District of Colorado; U.S. District Court of the District of Columbia; U.S. District Court, Eastern District of Wisconsin.

**DANIEL P. CHIPLOCK** is a partner in Lieff Cabraser's New York office with a practice that focuses on securities, financial, consumer, and mass tort cases. Mr. Chiplock has played an active role in most of the firm's financial cases over the last fifteen years, including the *McKesson*, *Qwest*, *Bank of America*, *Tyco*, *Broadcom*, *Brooks*, and *Merck* actions, in which he represented both large public pension funds and mutual funds that sustained investment losses in the hundreds of millions of dollars as a result of corporate misconduct.

More recently, Mr. Chiplock has been heavily involved in the firm's representation of public pension and ERISA funds in litigation against Bank of New York Mellon ("BNYM") concerning the bank's alleged practice of overcharging custodial clients for foreign currency exchange transactions. Lieff Cabraser was one of three firms charged with managing the daily activities and litigation strategy amongst plaintiffs' counsel in the BNYM litigation (which was consolidated into a multi-district proceedings in which multiple civil and governmental actions were coordinated). Mr. Chiplock was the principal attorney at Lieff Cabraser responsible for managing the BNYM litigation, which recently settled on a global basis for \$504 million.

On a pro bono basis, as part of Trial Lawyers Care (a project of the American Association for Justice), Mr. Chiplock represented an injured firefighter and a hotel worker in appeal hearings before the September 11th Victim Compensation Fund, enabling his clients to recover more than

\$1 million for injuries sustained at Ground Zero. In one case, Mr. Chiplock's advocacy resulted in an award more than 50 times greater than that which was determined prior to his involvement.

Mr. Chiplock currently serves as Secretary for the National Association of Shareholder and Consumer Attorneys (NASCAT). Prior to that, he served as Amicus Committee Chair, and in that capacity submitted amicus briefs to the U.S. Supreme Court and other appellate courts on important current cases impacting access to the courts by investors and consumers. He is an active member of numerous other professional organizations, including Public Justice, the American Association for Justice, and the American Constitution Society for Law & Policy.

EDUCATION: Stanford Law School (J.D., 2000); Article Review Board, *Stanford Environmental Law Journal*; Recipient, Keck Award for Public Service; Columbia University (B.A., *summa cum laude*, 1994); Phi Beta Kappa.

BAR ADMISSIONS: New York; U.S. Supreme Court; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; U.S. District Court, District of Colorado; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Third Circuit; U.S. Court of Appeals for the Sixth Circuit.

**NICHOLAS DIAMAND** is a partner in Lieff Cabraser's New York office with a practice focused on domestic and international securities fraud cases, digital privacy, and consumer fraud.

His past and current case work concerning securities and financial fraud includes litigation against Danske, Steinhoff, Facebook, AIG, Bank of New York Mellon, and Bank of America.

Mr. Diamand's present and past case work concerning consumer fraud includes digital privacy litigation against Google, Facebook, LinkedIn, and Sony, among others. He acts on behalf of children and their parents in data breach litigation against Disney, Viacom and other online game and app producers alleging violations of unlawful export, exploitation, and monetization of children's personal information from mobile games without parental consent. Mr. Diamand is also working on consumer litigation against Volkswagen and British Airways as well as previously having litigated against the nation's largest banks for their unfair and deceptive practices to maximize the occurrence of overdraft fees, and against oil companies for the recovery of gas royalty underpayment claims in which plaintiffs recovered more than \$100 million in cash and future benefits.

Mr. Diamand is on the Advisory Council of The Center for Democracy & Technology, and was Chair of the Consumer Privacy/Data Breach Litigation Group of the American Association for Justice. He is also on the Board of the Civil Justice Research Initiative at Berkeley Law School. On a pro bono basis, as part of Trial Lawyers Care (a project of the American Association for Justice), Mr. Diamand represented several individuals, including an injured firefighter, in appeal hearings before the September 11th Victim Compensation Fund.

Between 2002 and 2003, Mr. Diamand served as judicial law clerk to then Chief Judge, the Honorable Edward R. Korman, of the U.S District Court for the Eastern District of New York.

EDUCATION: Columbia University School of Law (LL.M., Stone Scholar, 2002); College of Law, London, England (C.P.E.; L.P.C.; Commendation, 1997); Columbia University (B.A., *magna cum laude*, 1992).

BAR ADMISSIONS: England & Wales; New York; U.S. Supreme Court; U.S. District Court for the District of Colorado; U.S. District Court, Southern, Eastern, and Western Districts of New York; U.S. Court of Appeals, Seventh Circuit, U.S. Court of Appeals, Ninth Circuit; U.S. Court of Appeals, Second Circuit.

**SHARON M. LEE** is a partner at Lieff Cabraser with a practice focused primarily on representing public and private institutional investors and other investors in securities and financial fraud litigation matters. She also takes a leading role in evaluating securities matters and advising the firm's clients on their claims. Ms. Lee has contributed to the prosecution of a number of the firm's securities cases, including actions involving Qwest Communications International, Inc., Bank of America Corp., Celera Corp., A-Power Energy Generation Systems, Ltd., BofI Holding, Inc., and Valeant Pharmaceuticals, Inc.

Before joining the firm, Ms. Lee was an attorney at another plaintiffs' class action law firm in New York, where she represented investors in securities class actions, shareholder derivative actions, and other investor litigation.

EDUCATION: St. John's University School of Law (J.D. 2001); St. John's University (M.A. 1998); St. John's University (B.A. 1997)

BAR ADMISSIONS: New York; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; Washington State; U.S. District Court, Western District of Washington.

MICHAEL J. MIARMI is a partner at the firm's New York office. He devotes his practice almost entirely to representing individual and institutional investors in securities cases. Among his recent representations, Mr. Miarmi was a member of the team serving as co-lead counsel for the customer class in *In re Bank of New York Mellon Corp. Foreign Exchange Transactions Litigation*, which achieved settlements totaling \$504 million to resolve claims based on The Bank of New York Mellon's alleged misconduct in connection with executing foreign exchange (FX) transactions on behalf of its custodial clients. Mr. Miarmi was also a member of the team that achieved a \$72.5 million settlement to resolve claims arising from The Bank of New York Mellon's alleged misconduct with respect to FX conversions for American Depositary Receipt (ADR) distributions to investors.

Mr. Miarmi was also a member of the team serving as co-lead counsel for a proposed class of shareholders asserting claims under the federal securities laws against LINN Energy, LLC and others, based on defendants' alleged misconduct in overstating key financial metrics, which artificially inflated the price of the subject securities and resulted in significant losses to investors. Additionally, for the past several years, Mr. Miarmi has played a central role in representing The Charles Schwab Corporation and several of its related entities in litigation arising from alleged fraud by some of the world's largest financial institutions in setting the

London InterBank Offered Rate (LIBOR). Schwab's cases are included as part of multidistrict litigation pending in the Southern District of New York.

Mr. Miarmi has published articles on salient issues in securities litigation: *See* Michael J. Miarmi and Nicholas Diamand, "Fraud-on-the-Market in a Post-Amgen World," Trial, Nov. 2013; Steven E. Fineman and Michael J. Miarmi, "The Basics of Obtaining Class Certification in Securities Fraud Cases: U.S. Supreme Court Clarifies Standard, Rejecting Fifth Circuit's 'Loss Causation' Requirement," Bloomberg Law Reports, July 5, 2011. Mr. Miarmi has been recognized by Super Lawyers as a "New York Metro Rising Star" from 2013 through 2018.

EDUCATION: Fordham Law School (J.D., 2005); Yale University (B.A., cum laude, 2000).

BAR ADMISSIONS: New York; U.S. Supreme Court; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Third Circuit; U.S. Court of Appeals for the Sixth Circuit; U.S. Court of Appeals for the Eighth Circuit.

**DOUGLAS I. CUTHBERTSON** is a partner in Lieff Cabraser's New York office with a practice focusing on securities and financial fraud, consumer protection, and cybersecurity/data privacy. Before joining the firm, Mr. Cuthbertson worked as an associate at Debevoise & Plimpton LLP, where he was involved in civil litigation, including various shareholder and employment suits. Mr. Cuthbertson also represented a domestic violence survivor facing malicious prosecution and false arrest suit brought by her abuser in Brooklyn Supreme Court, and represented an incarcerated individual in cooperation with the Legal Aid Society in a petition for resentencing under New York's amended Rockefeller drug laws.

From 2007 to 2009, Mr. Cuthbertson served as a law clerk to the Honorable Magistrate Judge Andrew J. Peck of the U.S. District Court for the Southern District of New York.

Mr. Cuthbertson is a Board member of the New York Civil Liberties Union.

EDUCATION: Fordham University School of Law (J.D. cum laude, 2007); Bowdoin College (B.A. summa cum laude, 1999).

BAR ADMISSIONS: New York; U.S. Court of Appeals for the Eleventh Circuit; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Seventh Circuit; U.S. District Court, District of Connecticut; U.S. District Court, Northern District of New York; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York; U.S. District Court, District of Colorado; U.S. District Court, Eastern District of Wisconsin; U.S. District Court, Western District of Wisconsin; U.S. District Court, Northern District of Illinois.

**KATHERINE LUBIN BENSON** is a partner in Lieff Cabraser's San Francisco office, with a practice focusing on securities and financial fraud, antitrust, and consumer protection. In her securities practice, Ms. Benson represents institutional investors in asserting federal and state securities claims. Ms. Benson's antitrust class action work includes representing plaintiffs in an antitrust monopolization case relating to sales of a pharmaceutical drug, and a proposed class action alleging a conspiracy to fix the prices of premiums paid for commercial bail bonds in

California. She has previously represented plaintiffs in antitrust class actions focusing on global price-fixing cartels. Ms. Benson's practice also includes consumer protection work alongside the Los Angeles City Attorney's office, pursuing civil enforcement actions against four retailers for the pervasive use of false reference prices. Prior to joining Lieff Cabraser, Ms. Benson worked as an associate at Orrick, Herrington & Sutcliffe in San Francisco, focusing on securities litigation and regulatory matters, including SEC enforcement actions.

Outside of her case work, Ms. Benson is active in San Francisco Bay Area bar and on non-profit boards. She serves on the Board of Directors of the Northern District Practice Program, the CLE-arm of the Northern District of California. Ms. Benson also serves on the Judiciary Committee of the Bar Association of San Francisco (BASF), and on the Board of East Bay Community Law Center, a non-profit in Berkeley, California providing legal services to low-income communities.

EDUCATION: University of California, Berkeley, School of Law (J.D., 2008); University of California Los Angeles (B.A., *cum laude*, 2005); Phi Beta Kappa.

BAR ADMISSIONS: California; U.S. Court of Appeals, Ninth Circuit; U.S. District Court, Northern District of California; U.S. District Court, Southern District of California; U.S. District Court, Central District of California.

#### **ASSOCIATES**

JOHN T. NICOLAOU is an associate in Lieff Cabraser's New York office working on securities and consumer protection matters. Prior to joining the firm in 2017, Mr. Nicolaou was an associate at Boies Schiller Flexner LLP. While at Boies Schiller Flexner, Mr. Nicolaou was part of the team that successfully represented attorney Paul Napoli in an arbitration against his former partner Marc Bern regarding a multi-million dollar breach-of-contract claim. Mr. Nicolaou was also a core member of the team that defended Pacific Investment Management Company LLC (PIMCO) against a billion dollar breach-of-contract and employment lawsuit by its former Chief Investment Officer, Bill Gross. His other cases at Boies Schiller Flexner included a variety of antitrust, fraud, and breach-of-contract matters.

EDUCATION: Columbia Law School (J.D., 2012); Northwestern University (M.A., 2009); Vanderbilt University (B.A., *summa cum laude*, 2008).

BAR ADMISSIONS: New York.

MIKE SHEEN is an associate in Lieff Cabraser Heimann and Bernstein LLP's San Francisco office with a practice centering on securities and financial fraud, antitrust, and consumer fraud matters. Prior to joining the firm in 2018, Mr. Sheen served as a law clerk to the Honorable Dale A. Drozd of the U.S. District Court for the Eastern District of California. He was also an associate at Milbank, Tweed, Hadley & McCloy LLP, where his practice focused on intellectual property and commercial litigation.

EDUCATION: University of California, Berkeley, School of Law (J.D., 2012); University of California, Berkeley (B.A., 2004).

BAR ADMISSIONS: California; U.S. District Court, Northern District of California; U.S. District Court, Southern District of California; U.S. Court of Appeals, Ninth Circuit; U.S. Court of Appeals, Federal Circuit.

**SEAN A. PETTERSON** is an associate in Lieff Cabraser's New York office. Prior to joining the firm, Mr. Petterson was an associate at Boies Schiller Flexner, LLP. While at Boies Schiller, Mr. Petterson worked on global white-collar investigations and complex contractual disputes. As a summer associate, he was a member of an antitrust trial brought by the Department of Justice against American Express. As a law student at NYU, he worked as an extern with the Southern District of New York Civil Division and was an intern with the New York Civil Liberties Union.

EDUCATION: New York University School of Law (J.D., 2015); Brandeis University (B.A., *summa cum laude*, 2011).

BAR ADMISSIONS: New York; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York.

**EVAN J. BALLAN** is an associate in Lieff Cabraser's San Francisco office. While at Lieff Cabraser, Mr. Ballan has been involved in several complex litigations, including recently obtaining preliminary approval of a class action settlement on behalf of women who suffered violence, abuse, and harassment by a gynecologist at the University of Southern California. He also represents individuals and small businesses harmed in the 2017 and 2018 California wildfires in state and bankruptcy court. Before joining the firm, Mr. Ballan clerked for the Honorable Albert Diaz of the U.S. Court of Appeals for the Fourth Circuit. In law school, Mr. Ballan served as a Student Attorney in the Michigan Innocence Clinic, where he represented individuals in wrongful conviction cases. Prior to law school, Mr. Ballan worked at the Public Defender Service for the District of Columbia and at a law firm representing whistleblowers in the healthcare and pharmaceutical industries.

EDUCATION: University of Michigan Law School (J.D., *magna cum laude*, Order of the Coif, 2017); McGill University (B.A., 2010).

BAR ADMISSIONS: California; U.S. Court of Appeals, Fourth Circuit; U.S. District Court, Northern District of California.

#### **STAFF ATTORNEYS**

**ABBY BILKISS** is a staff attorney who has been with Lieff Cabraser for six years and has worked on numerous complex class action matters, including noteworthy cases involving Navistar and Charles Schwab. Prior to joining the firm in 2013, she worked in corporate litigation, including for clients such as Google and Oracle. Previously, she was a land use and municipal law attorney, advising cities and public agencies in land use, zoning, planning, environmental, and development issues.

EDUCATION: University of California, Berkeley (MA, City and Regional Planning, 2007); Willamette University (B.S., 2000); University of California, Hastings College of the Law (J.D., 2003).

BAR ADMISSIONS: California.

**BRITT A. CIBULKA** is a staff attorney at Lieff Cabraser. Prior to joining the firm, Ms. Cibulka was a Senior Staff Associate at Bleichmar, Fonti and Auld, where she worked primarily on antitrust litigation. Previously, she was an attorney at Wilson, Sonsini, Goodrich & Rosati and on contract at Google, Inc. and Morrison & Forester, where her work focused on discovery and privilege issues in M&A, patent and securities litigation. She has been a member of several trial teams, including the successful defense of *In Re JDS Uniphase Securities Litigation*.

EDUCATION: Kalamazoo College (B.A., 1991); Northwestern University School of Law (J.D., 1999).

**CAMERON SAUNDERS** is a staff attorney at Lieff Cabraser, specializing in document review and analysis. Ms. Saunders has worked on numerous matters with the firm, including *In re Volkswagen "Clean Diesel" Mktg., Sales Practices, & Prods. Liab. Litig.*, MDL No. 2672 CRB (JSC) (N.D. Cal.), *Ossola v. Am. Express Co.*, No. 1:13-CV-4836 (N.D. Ill.), and *Biotechnology Value Fund v. Celera Corp.*, No. CV-13-3248-WHA-DMR (N.D. Cal.).

EDUCATION: California Polytechnic State University at San Luis Obispo (B.S., 2001); Golden Gate University School of Law (J.D., LL.M. 2006).

BAR ADMISSIONS: California.

**COLEEN LIEBMANN** is a staff attorney at Lieff Cabraser and has worked on numerous complex securities litigations during her years at the law firm, including in the banking, pharmaceutical and automotive industries. Prior to joining the firm, she worked as an investigator for various intergovernmental agencies such as the World Bank, and the International Fund for Agricultural Development of the United Nations, looking into allegations of fraud and corruption within the operations of the agencies.

EDUCATION: University of the Pacific (B.A., 1992); University of San Francisco (J.D., 2003); American University, Washington College of Law (LL.M., 2004).

BAR ADMISSIONS: California.

**ELLA KRAINSKY** is a staff attorney at Lieff Cabraser. Prior to joining the firm in 2017, Ms. Krainsky was a staff attorney at the Federal Trade Commission, where she investigated deceptive marketing cases. Previously, Ms. Krainsky was an Attorney Advisor to Federal Trade Commissioner William E. Kovacic. Ms. Krainsky was also an appellate attorney and an attorney advisor with the U.S. Department of Veterans Affairs.

EDUCATION: Georgetown University, School of Foreign Service (B.S., 1997); The George Washington University Law School (J.D. 2001).

BAR ADMISSIONS: California; Washington, D.C.

**JAE PARK** is a staff attorney in the San Francisco office of Lieff Cabraser, where she has worked on numerous complex litigation matters. Previously she was a contract attorney for a Fortune 100 company and an Equal Justice Works/Americorps Fellow at the Asian Pacific American Legal Center.

EDUCATION: University of Texas at Austin (B.S. 1999); University of Pennsylvania School of Law (J.D., 2005).

**JASON KIM** is a staff attorney at Lieff Cabraser who has worked on numerous complex multidistrict litigation matters during his eight years at Lieff Cabraser, including notable cases such as the *Takata Airbag* MDL, the *Bank of NY Mellon* MDL, and the *Opioids* MDL.

EDUCATION: University of California, Davis (B.A., 2002); Thomas Jefferson School of Law (J.D., 2009).

BAR ADMISSIONS: California.

**JERRY SHINDELBOWER** is a staff attorney at Lieff Cabraser who has worked on numerous complex securities litigation matters during his four years at the law firm, including *The Charles Schwab Corporation v. Banc of America Securities, et al., Biotechnology Value Fund, L.P., et al. v. Celera Corp., et al., and <i>Hong Leong Finance Limited (Singapore) v. Morgan Stanley, et al.* Mr. Shindelbower previously worked on assignment for Morgan Stanley and Cooley, LLP, and as an associate attorney with Finnegan, Marks, Theofel, & Desmond and Farber and Company Attorneys.

EDUCATION: University of California, Davis (B.A., 2006); Golden Gate University School of Law (J.D., 2011).

BAR ADMISSIONS: California.

**JONATHAN ZAUL** is a staff attorney who has worked at Lieff Cabraser for over six years on complex litigation matters in the practice areas of Antitrust/IP, Securities and Financial Fraud, and Economic Injury Product Defect.

EDUCATION: University of California, Berkeley (B.A., 2004); University of San Francisco, (J.D., 2009).

BAR ADMISSIONS: California.

**KELLY GRALEWSKI** is a staff attorney at Lieff Cabraser. In her ten years with the law firm, she has worked on numerous complex litigation cases, including cases alleging misconduct by financial services companies, such as *In re Bank of New York Mellon Corp. Forex Transactions Litigation*, as well as other high-profile cases such as *In Re: National Prescription Opiate Litigation*, *In Re: Chrysler-Dodge-Jeep 'EcoDiesel' Marketing, Sales Practices, and Products Liability Litigation*, *In Re: Volkswagen 'Clean Diesel' Marketing, Sales Practices, and Products Liability Litigation*, *In Re: Takata Airbag Products Liability Litigation*, *Pro-Sys Consultants Ltd. et al v. Microsoft Corporation et al.*, and *In Re: Checking Account Overdraft Litigation*.

EDUCATION: California State University, Chico (B.A. and B.S., 1991); California Western School of Law (J.D., 1997).

**LEAH NUTTING** has worked as a staff attorney on securities litigation and complex securities class action matters at Lieff Cabraser, including *In re Bank of New York Mellon Corp. Forex Transactions Litigation*. Prior to joining Lieff Cabraser, Ms. Nutting worked as a contract attorney on complex litigation matters, including an SEC investigation and investigations regarding securities law compliance. Previously, she was an associate at Orrick, Herrington & Sutcliffe LLP, where she worked on securities class actions and securities litigation, and was an associate at Clifford Chance LLP, where she worked on securities class actions and SEC investigations.

EDUCATION: University of California, Berkeley (B.A. 1999); Harvard Law School (J.D. 2002).

BAR ADMISSIONS: California.

LINDSAY CARR is a staff attorney at Lieff Cabraser who has worked on the firm's cases against GM and Wells Fargo. Ms. Carr also managed a document review team of over 40 individuals from multiple firms in litigation against Volkswagen over its diesel emissions cheating. Prior to joining the firm, Ms. Carr worked as a Discovery Attorney at Google, where she represented Google and its subsidiaries in a wide variety of commercial litigation, including contract disputes, antitrust and patent infringement cases in the U.S. and abroad. In addition, she managed a document review team of over 100 people, including developing case and skill training for various contract attorneys.

EDUCATION: California State University Monterey Bay (B.S., 2005); Tulane University Law School (J.D., 2008).

BAR ADMISSIONS: California.

**MARISSA OH** is a staff attorney at Lieff Cabraser. Ms. Oh has worked on numerous other complex securities litigation matters, including *In re The Bank of N.Y. Mellon Corp. Forex Transactions Litigation*. Prior to joining Lieff Cabraser, she worked with various law firms, including as an associate in the securities litigation and employment departments at Orrick, Herrington & Sutcliffe.

EDUCATION: Rice University (B.A, 1999); Stanford Law School (J.D., 2004).

BAR ADMISSIONS: California.

**MICHELLE BAKER** is a staff attorney at Lieff Cabraser. Prior to joining the firm, Ms. Baker worked as an attorney with other law firms on complex litigation, including as an associate at Rogers Joseph O'Donnell.

EDUCATION: University of California, San Diego (B.A., 1998); University of California, Hastings College of the Law (J.D., 2003).

**PHIANH NGUYEN** is a staff attorney who has been with Lieff Cabraser since 2013. She has been involved in many of the firm's most important cases, conducting document review, preparing deposition materials, and compiling and cross-referencing materials for expert's reports. Ms. Nguyen's case work at the firm has ranged from large-scale consumer data breaches to diesel car emission scandals to residential mortgage securities fraud. Ms. Nguyen also has a specialization in Intellectual Property and has worked on patent cases on behalf of Google.

EDUCATION: University of Florida (B.S. 2003); Golden Gate University Law School (J.D. 2008).

BAR ADMISSIONS: California.

RYAN STURTEVANT has been a staff attorney with Lieff Cabraser since 2013. During his tenure, Mr. Sturtevant has been part of the team that litigated many of the firm's most significant cases. To date, these cases have recovered in excess of \$15 billion for Lieff Cabraser's clients. Mr. Sturtevant is part of the team in the *Volkswagen "Clean Diesel"* multidistrict litigation, in which plaintiffs allege that Volkswagen intentionally and systematically cheated its customers, lied to the government, and misled the public about the emissions of its diesel engine vehicles sold under the Volkswagen, Audi and Porsche brands. Mr. Sturtevant also is a member of team in the Takata exploding airbag nationwide class action. His work also has included representing The Charles Schwab Corp. in the Mortgage Backed Securities line of cases.

EDUCATION: University of California, Hastings (J.D. 2005); University of California, Santa Barbara (M.A., 2003; B.A., 2001).

BAR ADMISSIONS: California.

**YUN SWENSON** is a staff attorney at Lieff Cabraser. Ms. Swenson has worked on a number of complex litigation cases, including *In re Nat'l Prescription Opiate Litig.*, *In re Restasis* (Cyclosporine Ophthalmic Emulsion) Antitrust Litig., Hosp. Auth. of Metro. Gov't of Nashville and Davidson Cnty., Tenn. v. Momenta Pharm., Inc. et al., and over ten class actions under the Telephone Consumer Protection Act ("TCPA").

EDUCATION: University of California, Berkeley (B.A., 1998), Cornell Law School, *Cornell Journal of Law and Public Policy*, General Editor, 2002-2003 (J.D., 2003).

#### **CONTRACT ATTORNEYS**

**ANDREEA MICLUT** was a contract attorney at Lieff Cabraser during the litigation. Prior to joining the firm, she was a project manager and attorney working on numerous patent infringement litigation matters. As of January 2019, Ms. Miclut is a staff attorney at Lieff Cabraser.

EDUCATION: University of California at Berkeley (B.A., 2004); Golden Gate University School of Law (J.D., 2007).

BAR ADMISSIONS: California.

**DENNY KIM** was a contract attorney at Lieff Cabraser during the litigation. He has worked on numerous class action matters at Lieff Cabraser, including *In re Volkswagen "Clean Diesel" MDL, In re Takata Airbag Product Liability Litigation, In re Chrysler-Dodge-Jeep EcoDiesel Marketing, Sales Practices, and Products Liability Litigation, and <i>In re National Prescription Opiate Litigation*. Prior to joining the firm, Mr. Kim worked on a variety of other complex litigations, as well as working for the California Parole Advocacy Program representing clients in parole violation hearings. Mr. Kim began his legal career as a Deputy Public Defender in Kern County. As of January 2019, Mr. Kim is a staff attorney at Lieff Cabraser.

EDUCATION: University of California, Berkeley (B.A., 1996); University of San Diego, School of Law (J.D., 2005).

BAR ADMISSIONS: California.

#### LAW CLERKS

**AISHA SAAD** was a law clerk at Lieff Cabraser during the summer of 2017. While at the firm, Ms. Saad performed work under the supervision of and at the direction of partners and associates on the case. Ms. Saad will return to Lieff Cabraser as an associate attorney in the Fall of 2020.

EDUCATION: Yale Law School (J.D., 2018); University of Oxford (DPhil, 2013; MPhil, 2011); University of North Carolina, Chapel Hill (B.A., 2009).

**RACHEL GREEN** was a law clerk at Lieff Cabraser during the summer of 2018. While at the firm, Ms. Green performed work under the supervision of and at the direction of partners and associates on the case. Ms. Green will return to Lieff Cabraser as an associate attorney in September 2019.

EDUCATION: Stanford University Law School (J.D., 2019); Queen's University Belfast (M.A., 2016); Indiana University Bloomington (B.A., 2015).

#### **PARALEGALS/CASE CLERKS**

ALEXANDER ZANE has worked on many securities litigation matters in his eight years supporting the securities litigation practice at Lieff Cabraser, including BlackRock Global Allocation Fund, Inc., et al. v. Valeant Pharmaceuticals International, Inc., et al., Normand, et al. v. Bank of New York Mellon Corp., In re: BofI Holding, Inc. Securities Litigation, Janus Overseas Fund, et al. v. Petróleo Brasileiro S.A. – Petrobras, et al., Nuveen Dividend Value Fund (f/k/a Nuveen Equity Income Fund) v. Merck & Co., Inc., et al., Biotechnology Value Fund, L.P., et al. v. Celera Corp., et al., In re: Facebook, Inc. IPO Sec. And Deriv. Litig., In re Diamond Foods, Inc., Securities Litigation, The Charles Schwab Corp. v. BNP Paribas Sec. Corp., et al., and In re A-Power Energy Generation Systems, Ltd. Securities Litigation. Prior to joining the firm in 2005, Mr. Zane served as a head teaching assistant for the department of philosophy at Stanford University.

EDUCATION: Stanford University (B.A., 2002); Stanford University (M.A., 2005).

**BRIAN TROXEL** is one of the firm's most senior and experienced paralegals. Mr. Troxel has been a key member of the firm's antitrust practice group since 2008. During that time, Mr. Troxel has been the crucial glue holding together the firm's work in major matters such as TFT-LCD Antitrust, *In re Ciprofloxacin Antitrust Litigation*, and *Lithium Ion Batteries Antitrust Litigation*. In *Batteries*, Mr. Troxel is recognized outside the firm as a paralegal of exceptional acumen and experience. The Bar Association of San Francisco named him "Paralegal of the Year" in 2016.

EDUCATION: University of Oregon (B.A., 1997).

**ELIZABETH KEENLEY** is a senior paralegal who has worked on numerous complex matters including antitrust and discrimination class actions and ERISA cases in her three years at Lieff Cabraser and eleven years as an employment litigation paralegal, among them *Seaman v. Duke University, Strauch v. Computer Sciences Corporation, Chen-Oster v. Goldman Sachs* and *Neil v. Zell.* Prior to joining Lieff Cabraser in 2016, Elizabeth worked as a paralegal for Lewis, Feinberg, Lee, Renaker & Jackson, P.C.

EDUCATION: University of California at Santa Barbara (B.A., 2009).

RICHARD TEXIER is one of Lieff Cabraser's most senior and experienced paralegals. Mr. Texier has worked on numerous securities litigation matters, multi district litigation tort matters, derivative matters, employment, consumer protection and toxic tort actions in his eighteen years with Lieff Cabraser, including In Re Broadcom Corp Derivative Litigation; The Charles Schwab Corp. v. BNP Paribas Sec. Corp; The Charles Schwab Corp. v. J.P. Morgan Sec., Inc.; The Charles Schwab Corp. v. J.P. Morgan Sec., Inc.; The Charles Schwab Corp. v. Banc of America Sec. LLC; In re Bank of New York Mellon Corp. Foreign Exchange Transactions Litigation; Biotechnology Value Fund, L.P. v. Celera Corp; In re A-Power Energy Generation Systems, Ltd. Securities Litigation; In Re: Bextra and Celebrex Marketing Sales Practices and Product Liability Litigation; In re Guidant Implantable Defibrillators Products Liability Litigation; Blood Factor VIII And Factor IX Litigation; In Re: Diamond Foods Securities Litigation; Chevron Fire Cases; In re National Security Agency Telecommunications Records Litigation;

Hepting v. AT&T Corp.; In re Network Associates, Inc. Securities Litigation; In re A-Power Energy Generation Systems, Ltd. Securities Litigation; Toms River Childhood Cancer Incidents; West v. G&H Seed Co., et al.; Church v. Consolidated Freightways; Thompson v. WFS Financial; Pakeman v. American Honda Finance Corporation; Herra v. Toyota Motor Credit Corporation.

EDUCATION: University of Maine (B.S., 1990); University of California Los Angeles Extension, Attorney Assistant Training Program Certificate in Litigation, 1996. Offered in cooperation with UCLA Law School and approved by the American Bar Association.

**TODD CARNAM** was a senior paralegal and researcher at Lieff Cabraser with over fifteen years of litigation experience.

EDUCATION: University of Michigan (M.A. 2005); Williams College (B.A., 2000).

**CHRISTOPHER MUNOZ** has previously worked on personal injury/mass tort litigation. Prior to joining the firm in 2018, Mr. Munoz was a legal assistant at Milstein Jackson Fairchild & Wade, LLP managing various cases in the personal injury area.

EDUCATION: University of California, Davis (B.A. 2015).

**HANNAH SELHORST** has worked as a paralegal on a variety of different cases in her nearly two years with Lieff Cabraser, including consumer, product defect, and securities cases.

EDUCATION: University of California, Berkeley (B.A. 2016).

**AIDAN BROWN** is a paralegal at Lieff Cabraser who has worked on the Wells Fargo derivative action as well as several defective products matters. Prior to joining the firm in 2018, Ms. Brown was an intern at the California Northern Probation Office in San Francisco, CA.

EDUCATION: University of California, Berkeley (B.A., 2018).

#### **LITIGATION SUPPORT/RESEARCH**

KIRTI DUGAR is a financial analyst who has served as the head of Lieff Cabraser's Litigation Support department since 2005. Mr. Dugar has over 40 years of combined relevant experience in both law and economics. He has coordinated over a dozen trials, mock trials and arbitrations; works closely with Lieff Cabraser's lead trial partner, Richard Heimann, and other senior partners at the firm; and assists in all phases of litigation—from pre-filing research and analysis through document review, deposition preparation to mediation and trials. Mr. Dugar has extensive knowledge of the federal securities laws; is proficient in economic and risk analysis; has advanced quantitative skills; and has extensive direct experience in financial markets, including international capital markets and related derivatives. From 1974 to 1992, Mr. Dugar was a Trading advisor in bonds, stocks, commodities, futures & options with financial institutions in Mumbai and Chicago. Mr. Dugar's expertise has supported successful outcomes in numerous securities and other cases at Lieff Cabraser. From 1992 to 1999, Mr. Dugar was a Litigation Paralegal at the Law Offices of Peter E. Sitkin (Trial Advocacy Professor at Hastings College of the Law, University of California) in Emeryville, CA. Mr. Dugar joined Lieff

Cabraser in 1999. As the head of the firm's Litigation Support Department, Mr. Dugar supervises and manages the setting up and maintenance of document review databases of the firm numerous cases, including implementation of Technology Assisted Review ('TAR") and needs relating to ESI.

EDUCATION: University of Delhi (B.A., Economics).

ANIL NAMIBAR, has worked in the Securities Fraud practice group and on other financial case-related matters during his eight years at Lieff Cabraser as a financial analyst. He has developed, under the chief financial analyst, extensive various financial modeling and economic damages analysis for fraud on the market and 10b5 legal claims for several securities litigation cases including BNYM Mellon, FX, LIBOR, Petrobras, Valeant Pharmaceutical, and Volkswagen AG, on behalf of several large noteworthy pension funds and private institutional clientele. Prior to joining the firm, Mr. Nambiar was employed at Charles Schwab as a Finance Manager in their Enterprise Finance unit and other financial related roles in private wealth management. He is a certified professional Bloomberg end-user.

EDUCATION: University of Southern California (B.S. 2010).

**ANTHONY GRANT** has worked as a Litigation Support Specialist at Lieff Cabraser for twelve years. In addition to his duties with assisting the Litigation Support team in processing and hosting ESI productions, he also assists in preparing demonstratives for use with court room presentations. Mr. Grant has a combined seventeen years of experience in the fields of ediscovery and courtroom trial presentation. Before coming to Lieff Cabraser, he worked as a Litigation Support Specialist at Damrell, Nelson, Schrimp, Pallios, & Silva for five years.

EDUCATION: Academy of Art, San Francisco, California (cum laude).

**FAWAD RAHIMI** currently works as a Litigation Support Assistant at Lieff Cabraser.

EDUCATION: The Kabul University of Afghanistan (Bachelor in Law, 2011).

**MAJOR MUGRAGE** is a senior paralegal at Lieff Cabraser. Mr. Mugrage has several years' experience supporting trial software, demonstratives and presentations as well as all aspects of electronic discovery databases and programs.

MARGIE CALANGIAN is a Litigation Support Specialist at Lieff Cabraser. She has five years of experience with the administration of the document database platform Relativity. Ms. Calangian worked for several years in legal services in San Francisco as a Senior Project Engineer prior to joining the Lieff Cabraser.

EDUCATION: Philippine School of Business Administration (B.S. 2011).

**RENEE MUKHERJI** is a law librarian in Lieff Cabraser's San Francisco office. Ms. Mukherji has over 25 years of librarian experience in various law firms in the San Francisco Bay Area.

EDUCATION: University of California at Santa Barbara (B.A. 1994); University of Illinois at Urbana-Champaign (M.S. 2004).

**RICHARD ANTHONY** is a Litigation Support Specialist at Lieff Cabraser. Mr. Anthony worked previously as a Senior Paralegal and Legal Researcher.

EDUCATION: University of California, Santa Cruz (B.A., 1990); University of California, San Diego (M.A., 1995).

**NIKKI BELUSHKO BARROWS** is a research assistant at Lieff Cabraser. She is a licensed attorney in the state of California with 18 years of experience working in law firms.

EDUCATION: of Massachusetts, Amherst (B.A., *cum laude*, 2000); University of San Francisco - School of Law, (J.D., 2004).

**JESSICA MELTSER** was a research assistant at Lieff Cabraser.

EDUCATION: University of San Francisco School of Law (J.D., 2016); University of California, Los Angeles (B.A.).

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

6. Legal research & writing, drafting pleadings, briefing motions

- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

												TOTAL SUM OF		LODESTAR AT		LODESTAR AT
TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	HOURS	HISTORIC RATE	HISTORIC RATE	CURRENT RATE	CURRENT RATE
HEIMANN, RICHARD - PARTNER												407.00		422,932.50		437,525.00
	October 2016	0.90										0.90	. ,	\$900.00	\$1,075.00	\$967.50
	November 2016								1.10			1.10		\$1,100.00	\$1,075.00	\$1,182.50
	January 2017						1.10	5.80	4.40			11.30	\$1,025.00	\$11,582.50	\$1,075.00	\$12,147.50
	February 2017						22.50	5.30	3.10			30.90	\$1,025.00	\$31,672.50	\$1,075.00	\$33,217.50
	March 2017						2.80	0.40	0.40			3.60		\$3,690.00	\$1,075.00	\$3,870.00
	April 2017	6.90					10.10		0.40			17.40	\$1,025.00	\$17,835.00	\$1,075.00	\$18,705.00
	May 2017						14.80	12.10	7.90	0.80		35.60		\$36,490.00	\$1,075.00	\$38,270.00
	June 2017	1.00					1.30		0.90	3.10		6.30		\$6,457.50	\$1,075.00	\$6,772.50
	July 2017	2.60		3.20			0.90	6.70	0.20	12.10		25.70	\$1,025.00	\$26,342.50	\$1,075.00	\$27,627.50
	August 2017									45.50		45.50		\$46,637.50	\$1,075.00	\$48,912.50
	September 2017							0.80		4.10		4.90		\$5,022.50	\$1,075.00	\$5,267.50
	October 2017						1.00	2.20	4.70	22.60		30.50	\$1,025.00	\$31,262.50	\$1,075.00	\$32,787.50
	November 2017						2.20	1.20	2.60			6.00		\$6,150.00	\$1,075.00	\$6,450.00
	December 2017				0.90		2.40					3.30		\$3,382.50	\$1,075.00	\$3,547.50
	January 2018				0.50		2.70	1.20	1.70			6.10		\$6,405.00	\$1,075.00	\$6,557.50
	February 2018				0.60		5.20					5.80		\$6,090.00	\$1,075.00	\$6,235.00
	March 2018						1.90					1.90		\$1,995.00	\$1,075.00	\$2,042.50
	April 2018						1.70	6.30	0.70			8.70		\$9,135.00	\$1,075.00	\$9,352.50
	May 2018				0.20		2.70		2.60			5.50		\$5,775.00	\$1,075.00	\$5,912.50
	June 2018			0.40					0.50	0.20		1.10		\$1,155.00	\$1,075.00	\$1,182.50
	July 2018							1.00	2.70	1.00		4.70		\$4,935.00	\$1,075.00	\$5,052.50
	August 2018						0.40			0.50		0.90		\$945.00	\$1,075.00	\$967.50
	September 2018						3.10			30.20		33.30		\$34,965.00	\$1,075.00	\$35,797.50
	October 2018							1.60	0.30	28.00		29.90	\$1,050.00	\$31,395.00	\$1,075.00	\$32,142.50
	November 2018					3.20	)			6.40		9.60		\$10,080.00	\$1,075.00	\$10,320.00
	December 2018									28.20		28.20	\$1,050.00	\$29,610.00	\$1,075.00	\$30,315.00
	January 2019									10.30		10.30	\$1,075.00	\$11,072.50	\$1,075.00	\$11,072.50
	February 2019									22.60		22.60		\$24,295.00	\$1,075.00	\$24,295.00
	March 2019									6.00		6.00	\$1,075.00	\$6,450.00	\$1,075.00	\$6,450.00
	April 2019									2.20		2.20		\$2,365.00	\$1,075.00	\$2,365.00
	May 2019									7.20		7.20			\$1,075.00	\$7,740.00
FINEMAN, STEVEN - PARTNER												19.60		17,347.50		18,620.00
	November 2016						7.20		3.10			10.30	\$875.00		\$950.00	\$9,785.00
	December 2016						2.20					2.20		\$1,925.00	\$950.00	\$2,090.00
	January 2017								1.70			1.70		\$1,530.00	\$950.00	\$1,615.00
	February 2017								0.50			0.50		\$450.00	\$950.00	\$475.00
	May 2017							0.90				0.90		\$810.00	\$950.00	\$855.00
	July 2017								0.70		0.70	1.40		\$1,260.00	\$950.00	\$1,330.00
	August 2017									0.50		0.50		\$450.00	\$950.00	\$475.00
	October 2017							0.70				0.70		\$630.00	\$950.00	\$665.00
	November 2017						0.60					0.60		\$540.00	\$950.00	\$570.00
	February 2018								0.50			0.50	\$925.00	\$462.50	\$950.00	\$475.00
	July 2018										0.30	0.30		\$277.50	\$950.00	\$285.00
DERMODY, KELLY - PARTNER												11.40		9,690.00		10,260.00
	January 2017						0.50	7.50	1.80			9.80		\$8,330.00	\$900.00	\$8,820.00
	May 2017								1.60			1.60	\$850.00	\$1,360.00	\$900.00	\$1,440.00
BENSON, KATHERINE LUBIN - PARTNER												1,906.20	ı	999,182.00		1,060,827.00
	October 2016						20.70					20.70	\$485.00	\$10,039.50	\$485.00	\$10,039.50
	November 2016				_		27.90		2.80			30.70	\$485.00	\$14,889.50	\$485.00	\$14,889.50
	December 2016						37.20					37.20	\$485.00	\$18,042.00	\$485.00	\$18,042.00
	January 2017	0.50					25.30	10.90	42.40			79.10	\$510.00	\$40,341.00	\$560.00	\$44,296.00
	February 2017	14.70					92.20	8.10	22.40			137.40	\$510.00	\$70,074.00	\$560.00	\$76,944.00
1	March 2017						35.60		1.80			37.40	\$510.00	\$19,074.00	\$560.00	\$20,944.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

6. Legal research & writing, drafting pleadings, briefing motions

- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

											TOTAL SUM OF		LODESTAR AT		LODESTAR AT
Month	1	2	3	4	5	6	7	8	9	10	HOURS	HISTORIC RATE	HISTORIC RATE	CURRENT RATE	CURRENT RATE
April 2017	16.70					50.80	4.00	3.60			75.10	\$510.00	\$38,301.00	\$560.00	\$42,056.00
May 2017	0.60					9.30	39.70						\$46,920.00		\$51,520.00
June 2017						13.80		50.20	11.50			\$510.00	\$38,505.00		\$42,280.00
July 2017						16.10	6.80	12.80	37.30	1.30	74.30	\$510.00	\$37,893.00	\$560.00	\$41,608.00
August 2017							1.20		71.00		72.20	\$510.00	\$36,822.00	\$560.00	\$40,432.00
September 2017				9.60				8.60	26.50		44.70	\$510.00	\$22,797.00	\$560.00	\$25,032.00
October 2017				4.80		3.70	3.00	28.00	32.20		71.70	\$510.00	\$36,567.00	\$560.00	\$40,152.00
November 2017	0.90			4.60		1.20	1.40	17.20			25.30	\$510.00	\$12,903.00	\$560.00	\$14,168.00
December 2017	1.30			3.80				18.80			23.90	\$510.00	\$12,189.00	\$560.00	\$13,384.00
January 2018				7.00		17.90	4.70	35.90			65.50	\$535.00	\$35,042.50	\$560.00	\$36,680.00
February 2018			2.00	4.10		5.00		22.00			33.10	\$535.00	\$17,708.50	\$560.00	\$18,536.00
March 2018	0.60		3.20	4.70		17.60		31.00			57.10	\$535.00	\$30,548.50	\$560.00	\$31,976.00
April 2018				2.40		20.90	9.40	26.10			58.80	\$535.00	\$31,458.00	\$560.00	\$32,928.00
May 2018	0.70			3.00		14.20	4.50	61.40		1.40	85.20	\$535.00	\$45,582.00	\$560.00	\$47,712.00
June 2018				11.90		41.10	2.20	34.00	0.30	1.10	90.60	\$535.00	\$48,471.00	\$560.00	\$50,736.00
July 2018			5.80	6.00		13.60	6.00	23.00	0.60		55.00	\$535.00	\$29,425.00	\$560.00	\$30,800.00
August 2018			1.60	11.20		3.60	5.50	35.30	8.70		65.90	\$535.00	\$35,256.50	\$560.00	\$36,904.00
-				3.90	1.00			9.90	101.90		116.70	\$535.00	\$62,434.50	\$560.00	\$65,352.00
· · · · · · · · · · · · · · · · · · ·				7.40			2.00		34.70		90.80	\$535.00	\$48.578.00	\$560.00	\$50.848.00
				16.70					10.90		51.80	\$535.00	\$27,713,00	\$560.00	\$29,008.00
											78.10	\$535.00			\$43,736.00
															\$33,712.00
															\$38,136.00
· · · · · · · · · · · · · · · · · · ·								3.10							\$8,624.00
															\$2,856.00
· · · · · · · · · · · · · · · · · · ·															\$5,208.00
															\$1,288.00
dulic 2015								2.00	0.00					φοσο.σσ	60,295.00
Contombor 2016	0.20								+					\$77E 00	\$155.00
· · · · · · · · · · · · · · · · · · ·						2.50		0.30	+						\$6,277.50
															\$13,717.50
	0.10						0.40								\$13,717.50
				0.20											\$7,827.50
	0.70						1.30								\$5,502.50
,	0.70			0.50		2.70									
						2.00									\$542.50
<u> </u>				0.50											\$1,472.50
,				0.50											\$6,432.50
						0.50									\$2,092.50
· · · · · · · · · · · · · · · · · · ·															\$155.00
								0.30	1.80						\$1,627.50
						0.10									\$77.50
															\$775.00
			ļ			1									\$155.00
			ļ			1									\$77.50
															\$155.00
August 2018			ļ			1									\$155.00
· · · · · · · · · · · · · · · · · · ·								0.20							\$155.00
October 2018									0.20						\$155.00
November 2018						0.50									\$387.50
December 2018															\$155.00
January 2019									0.10		0.10	\$775.00	\$77.50	\$775.00	\$77.50
March 2019								0.10	1		0.10	\$775.00	\$77.50	\$775.00	\$77.50
											11.00		5,690.00		6,490.00
	May 2017 June 2017 June 2017 June 2017 August 2017 August 2017 October 2017 October 2017 December 2018 February 2018 April 2018 April 2018 June 2018 August 2018 September 2018 October 2018 December 2018 December 2019 April 2019 April 2019 April 2019 March 2019 April 2019 March 2019 June 2019 September 2016 October 2016 October 2016 October 2016 December 2016 December 2016 December 2016 December 2016 January 2017 February 2017 March 2017 April 2017 April 2017 June 2017 June 2017 June 2017 June 2017 December 2017 September 2017 December 2017 September 2017 September 2017 December 2017 September 2017 December 2017 December 2017 September 2017 December 2017 September 2018 June 2018 September 2018 October 2018 September 2018 December 2018 December 2018 December 2018 December 2018 December 2018	April 2017 16.70 May 2017 0.60 June 2017 June 2017 August 2017 September 2017 October 2017 November 2017 December 2018 May 2018 February 2018 May 2018 August 2018 May 2018 April 2018 May 2018 August 2018 September 2018 October 2018 August 2018 September 2018 December 2018 December 2018 December 2018 June 2019 June 2019 May 2019 February 2019 February 2019 March 2019 April 2019 May 2019 April 2019 May 2019 June 2019 September 2016 October 2016 October 2016 December 2016 January 2017 February 2017 February 2017 February 2017 April 2017 May 2017 June 2017 June 2017 June 2017 June 2017 June 2017 August 2017 December 2017 November 2017 November 2017 December 2017 May 2018 June 2018 June 2018 September 2017 May 2018 June 2018 September 2018 September 2018 September 2017 November 2017 December 2017 September 2018 September 2018 September 2018 September 2018 December 2018	April 2017 16.70 May 2017 0.60 June 2017 June 2017 July 2017 August 2017 September 2017 October 2017 November 2017 December 2018 February 2018 February 2018 May 2018 May 2018 June 2018 May 2018 August 2018 August 2018 August 2018 September 2018 October 2018 November 2018 January 2019 February 2019 March 2019 June 2018 January 2019 September 2018 January 2019 February 2019 February 2019 February 2019 May 2019 May 2019 April 2019 May 2019 June 2016 January 2019 February 2019 February 2019 February 2019 February 2019 February 2019 May 2019 June 2019 June 2019 June 2019 September 2016 January 2017 February 2017 February 2017 February 2017 April 2017 May 2017 June 2017 June 2017 June 2017 June 2017 November 2016 October 2017 November 2017 November 2017 December 2017 May 2018 June 2018 September 2018	April 2017 16.70 May 2017 0.60 June 2017 July 2017 August 2017 September 2017 October 2017 November 2017 January 2018 February 2018 February 2018 March 2018 May 2018 June 2018 May 2018 June 2018 August 2018 September 2018 October 2018 November 2018 December 2018 October 2018 November 2019 March 2018 September 2018 June 2019 June 2019 June 2019 June 2019 April 2019 March 2019 April 2019 March 2019 April 2019 March 2019 April 2019 May 2019 September 2016 October 2016 January 2017 February 2017 April 2017 March 2017 April 2017 March 2017 April 2017 March 2017 April 2017 March 2017 April 2017 May 2017 August 2017 December 2016 January 2017 September 2016 January 2017 December 2016 January 2017 April 2017 March 2017 April 2017 May 2017 August 2017 December 2017 November 2017 November 2017 December 2018 June 2018 September 2019	April 2017 16.70 May 2017 0.60 June 2017 July 2017 August 2017 September 2017 October 2017 0.90 December 2017 1.30 January 2018 March 2018 0.60 August 2018 May 2018 0.70 July 2018 August 2018 0.70 July 2018 August 2018 0.60 August 2018 0.60 August 2018 0.70 June 2018 August 2018 0.70 December 2018 August 2018 0.60 August 2019 0.60 August 2017 0.70 August 2017 0.50 August 2017 0.60 August 2017 0.60 August 2018 0.60 August 2019 0.60 August 2019 0.60 August 2018 0.60 August 2019 0.60 August 2018 0.60 August 2019 0.60 August 2019 0.60 August 2019 0.60 August 2018 0.60 August 2019 0.60 August 2019 0.60 August 2018 0.60 August 2019 0.60 August 2018 0	April 2017 16.70 May 2017 0.60 June 2017 July 2017 August 2017 August 2017 September 2017 9.60 December 2017 13.0 December 2017 13.0 December 2018 2.00 4.10 March 2018 3.20 April 2018 3.30 June 2018 3.30 July 2018 3.30 July 2018 3.30 August 2018 3.30 August 2018 3.30 August 2018 3.30 August 2018 3.30 Cotober 2018 3.30 Cotober 2018 3.30 Cotober 2018 3.30 August 2019 3.30 August 2019 3.30 August 2019 3.30 August 2019 3.30 April 2019 4 April 2019 4 April 2019 5 April 2017 5 August 2018 5 August 20	April 2017 16.70 9.30 May 2017 0.60 9.30 June 2017 15.30 July 2017 9.50 August 2017 9.60 9.80 9.80 July 2017 9.80 9.80 9.80 Cotober 2017 0.90 4.80 9.80 12.0 December 2017 1.30 3.80 9.80 9.80 12.0 December 2017 1.30 9.80 9.80 9.80 9.80 9.80 9.80 9.80 9.8	April 2017	April 2017   16.70   5.80   4.00   3.60	May 2017 0.80	April 2017   18.70	Month	Month	Month	Mode

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

6. Legal research & writing, drafting pleadings, briefing motions

- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

												TOTAL SUM OF		LODESTAR AT		LODESTAR AT
TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	HOURS	HISTORIC RATE	HISTORIC RATE	CURRENT RATE	CURRENT RATE
	January 2017								1.00			1.00	\$540.00	\$540.00	\$590.00	\$590.00
DIAMAND, NICHOLAS - PARTNER												1,500.40		998,247.50		1,050,280.00
	September 2016	3.80							0.80			4.60	\$625.00	\$2,875.00	\$700.00	\$3,220.00
	October 2016	28.20					48.50		0.70			77.40	\$625.00	\$48,375.00	\$700.00	\$54,180.00
	November 2016	1.00					17.30		3.90			22.20	\$625.00	\$13,875.00	\$700.00	\$15,540.00
	December 2016	2.00					22.60	0.50	10.10			35.20	\$625.00	\$22,000.00	\$700.00	\$24,640.00
	January 2017	10.10	0.40		1.00		13.80	11.60	27.40			64.30	\$650.00	\$41,795.00	\$700.00	\$45,010.00
	February 2017	33.70	2.20				16.70		8.60			61.20	\$650.00	\$39,780.00	\$700.00	\$42,840.00
	March 2017	0.50					16.10		15.80			32.40	\$650.00	\$21,060.00	\$700.00	\$22,680.00
	April 2017	7.50					12.40	0.50	6.20			26.60	\$650.00	\$17,290.00	\$700.00	\$18,620.00
	May 2017	4.10					2.20	11.80	18.70	0.70		37.50	\$650.00	\$24,375.00	\$700.00	\$26,250.00
	June 2017	1.70					8.00		5.30	9.90		24.90	\$650.00	\$16,185.00	\$700.00	\$17,430.00
	July 2017						15.40		2.50	22.20	1.40	41.50	\$650.00	\$26,975.00	\$700.00	\$29,050.00
	August 2017	1.50					0.10		4.70	66.50	1.00	73.80	\$650.00	\$47,970.00	\$700.00	\$51,660.00
	September 2017	0.40			3.00				5.00	17.50	0.60	26.50	\$650.00	\$17,225.00	\$700.00	\$18,550.00
	October 2017	0.30	0.70		2.00		1.60		9.20	18.50		32.30	\$650.00	\$20,995.00	\$700.00	\$22,610.00
	November 2017	0.20			2.70		3.90		13.50			20.30	\$650.00	\$13,195.00	\$700.00	\$14,210.00
	December 2017		0.80	0.20	7.70		2.20		11.30			22.20	\$650.00	\$14,430.00	\$700.00	\$15,540.00
	January 2018				1.90		26.20	2.00	24.50		0.20	54.80	\$675.00	\$36,990.00	\$700.00	\$38,360.00
	February 2018			0.50			4.40		9.80		0.20	20.80	\$675.00	\$14,040.00	\$700.00	\$14,560.00
	March 2018	0.20		2.80	4.90		8.40		13.70		1.50	31.50	\$675.00	\$21,262.50	\$700.00	\$22,050.00
	April 2018	0.30		1.00	0.90		21.30		11.70	0.50		35.70	\$675.00	\$24,097.50	\$700.00	\$24,990.00
	May 2018	0.00	0.20	1.00	3.10		9.60	2.50	16.60	0.00	0.20	32.20	\$675.00		\$700.00	\$22,540.00
	June 2018		0.20	10.60	7.00		29.50	1.80	22.00	2.50	3.30	76.90	\$675.00	\$51,907.50	\$700.00	\$53,830.00
	July 2018		0.20	7.30	1.50		7.10	0.20	25.10	16.80	1.70	59.70			\$700.00	\$41,790.00
	August 2018			8.30	7.50		4.70	1.00	7.40	13.60	1.70	42.50	· · · · · · · · · · · · · · · · · · ·	\$28,687.50	\$700.00	\$29,750.00
	-			7.60	1.30	0.60	5.00	1.00	11.10	47.20	0.30	73.10	\$675.00	\$49,342.50	\$700.00	\$51,170.00
	September 2018 October 2018	1.00		10.20	24.10	5.90	0.90	5.70	13.40	71.50	0.30	132.70	\$675.00	\$89,572.50	\$700.00	\$92,890.00
	November 2018	2.70		12.40	11.30	10.10	0.90	5.70	20.30	20.10		76.90	\$675.00		\$700.00	\$53,830.00
	December 2018	0.80		12.40	11.30	0.40	3.10		9.30	84.30	0.20	99.30	\$675.00	\$67,027.50	\$700.00	\$69,510.00
		0.80		1.20	0.00	0.40	0.30	0.50		49.30			\$675.00 \$700.00	\$67,027.50 \$43.470.00		
	January 2019	0.20			0.60		0.30	0.50	10.70 2.10	49.30	0.70 2.00	62.10 48.40		\$43,470.00	\$700.00 \$700.00	\$43,470.00 \$33,880.00
	February 2019								2.10		2.00					
	March 2019	0.20							0.00	11.40		11.60	\$700.00	\$8,120.00	\$700.00	\$8,120.00
	April 2019	1.10							2.20	3.90		7.20		\$5,040.00	\$700.00	\$5,040.00
	May 2019									29.10		29.10	\$700.00	\$20,370.00	\$700.00	\$20,370.00
	June 2019	0.20								2.80		3.00		\$2,100.00	\$700.00	\$2,100.00
KRUSE, JOY - PARTNER												521.80		443,207.50		443,530.00
	October 2016	2.90					5.00					7.90		\$6,517.50	\$850.00	\$6,715.00
	November 2016						4.10		0.90			5.00	\$825.00	\$4,125.00	\$850.00	\$4,250.00
	January 2017								0.40			0.40	\$850.00	\$340.00	\$850.00	\$340.00
	February 2017	1.80	5.50				6.40		2.50			16.20	\$850.00	\$13,770.00	\$850.00	\$13,770.00
	March 2017	3.20	1.50		3.00		41.00		2.60			51.30		\$43,605.00	\$850.00	\$43,605.00
	April 2017	11.10			1.30		12.80		1.30			26.50	\$850.00	\$22,525.00	\$850.00	\$22,525.00
	May 2017	10.70			6.80		18.70	10.00	12.40	2.50	0.30	61.40		\$52,190.00	\$850.00	\$52,190.00
	June 2017	0.60	12.90	0.30			9.90		12.00	0.60	1.60	37.90	\$850.00	\$32,215.00	\$850.00	\$32,215.00
	July 2017	1.00		0.40			12.20	2.30	4.10	117.20	13.20	150.40	\$850.00	\$127,840.00	\$850.00	\$127,840.00
	August 2017	10.20		0.90			8.80		2.00	80.80	12.20	114.90	\$850.00	\$97,665.00	\$850.00	\$97,665.00
	September 2017	2.20					17.30		3.90	17.20	2.30	42.90	\$850.00	\$36,465.00	\$850.00	\$36,465.00
	October 2017									7.00		7.00	\$850.00	\$5,950.00	\$850.00	\$5,950.00
LEE, SHARON - PARTNER												72.90		45,650.00		51,030.00
	October 2016	2.10										2.10	\$625.00	\$1,312.50	\$700.00	\$1,470.00
	November 2016	8.50					44.30		6.30			59.10	\$625.00	\$36,937.50	\$700.00	\$41,370.00
	December 2016	1.00					7.40		0.80			8.20		\$5,125.00	\$700.00	\$5,740.00
	January 2017						1.90	0.50				2.40		\$1,560.00	\$700.00	\$1,680.00
	February 2017	1			0.30		1.00	5.00	<del>                                     </del>			0.30		\$195.00	\$700.00	\$210.00
<u>I</u>	1 001001, 2017				0.50	1	l .		L			0.50	ψ550.00	\$.55.00	ψ. 55.00	Ψ2.0.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
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- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

												TOTAL SUM OF		LODESTAR AT		LODESTAR AT
TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	HOURS	HISTORIC RATE	HISTORIC RATE	CURRENT RATE	CURRENT RATE
	May 2017	0.30							0.50			0.80		\$520.00	\$700.00	\$560.00
MIARMI, MICHAEL - PARTNER												549.40		328,600.00		357,110.00
	October 2016	0.30					12.80					13.10	\$575.00	\$7,532.50	\$650.00	\$8,515.00
	November 2016						41.20					41.20	\$575.00	\$23,690.00	\$650.00	\$26,780.00
	December 2016						4.30					4.30		\$2,472.50	\$650.00	\$2,795.00
	January 2017						1.40		12.00			13.40	\$600.00	\$8,040.00	\$650.00	\$8,710.00
	February 2017						54.90		2.80			57.70	\$600.00	\$34,620.00	\$650.00	\$37,505.00
	March 2017						64.20		0.30			64.50	\$600.00	\$38,700.00	\$650.00	\$41,925.00
	April 2017						61.20		1.10			62.30	\$600.00	\$37,380.00	\$650.00	\$40,495.00
	May 2017						54.90	4.70	2.50			62.10	\$600.00	\$37,260.00	\$650.00	\$40,365.00
	June 2017						95.20		0.60			95.80	\$600.00	\$57,480.00	\$650.00	\$62,270.00
	July 2017						25.30		0.30	1.30		26.90	\$600.00	\$16,140.00	\$650.00	\$17,485.00
	August 2017							0.20		33.20		33.40		\$20,040.00	\$650.00	\$21,710.00
	September 2017									46.60		46.60	\$600.00	\$27,960.00	\$650.00	\$30,290.00
	October 2017						1.50		3.30	7.80		12.60		\$7,560.00	\$650.00	\$8,190.00
	November 2017						0.10		5.20			5.30		\$3,180.00	\$650.00	\$3,445.00
	December 2017								0.10			0.10	\$600.00	\$60.00	\$650.00	\$65.00
	January 2018						0.10	0.20	0.50			0.80		\$500.00	\$650.00	\$520.00
	February 2018						0.10		0.10			0.20	\$625.00	\$125.00	\$650.00	\$130.00
	March 2018				0.10				0.10			0.20		\$125.00	\$650.00	\$130.00
	April 2018								0.10			0.10		\$62.50	\$650.00	\$65.00
	May 2018								0.50			0.50		\$312.50	\$650.00	\$325.00
	August 2018								0.10			0.10	\$625.00	\$62.50	\$650.00	\$65.00
	September 2018									0.20		0.20		\$125.00	\$650.00	\$130.00
	December 2018									1.10		1.10	\$625.00	\$687.50	\$650.00	\$715.00
	January 2019									0.30		0.30		\$195.00	\$650.00	\$195.00
	February 2019									0.20	3.00	3.20		\$2,080.00	\$650.00	\$2,080.00
	May 2019									3.40		3.40		\$2,210.00	\$650.00	\$2,210.00
BALLAN, EVAN - ASSOCIATE												117.00		43,702.50		46,215.00
	October 2018				2.50		0.60		2.80			5.90		\$2,183.00	\$395.00	\$2,330.50
	November 2018	1.40			3.30		34.40		7.60	0.50		47.20	\$370.00	\$17,464.00	\$395.00	\$18,644.00
	December 2018						12.30		1.70	33.40		47.40	\$370.00	\$17,538.00	\$395.00	\$18,723.00
	January 2019									16.50		16.50		\$6,517.50	\$395.00	\$6,517.50
NICOLAOU, JOHN - ASSOCIATE												164.90		72,559.50		84,099.00
	May 2017	5.00					79.10		6.80			90.90	\$435.00	\$39,541.50	\$510.00	\$46,359.00
	June 2017				1.60		37.20		0.50			39.30	\$435.00	\$17,095.50	\$510.00	\$20,043.00
	July 2017						15.30		0.70			16.00	\$435.00	\$6,960.00	\$510.00	\$8,160.00
	October 2017								0.30			0.30		\$130.50	\$510.00	\$153.00
	January 2018						18.00		0.20			18.20	\$480.00	\$8,736.00	\$510.00	\$9,282.00
	September 2018								0.20			0.20		\$96.00	\$510.00	\$102.00
PETTERSON, SEAN - ASSOCIATE												484.40		207,282.00		213,136.00
	September 2018	2.50		11.90	3.00	7.80			9.50	34.50		69.20		\$29,064.00	\$440.00	\$30,448.00
	October 2018	0.60		21.70	14.80	3.20	1.40	1.40	8.10	15.40		66.60	\$420.00	\$27,972.00	\$440.00	\$29,304.00
	November 2018			1.30	18.00	24.60			19.60	16.10		79.60	\$420.00	\$33,432.00	\$440.00	\$35,024.00
	December 2018			0.50		2.70			4.50	69.60		77.30	\$420.00	\$32,466.00	\$440.00	\$34,012.00
	January 2019								3.90	66.60		70.50	\$440.00	\$31,020.00	\$440.00	\$31,020.00
	February 2019									80.30	1.70	82.00	\$440.00	\$36,080.00	\$440.00	\$36,080.00
	March 2019									11.80		11.80	\$440.00	\$5,192.00	\$440.00	\$5,192.00
	April 2019								0.20	2.40		2.60		\$1,144.00	\$440.00	\$1,144.00
	May 2019									24.80		24.80		\$10,912.00	\$440.00	\$10,912.00
SHEEN, MIKE - ASSOCIATE												1,144.20		529,998.00		549,216.00
	January 2018				9.20		48.40	2.60	6.80			67.00	\$460.00	\$30,820.00	\$480.00	\$32,160.00
	February 2018				14.90		22.20		7.30			44.40	\$460.00	\$20,424.00	\$480.00	\$21,312.00
	March 2018				4.20		32.40		5.30			41.90	\$460.00	\$19,274.00	\$480.00	\$20,112.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

- 6. Legal research & writing, drafting pleadings, briefing motions
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- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

TIMEKEEPER	Month	1	2	3	4	5	6	7		9	10	TOTAL SUM OF HOURS	HISTORIC RATE	LODESTAR AT HISTORIC RATE	CURRENT RATE	LODESTAR AT CURRENT RATE
TIMERCELER	April 2018		_	•	4.70	3	30.50	9.20	5.50	J	10	49.90	\$460.00	\$22,954.00	\$480.00	\$23,952.00
	May 2018	1			11.70		42.20	4.20	13.20			71.30	\$460.00	\$32,798.00	\$480.00	\$34,224.00
	June 2018	1			10.30		58.50	3.20	13.30			85.30	\$460.00	\$39,238.00	\$480.00	\$40,944.00
	July 2018	1		1.50	11.30		13.50	2.80	15.40			44.50	\$460.00	\$20,470.00	\$480.00	\$21,360.00
	August 2018	1	1	6.30	8.10		24.30	1.20	21.20			61.10	\$460.00	\$28,106.00	\$480.00	\$29,328.00
	September 2018	1	1	1.30	21.20		48.90	0.60	13.50	45.60		131.10	\$460.00	\$60,306.00	\$480.00	\$62,928.00
	October 2018	1	-	1.60	22.10	1.20	26.40	2.50	25.20	62.30	0.10	141.40	\$460.00	\$65,044.00	\$480.00	\$67,872.00
		1	1	2.80	12.80	21.50	23.80	2.50	31.80	10.70	0.10	103.40	\$460.00	\$47,564.00	\$480.00	\$49,632.00
	November 2018 December 2018			2.00	1.70	21.50	8.70		6.90	102.30		119.60	\$460.00	\$55,016.00	\$480.00	\$57,408.00
		1.40			2.40		3.40		7.70		3.30	27.40	\$480.00	\$13,152.00	\$480.00 \$480.00	\$13,152.00
	January 2019	1.40			2.40					9.20	3.30					
	February 2019	0.00					0.10		4.10	61.70		65.90	\$480.00	\$31,632.00	\$480.00	\$31,632.00
	March 2019	0.20					1.20		1.80	27.60		30.80	\$480.00	\$14,784.00	\$480.00	\$14,784.00
	April 2019								6.60	4.30		10.90	\$480.00	\$5,232.00	\$480.00	\$5,232.00
	May 2019							3.80	11.90	15.50		31.20	\$480.00	\$14,976.00	\$480.00	\$14,976.00
	June 2019								1.10	16.00		17.10	\$480.00	\$8,208.00	\$480.00	\$8,208.00
BAKER, MICHELLE - STAFF ATTORNEY												98.00		40,670.00		40,670.00
	October 2018			7.00								7.00	\$415.00	\$2,905.00	\$415.00	\$2,905.00
	November 2018			76.00								76.00	\$415.00	\$31,540.00	\$415.00	\$31,540.00
_	December 2018			15.00								15.00	\$415.00	\$6,225.00	\$415.00	\$6,225.00
BILKISS, ABBY - STAFF ATTORNEY												769.00		319,135.00		319,135.00
,	June 2018			46.00								46.00	\$415.00	\$19,090.00	\$415.00	\$19,090.00
	July 2018			166.00								166.00	\$415.00	\$68,890.00	\$415.00	\$68,890,00
	August 2018			180.50								180.50	\$415.00	\$74,907.50	\$415.00	\$74,907.50
	September 2018			130.50								130.50	\$415.00	\$54,157.50	\$415.00	\$54,157.50
	October 2018			107.00		56.00						163.00	\$415.00	\$67,645.00	\$415.00	\$67,645.00
	November 2018	1	1	107.00		83.00						83.00	\$415.00	\$34,445.00	\$415.00	\$34,445.00
CARR, LINDSAY - STAFF	November 2016	1				63.00		-							\$415.00	
ATTORNEY												224.00		92,960.00		92,960.00
	October 2018			60.00								60.00	\$415.00	\$24,900.00	\$415.00	\$24,900.00
	November 2018			116.00								116.00	\$415.00	\$48,140.00	\$415.00	\$48,140.00
	December 2018			48.00								48.00	\$415.00	\$19,920.00	\$415.00	\$19,920.00
CIBULKA, BRITT A STAFF ATTORNEY												182.50		75,737.50		75,737.50
	November 2018			123.80	1.70							125.50	\$415.00	\$52,082.50	\$415.00	\$52,082.50
	December 2018	1		57.00								57.00	\$415.00	\$23,655,00	\$415.00	\$23,655,00
GRALEWSKI, KELLY - STAFF	2000111201 2010			01.00									,	, .,	\$110.00	
ATTORNEY												137.40		57,021.00		57,021.00
	November 2018			75.10								75.10	\$415.00	\$31,166.50	\$415.00	\$31,166.50
	December 2018			62.30								62.30	\$415.00	\$25,854.50	\$415.00	\$25,854.50
KIM, DENNY - CONTRACT ATTORNEY												374.50		155,417.50		155,417.50
	July 2017			14.50								14.50	\$415.00	\$6,017.50	\$415.00	\$6,017.50
	August 2017			168.00								168.00	\$415.00	\$69,720.00	\$415.00	\$69,720.00
	September 2017			160.00	İ						İ	160.00	\$415.00	\$66,400.00	\$415.00	\$66,400.00
	October 2017			32.00	İ						İ	32.00	\$415.00	\$13,280.00	\$415.00	\$13,280.00
KIM, JASON - STAFF ATTORNEY					İ							672.00		278,880.00	İ	278,880.00
	August 2018			160.00								160.00	\$415.00	\$66,400.00	\$415.00	\$66,400.00
	September 2018			152.00	İ							152.00	\$415.00	\$63,080.00	\$415.00	\$63,080.00
	October 2018			72.00		80.00						152.00	\$415.00	\$63,080.00	\$415.00	\$63,080.00
	November 2018					144.00						144.00	\$415.00	\$59,760.00	\$415.00	\$59,760.00
	December 2018					64.00						64.00	\$415.00	\$26,560.00	\$415.00	\$26,560.00
KRAINSKY, ELLA - STAFF		<b>1</b>			<del>                                     </del>	550							¥ 5.00		ψσ.σσ	
ATTORNEY												64.00		26,560.00		26,560.00
-	August 2018	1	1	64.00			· · · · · · · · · · · · · · · · · · ·		_		1	64.00	\$415.00	\$26,560.00	\$415.00	\$26,560.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

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- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	TOTAL SUM OF HOURS	HISTORIC RATE	LODESTAR AT HISTORIC RATE	CURRENT RATE	LODESTAR AT CURRENT RATE
LIEBMANN, COLEEN - STAFF ATTORNEY												259.00		107,485.00		107,485.00
	October 2018			46.00								46.00	\$415.00	\$19,090.00	\$415.00	\$19,090.00
	November 2018			152.00								152.00	\$415.00	\$63,080.00	\$415.00	\$63,080.00
	December 2018			61.00								61.00	\$415.00	\$25,315.00	\$415.00	\$25,315.00
MICLUT, ANDREEA - CONTRACT ATTORNEY												969.50		402,342.50		402,342.50
	June 2018			56.00								56.00	\$415.00	\$23,240.00	\$415.00	\$23,240.00
	July 2018			176.00								176.00	\$415.00	\$73,040.00	\$415.00	\$73,040.00
	August 2018			175.00								175.00	\$415.00	\$72,625.00	\$415.00	\$72,625.00
	September 2018			152.00								152.00	\$415.00	\$63,080.00	\$415.00	\$63,080.00
	October 2018			117.00		51.00						168.00	\$415.00	\$69,720.00	\$415.00	\$69,720.00
	November 2018					178.50						178.50	\$415.00	\$74,077.50	\$415.00	\$74,077.50
	December 2018					64.00						64.00	\$415.00	\$26,560.00	\$415.00	\$26,560.00
NGUYEN, PHIANH - STAFF ATTORNEY												254.00		105,410.00		105,410.00
	October 2018			67.00								67.00	\$415.00	\$27,805.00	\$415.00	\$27,805.00
	November 2018			131.00								131.00	\$415.00	\$54,365.00	\$415.00	\$54,365.00
	December 2018			56.00								56.00	\$415.00	\$23,240.00	\$415.00	\$23,240.00
NUTTING, LEAH - STAFF ATTORNEY												1,004.30		416,784.50		416,784.50
	May 2018	11.70		14.00					16.60			42.30	\$415.00	\$17,554.50	\$415.00	\$17,554.50
	June 2018	3.60		153.20					2.80			159.60	\$415.00	\$66,234.00	\$415.00	\$66,234.00
	July 2018			139.00					2.20			141.20	\$415.00	\$58,598.00	\$415.00	\$58,598.00
	August 2018			170.10					1.60			171.70	\$415.00	\$71,255.50	\$415.00	\$71,255.50
	September 2018			129.60								129.60	\$415.00	\$53,784.00	\$415.00	\$53,784.00
	October 2018	0.20		105.30		53.70						159.20	\$415.00	\$66,068.00	\$415.00	\$66,068.00
	November 2018					135.90						135.90	\$415.00	\$56,398.50	\$415.00	\$56,398.50
	December 2018					64.80						64.80	\$415.00	\$26,892.00	\$415.00	\$26,892.00
OH, MARISSA - STAFF ATTORNEY												187.10		77,646.50		77,646.50
	October 2018			21.00								21.00	\$415.00	\$8,715.00	\$415.00	\$8,715.00
	November 2018			125.60								125.60	\$415.00	\$52,124.00	\$415.00	\$52,124.00
	December 2018			40.50								40.50	\$415.00	\$16,807.50	\$415.00	\$16,807.50
PARK, JAE - STAFF ATTORNEY												898.00		372,670.00		372,670.00
	June 2018			60.00								60.00	\$415.00	\$24,900.00	\$415.00	\$24,900.00
	July 2018			135.00								135.00	\$415.00	\$56,025.00	\$415.00	\$56,025.00
	August 2018		24.00									150.20	\$415.00	\$62,333.00	\$415.00	\$62,333.00
	September 2018			150.80								150.80	\$415.00	\$62,582.00	\$415.00	\$62,582.00
	October 2018			105.00		79.00						184.00	\$415.00	\$76,360.00	\$415.00	\$76,360.00
	November 2018					160.00						160.00	\$415.00	\$66,400.00	\$415.00	\$66,400.00
	December 2018					58.00						58.00	\$415.00	\$24,070.00	\$415.00	\$24,070.00
SAUNDERS, CAMERON - STAFF ATTORNEY												537.30		222,979.50		222,979.50
	August 2018			103.50								103.50		\$42,952.50	\$415.00	\$42,952.50
	September 2018			121.80								121.80	\$415.00	\$50,547.00	\$415.00	\$50,547.00
	October 2018			103.00		57.00					ļ	160.00	\$415.00	\$66,400.00	\$415.00	\$66,400.00
	November 2018			ļ		104.00					ļ	104.00	\$415.00	\$43,160.00	\$415.00	\$43,160.00
	December 2018			16.00		32.00						48.00	\$415.00	\$19,920.00	\$415.00	\$19,920.00
SHINDELBOWER, JERRY - STAFF ATTORNEY												929.00		385,535.00		385,535.00
	June 2018			68.00								68.00	\$415.00	\$28,220.00	\$415.00	\$28,220.00
	July 2018			168.00								168.00	\$415.00	\$69,720.00	\$415.00	\$69,720.00
	August 2018	ļ		161.00		8.00						169.00	\$415.00	\$70,135.00	\$415.00	\$70,135.00
	September 2018			151.00								151.00	\$415.00	\$62,665.00	\$415.00	\$62,665.00
	October 2018			99.00		69.00						168.00	\$415.00	\$69,720.00	\$415.00	\$69,720.00
	November 2018					141.00						141.00	\$415.00	\$58,515.00	\$415.00	\$58,515.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

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- 10. Expert work/consultations

TIMEKEEPER	Month	1	2			5	6	7	8	9	10	TOTAL SUM OF HOURS	HISTORIC RATE	LODESTAR AT	CURRENT RATE	LODESTAR AT CURRENT RATE
TIMEKEEPER	December 2018	1	2	3	4	64.00	ь	/	8	9	10	64.00	\$415.00	HISTORIC RATE \$26,560.00	\$415.00	\$26,560.00
STURTEVANT, RYAN - STAFF	December 2018					64.00							\$415.00		\$415.00	
ATTORNEY												264.00		109,560.00		109,560.0
	October 2018			72.00								72.00	\$415.00	\$29,880.00	\$415.00	\$29,880.0
	November 2018			128.00								128.00	\$415.00	\$53,120.00	\$415.00	\$53,120.0
	December 2018			64.00								64.00	\$415.00	\$26,560.00	\$415.00	\$26,560.00
SWENSON, YUN - STAFF ATTORNEY												40.30		14,508.00		16,724.5
ATTORNET	October 2018	15.50		1.00					1			16.50	\$360.00	\$5,940.00	\$415.00	\$6,847.5
	November 2018	13.00		10.80								23.80	\$360.00	\$8,568.00	\$415.00	\$9,877.00
ZAUL, JONATHAN - STAFF												411.10	Ţ.	170,606.50	<b>\$</b> 113133	170,606.5
ATTORNEY	A+ 2040			04.70								61.70	\$415.00	\$25,605.50	\$415.00	\$25,605.5
	August 2018 September 2018			61.70 101.80								101.80	\$415.00 \$415.00	\$42,247.00	\$415.00 \$415.00	\$42,247.0
	October 2018			63.00		14.70						77.70	\$415.00 \$415.00	\$42,247.00	\$415.00 \$415.00	\$42,247.0
	November 2018			63.00		124.50						124.50	\$415.00	\$51,667.50	\$415.00 \$415.00	\$51,667.5
	December 2018					45.40			1			45.40	\$415.00	\$18,841.00	\$415.00	\$18,841.0
GREEN, RACHEL - LAW CLERK	December 2010					43.40						60.80	ψ <del>4</del> 13.00	22,800.00	φ413.00	22.800.0
GREEN, RACHEL - LAW CLERK	June 2018						57.70			1.00		58.70	\$375.00	\$22,012.50	\$375.00	\$22,012.5
	July 2018				2.10		37.70			1.00		2.10	\$375.00	\$787.50	\$375.00	\$787.5
SAAD, AISHA - LAW CLERK	July 2010				2.10							48.00	φ373.00	18.960.00	φ37 3.00	18.960.0
SAAD, AISHA - LAW CLERK	June 2017						6.00					6.00	\$395.00	\$2,370.00	\$395.00	\$2,370.00
	July 2017						33.00			2.50		35.50	\$395.00	\$14,022.50	\$395.00	\$14,022.50
	August 2017						6.50			2.50		6.50	\$395.00	\$2,567.50	\$395.00	\$2,567.50
BROWN, AIDAN -	August 2017						0.30						φ393.00		φ393.00	
PARALEGAL/CLERK												23.20		7,663.50		8,004.00
	October 2018			15.50	1.00				0.20			16.70	\$330.00	\$5,511.00	\$345.00	\$5,761.50
	November 2018				4.00							4.00	\$330.00	\$1,320.00	\$345.00	\$1,380.00
	December 2018								2.00			2.00	\$330.00	\$660.00	\$345.00	\$690.00
	January 2019								0.50			0.50	\$345.00	\$172.50	\$345.00	\$172.50
CARNAM, TODD - PARALEGAL/CLERK												77.50		28,722.00		30,225.00
	October 2016	2.10										2.10	\$345.00	\$724.50	\$390.00	\$819.00
	November 2016	2.60										2.60	\$345.00	\$897.00	\$390.00	\$1,014.00
	December 2016	0.80										0.80	\$345.00	\$276.00	\$390.00	\$312.00
	January 2017						0.30					0.30	\$360.00	\$108.00	\$390.00	\$117.00
	February 2017	2.20					0.20				0.80	3.20	\$360.00	\$1,152.00	\$390.00	\$1,248.00
	May 2017				0.50							0.50	\$360.00	\$180.00	\$390.00	\$195.0
	June 2017						1.80					1.80	\$360.00	\$648.00	\$390.00	\$702.00
	August 2017	3.10							1.50			4.60	\$360.00	\$1,656.00	\$390.00	\$1,794.00
	October 2017	1.30							ļ			1.30	\$360.00	\$468.00	\$390.00	\$507.00
	June 2018						5.70					5.70	\$375.00	\$2,137.50	\$390.00	\$2,223.00
	July 2018				5.20							5.20	\$375.00	\$1,950.00	\$390.00	\$2,028.00
	August 2018				1.60		2.00		<b>_</b>			3.60	\$375.00	\$1,350.00	\$390.00	\$1,404.00
	September 2018 October 2018	2.00					15.40					17.40 6.50	\$375.00	\$6,525.00 \$2,437.50	\$390.00 \$390.00	\$6,786.00 \$2,535.00
							6.50 2.40		<del>                                     </del>			2.40	\$375.00 \$375.00	\$2,437.50 \$900.00	\$390.00 \$390.00	\$2,535.00 \$936.00
	November 2018 December 2018						2.40		1	16.50	3.00	19.50	\$375.00 \$375.00	\$900.00 \$7,312.50	\$390.00 \$390.00	\$936.00 \$7,605.00
KEENLEY, ELIZABETH -	December 2018								+	10.50	3.00		\$375.00		\$390.00	
PARALEGAL/CLERK												10.20		3,723.00		3,978.00
	October 2018			10.20								10.20	\$365.00	\$3,723.00	\$390.00	\$3,978.00
MUNOZ, CHRISTOPHER - PARALEGAL/CLERK												14.70		5,218.50		5,439.00
	October 2018			14.70								14.70	\$355.00	\$5,218.50	\$370.00	\$5,439.00
SELHORST, HANNAH -												17.80		5,874.00		6,586.00
PARALEGAL/CLERK	October 2019			17.00									\$220.00		\$270.00	
	October 2018	1 1	l	17.80	l				1	l	l	17.80	\$330.00	\$5,874.00	\$370.00	\$6,586.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

6. Legal research & writing, drafting pleadings, briefing motions

- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	TOTAL SUM OF HOURS	HISTORIC RATE	LODESTAR AT HISTORIC RATE	CURRENT RATE	LODESTAR AT CURRENT RATE
TEXIER, RICHARD - PARALEGAL/CLERK												1,998.80		737,053.50		779,532.00
THE STEE SELICIT	September 2016	3.20										3.20	\$345.00	\$1,104.00	\$390.00	\$1,248.00
	October 2016	1.00					24.00					25.00	\$345.00	\$8,625.00	\$390.00	\$9,750.00
	November 2016	7.10					43.60		11.50			62.20	\$345.00	\$21,459.00	\$390.00	\$24,258.00
	December 2016	4.40					28.20		5.00			37.60	\$345.00	\$12,972.00	\$390.00	\$14,664.00
	January 2017	35.70					9.50	11.60	9.10			65.90	\$360.00	\$23,724.00	\$390.00	\$25,701.00
	February 2017	66.40					26.40	4.50	2.10			99.40	\$360.00	\$35,784.00	\$390.00	\$38,766.00
	March 2017	12.50					26.30	2.70				41.50	\$360.00	\$14,940.00	\$390.00	\$16,185.00
	April 2017	35.40					23.30		2.80			61.50	\$360.00	\$22,140.00	\$390.00	\$23,985.00
	May 2017	14.80			3.40		26.00	11.60	15.60			71.40	\$360.00	\$25,704.00	\$390.00	\$27,846.00
	June 2017	9.50	1.00	12.70	0.40		17.30	5.70	0.60	8.90	1.20	57.30	\$360.00	\$20,628.00	\$390.00	\$22,347.00
	July 2017	4.80	4.20	15.80			19.30	8.90	4.40	52.50	4.10	114.00	\$360.00	\$41,040.00	\$390.00	\$44,460.00
	August 2017	31.70		8.00			16.40	3.10	4.20	49.70	10.30	123.40	\$360.00	\$44,424.00	\$390.00	\$48,126.00
	September 2017	3.60					27.90	3.80	3.20	28.80	2.70	70.00	\$360.00	\$25,200.00	\$390.00	\$27,300.00
	October 2017	6.80			10.50		6.40	4.40	3.70	14.80	0.70	47.30	\$360.00	\$17,028.00	\$390.00	\$18,447.00
	November 2017	11.50			5.00		4.50	1.20	6.20			28.40	\$360.00	\$10,224.00	\$390.00	\$11,076.00
	December 2017	3.90			9.10		3.40	0.70	3.40			20.50	\$360.00	\$7,380.00	\$390.00	\$7,995.00
	January 2018	19.10			0.60		27.90	5.20	6.80		0.30	59.90	\$375.00	\$22,462.50	\$390.00	\$23,361.00
	February 2018	8.60		0.60	6.30		7.50	0.60	12.80			36.40	\$375.00	\$13,650.00	\$390.00	\$14,196.00
	March 2018	3.60	0.50	20.40	3.90		2.50		16.10			47.00	\$375.00	\$17,625.00	\$390.00	\$18,330.00
	April 2018	3.80			1.10		16.10	8.70	8.00			37.70	\$375.00	\$14,137.50	\$390.00	\$14,703.00
	May 2018	4.60	0.60		13.10		23.20	1.40	13.00			55.90	\$375.00	\$20,962.50	\$390.00	\$21,801.00
	June 2018	4.50	7.30	12.90	11.30		30.70	2.00	14.10	0.40	0.40	83.60	\$375.00	\$31,350.00	\$390.00	\$32,604.00
	July 2018	1.60	0.80	27.50	2.90		12.30	7.60	6.20	0.20		59.10	\$375.00	\$22,162.50	\$390.00	\$23,049.00
	August 2018	1.30	1.00	78.60	15.60		9.10	1.30	5.50	3.80	0.50	116.70	\$375.00	\$43,762.50	\$390.00	\$45,513.00
	September 2018	1.20		43.70	6.50		7.30	1.40	2.40	49.40	0.80	112.70	\$375.00	\$42,262.50	\$390.00	\$43,953.00
	October 2018	4.20		30.40	29.10	2.50	2.10	3.20	3.30	41.00		115.80	\$375.00	\$43,425.00	\$390.00	\$45,162.00
	November 2018	1.60		13.50	23.70	9.00	1.90		8.90	5.00	1.80	65.40	\$375.00	\$24,525.00	\$390.00	\$25,506.00
	December 2018	2.20		14.40	0.50		9.20		8.90	21.30		56.50	\$375.00	\$21,187.50	\$390.00	\$22,035.00
	January 2019	4.60			1.20		2.70	0.60	17.50	17.80	0.40	44.80	\$390.00	\$17,472.00	\$390.00	\$17,472.00
	February 2019	3.10					0.80		8.40	55.70	1.30	69.30	\$390.00	\$27,027.00	\$390.00	\$27,027.00
	March 2019	9.40					0.70	1.00	5.00	33.60	0.70	50.40	\$390.00	\$19,656.00	\$390.00	\$19,656.00
	April 2019	9.30						0.60	7.00	5.00		21.90	\$390.00	\$8,541.00	\$390.00	\$8,541.00
	May 2019	2.60						1.40	11.40	15.40	0.60	31.40	\$390.00	\$12,246.00	\$390.00	\$12,246.00
	June 2019	1.20							1.60	2.90		5.70	\$390.00	\$2,223.00	\$390.00	\$2,223.00
TROXEL, BRIAN -												28.70		10,573.50		11,193.00
PARALEGAL/CLERK																
	February 2017						9.00					9.00		\$3,240.00	\$390.00	\$3,510.00
	April 2017				0.10							0.10	\$360.00	\$36.00	\$390.00	\$39.00
	June 2017						0.10					0.10	\$360.00	\$36.00	\$390.00	\$39.00
	July 2017									3.50		3.50		\$1,260.00	\$390.00	\$1,365.00
	January 2018						3.10					3.10	\$375.00	\$1,162.50	\$390.00	\$1,209.00
	March 2018			ļ	0.10		4.90					5.00		\$1,875.00	\$390.00	\$1,950.00
	April 2018							0.90	0.40			1.30		\$487.50	\$390.00	\$507.00
	May 2018			ļ			3.30		3.20			6.50		\$2,437.50	\$390.00	\$2,535.00
<u> </u>	April 2019								0.10			0.10	\$390.00	\$39.00	\$390.00	\$39.00
ZANE, ALEXANDER - PARALEGAL/CLERK												47.70		16,972.50		18,603.00
	September 2016	0.40										0.40	\$345.00	\$138.00	\$390.00	\$156.00
	October 2016	3.80					0.10					3.90	\$345.00	\$1,345.50	\$390.00	\$1,521.00
	November 2016	3.90					0.50		5.30			9.70	\$345.00	\$3,346.50	\$390.00	\$3,783.00
	December 2016	1.30							0.20			1.50	\$345.00	\$517.50	\$390.00	\$585.00
	January 2017	0.10					0.10		1.20			1.40	\$360.00	\$504.00	\$390.00	\$546.00
	February 2017	0.10					8.80		0.50			9.40	\$360.00	\$3,384.00	\$390.00	\$3,666.00
	March 2017	0.10					0.10					0.20	\$360.00	\$72.00	\$390.00	\$78.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

6. Legal research & writing, drafting pleadings, briefing motions

- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

TIMEKEEPER	Month	1	2	3	4	5	6	7	•	9	10	TOTAL SUM OF HOURS	HISTORIC RATE	LODESTAR AT HISTORIC RATE	CURRENT RATE	LODESTAR AT CURRENT RATE
TIMEREEPER		1	Z	3	4	5	6	1	0.00	9	10					
	May 2017						4.00		0.20			0.20	\$360.00	\$72.00	\$390.00	\$78.00
	June 2017						4.30		2.10			6.40	\$360.00	\$2,304.00	\$390.00	\$2,496.00
	July 2017						11.70		0.20			11.90			\$390.00	\$4,641.00
	September 2017								0.30			0.30	\$360.00	\$108.00	\$390.00	\$117.00
	October 2017								0.30			0.30	\$360.00	\$108.00	\$390.00	\$117.00
	November 2017								0.10			0.10		\$36.00	\$390.00	\$39.00
	December 2017	0.60										0.60		\$216.00	\$390.00	\$234.00
	January 2018						0.10		0.50			0.60			\$390.00	\$234.00
	February 2019								0.70			0.70		\$273.00	\$390.00	\$273.00
	May 2019								0.10			0.10	\$390.00	\$39.00	\$390.00	\$39.00
ANTHONY, RICHARD - LITIGATION SUPPORT / RESEARCH												152.60		58,360.50		61,803.00
	October 2016	18.90										18.90	\$345.00	\$6,520.50	\$405.00	\$7,654.50
	February 2017	0.20										0.20	\$375.00	\$75.00	\$405.00	\$81.00
	May 2017				8.50							8.50	\$375.00	\$3,187.50	\$405.00	\$3,442.50
	July 2017		0.50									0.50	\$375.00	\$187.50	\$405.00	\$202.50
	August 2017	0.20	0.40		3.70		1.10					5.40	\$375.00	\$2,025.00	\$405.00	\$2,187.00
	October 2017				0.70							0.70	\$375.00	\$262.50	\$405.00	\$283.50
	December 2017		1.00				3.90					4.90	\$375.00	\$1,837.50	\$405.00	\$1,984.50
	June 2018		5.30									5.30	\$390.00	\$2,067.00	\$405.00	\$2,146.50
	July 2018		0.70	5.90								6.60	\$390.00	\$2,574.00	\$405.00	\$2,673.00
	August 2018		4.80	15.60							0.30	20.70	\$390.00	\$8,073.00	\$405.00	\$8,383.50
	September 2018			15.10							3.70	18.80	\$390.00	\$7,332.00	\$405.00	\$7,614.00
	October 2018			47.20								47.20	\$390.00	\$18,408.00	\$405.00	\$19,116.00
	November 2018			13.80								13.80	\$390.00	\$5,382.00	\$405.00	\$5,589.00
	December 2018			1.10								1.10		\$429.00	\$405.00	\$445.50
							ì						, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Ţ.==:::	***************************************	********
BELUSHKO BARROWS, NIKKI - LITIGATION SUPPORT / RESEARCH												42.20		15,810.00		16,458.00
	March 2017						0.50					0.50	\$360.00	\$180.00	\$390.00	\$195.00
	April 2017						1.30					1.30	\$360.00	\$468.00	\$390.00	\$507.00
	May 2017						0.60		0.90			1.50	\$360.00	\$540.00	\$390.00	\$585.00
	July 2017	0.60					1.50					2.10		\$756.00	\$390.00	\$819.00
	November 2017	1.30										1.30		\$468.00	\$390.00	\$507.00
	January 2018						0.90					0.90	\$375.00	\$337.50	\$390.00	\$351.00
	March 2018						2.90					2.90			\$390.00	\$1,131.00
	April 2018						5.20					5.20	\$375.00	\$1,950.00	\$390.00	\$2,028.00
	June 2018						0.90					0.90		\$337.50	\$390.00	\$351.00
	July 2018	0.50					0.50					0.50		\$187.50	\$390.00	\$195.00
	August 2018	2.00				1	0.50					2.50	\$375.00	\$937.50	\$390.00	\$975.00
	September 2018	2.00				t	2.90					2.50			\$390.00	\$1,131.00
	October 2018	0.30			0.30	-	6.50					7.10		\$2,662.50	\$390.00	\$1,131.00
		0.30			0.30	-							_		\$390.00 \$390.00	
	November 2018	0.55				<del>                                     </del>	0.20			0.00		0.20	\$375.00	\$75.00		\$78.00
	December 2018	0.50				1				6.20	,	6.70			\$390.00	\$2,613.00
	January 2019					-	0.90			1.40	1.90	4.20		\$1,638.00	\$390.00	\$1,638.00
0.11 ANOTHER 1.11 AND	March 2019					<b></b>	ļ			1.50		1.50	\$390.00	\$585.00	\$390.00	\$585.00
CALANGIAN, MARGIE - LITIGATION SUPPORT / RESEARCH												126.70		49,192.50		51,313.50
	February 2017	2.50										2.50		\$937.50	\$405.00	\$1,012.50
	May 2017								0.40			0.40		\$150.00	\$405.00	\$162.00
	June 2017				3.00				5.40			8.40		\$3,150.00	\$405.00	\$3,402.00
	August 2017				0.30				1.10			1.40		\$525.00	\$405.00	\$567.00
	November 2017								0.80			0.80	\$375.00	\$300.00	\$405.00	\$324.00
	December 2017								1.60			1.60	\$375.00	\$600.00	\$405.00	\$648.00
	March 2018								0.30			0.30	\$390.00	\$117.00	\$405.00	\$121.50
	May 2018								2.30			2.30	\$390.00	\$897.00	\$405.00	\$931.50

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

### Category Codes

- 1. Investigations and Fact Research
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6. Legal research & writing, drafting pleadings, briefing motions

- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

												TOTAL SUM OF		LODESTAR AT		LODESTAR AT
TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	HOURS	HISTORIC RATE	HISTORIC RATE	CURRENT RATE	CURRENT RATE
	June 2018								1.40			1.40		\$546.00	\$405.00	\$567.00
	July 2018			3.00	0.80				3.90			7.70	\$390.00	\$3,003.00	\$405.00	\$3,118.50
	August 2018			22.20	1.80							24.00			\$405.00	\$9,720.00
	September 2018			6.50					1.00			7.50	\$390.00	\$2,925.00	\$405.00	\$3,037.50
	October 2018			19.10		6.50						25.60	\$390.00	\$9,984.00	\$405.00	\$10,368.00
	November 2018			23.30		0.80		2.00	1.00			27.10	\$390.00	\$10,569.00	\$405.00	\$10,975.50
	December 2018			15.00					0.30			15.30	\$390.00	\$5,967.00	\$405.00	\$6,196.50
	February 2019								0.40			0.40	\$405.00	\$162.00	\$405.00	\$162.00
DUGAR, KIRTI - LITIGATION												313.50		147,315.00		155,182.50
SUPPORT / RESEARCH																
	September 2016	6.50										6.50		\$2,925.00	\$495.00	\$3,217.50
	October 2016	8.00										8.00		\$3,600.00	\$495.00	\$3,960.00
	November 2016						1.00					1.00		\$450.00	\$495.00	\$495.00
	December 2016	2.00										2.00		\$900.00	\$495.00	\$990.00
	January 2017						1.00		1.00			2.00		\$930.00	\$495.00	\$990.00
	February 2017	5.50					9.00					14.50	\$465.00	\$6,742.50	\$495.00	\$7,177.50
	April 2017								0.50			0.50		\$232.50	\$495.00	\$247.50
	May 2017				1.50				0.50			2.00	\$465.00	\$930.00	\$495.00	\$990.00
	July 2017									12.50	5.50	18.00	\$465.00	\$8,370.00	\$495.00	\$8,910.00
	August 2017									97.00	1.50	98.50	\$465.00	\$45,802.50	\$495.00	\$48,757.50
	October 2017				0.50					38.50		39.00	\$465.00	\$18,135.00	\$495.00	\$19,305.00
	November 2017								1.50			1.50	\$465.00	\$697.50	\$495.00	\$742.50
	June 2018			0.50								0.50	\$480.00	\$240.00	\$495.00	\$247.50
	July 2018		3.50	8.00					4.00			15.50	\$480.00	\$7,440.00	\$495.00	\$7,672.50
	August 2018			26.50						1.50		28.00	\$480.00	\$13,440.00	\$495.00	\$13,860.00
	September 2018			27.00	0.50	2.00				5.00		34.50	\$480.00	\$16,560.00	\$495.00	\$17,077.50
	October 2018			19.00		1.50				16.50		37.00	\$480.00	\$17,760.00	\$495.00	\$18,315.00
	November 2018			4.50								4.50	\$480.00	\$2,160.00	\$495.00	\$2,227.50
GRANT, ANTHONY - LITIGATION SUPPORT / RESEARCH												146.40	)	56,721.00		59,292.00
	January 2017				4.00							4.00	\$375.00	\$1,500.00	\$405.00	\$1,620.00
	February 2017		0.50									0.50	\$375.00	\$187.50	\$405.00	\$202.50
	May 2017				6.00							6.00	\$375.00	\$2,250.00	\$405.00	\$2,430.00
	June 2017				9.00							9.00	\$375.00	\$3,375.00	\$405.00	\$3,645.00
	July 2017				1.50							1.50	\$375.00	\$562.50	\$405.00	\$607.50
	August 2017				2.00							2.00	\$375.00	\$750.00	\$405.00	\$810.00
	September 2017				2.00							2.00			\$405.00	\$810.00
	March 2018				0.50							0.50	\$390.00	\$195.00	\$405.00	\$202.50
	May 2018				1.50							1.50		\$585.00	\$405.00	\$607.50
	June 2018				7.40							7.40		\$2,886.00	\$405.00	\$2,997.00
	July 2018			6.60	12.60							19.20	\$390.00	\$7,488.00	\$405.00	\$7,776.00
	August 2018			11.00	26.40							37.40			\$405.00	\$15,147.00
	September 2018			2.10	17.50							19.60		\$7,644.00	\$405.00	\$7,938.00
	October 2018	<u> </u>	<u> </u>	2.10	12.00	6.70						18.70	\$390.00	\$7,293.00	\$405.00	\$7,573.50
	November 2018			5.60	5.50	5.70						11.10		\$4,329.00	\$405.00	\$4,495.50
	December 2018			2.70	3.30							6.00			\$405.00 \$405.00	\$2,430.00
MELTSER, JESSICA - LITIGATION	December 2010	<u> </u>	<u> </u>	2.70	3.30								1		\$405.00	
SUPPORT / RESEARCH												16.70	1	5,761.50		5,761.50
	December 2016	3.90					2.90		2.90			9.70	\$345.00	\$3,346.50	\$345.00	\$3,346.50
	January 2017	2.00										2.00	\$345.00	\$690.00	\$345.00	\$690.00
	February 2017	0.70					2.40					3.10		\$1,069.50	\$345.00	\$1,069.50
	April 2017	1.40					0.50					1.90		\$655.50	\$345.00	\$655.50
MUGRAGE, MAJOR - LITIGATION							0.00						\$2.10.00		\$2.70.000	
SUPPORT / RESEARCH	June 2017		8.00									<b>20.20</b>	\$375.00	<b>7,645.50</b> \$3,000.00	\$405.00	<b>8,181.00</b> \$3,240.00
		1														
	July 2017	1	5.00	i	ı		i	i		l		5.00	\$375.00	\$1,875.00	\$405.00	\$2,025.00

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In re Wells Fargo & Company Shareholder Derivative Litigation , No. 16-cv-05541-JST

### Exhibit 4

# Lieff Cabraser Heimann & Bernstein, LLP Category Lodestar Chart by Timekeeper and Month Inception-June 10, 2019

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
- 5. Depositions; preparation and support of same

- 6. Legal research & writing, drafting pleadings, briefing motions
- 7. Court appearances and prep/follow-up
- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

TIMEKEEPER	Month	1	2	3	4	5	6	7	8	9	10	TOTAL SUM OF HOURS	HISTORIC RATE	LODESTAR AT HISTORIC RATE	CURRENT RATE	LODESTAR AT CURRENT RATE
	August 2017		4.00									4.00	\$375.00	\$1,500.00	\$405.00	\$1,620.00
	November 2018				1.70							1.70	\$390.00	\$663.00	\$405.00	\$688.50
	January 2019			1.50								1.50	\$405.00	\$607.50	\$405.00	\$607.50
MUKHERJI, RENEE - LITIGATION SUPPORT / RESEARCH												24.30		8,846.00		9,841.50
	October 2016	3.00										3.00	\$310.00	\$930.00	\$405.00	\$1,215.00
	November 2016	1.30										1.30	\$310.00	\$403.00	\$405.00	\$526.50
	December 2016						1.30					1.30	\$310.00	\$403.00	\$405.00	\$526.50
	January 2017						1.30					1.30	\$375.00	\$487.50	\$405.00	\$526.50
	February 2017	1.20										1.20	\$375.00	\$450.00	\$405.00	\$486.00
	March 2017						0.80					0.80	\$375.00	\$300.00	\$405.00	\$324.00
	May 2017	1.60					0.20					1.80	\$375.00	\$675.00	\$405.00	\$729.00
	June 2017	0.40										0.40	\$375.00	\$150.00	\$405.00	\$162.00
	July 2017	4.60					1.80					6.40	\$375.00	\$2,400.00	\$405.00	\$2,592.00
	October 2017	0.30										0.30	\$375.00	\$112.50	\$405.00	\$121.50
	April 2018						1.30					1.30	\$390.00	\$507.00	\$405.00	\$526.50
	July 2018	2.00										2.00	\$390.00	\$780.00	\$405.00	\$810.00
	November 2018	0.50										0.50	\$390.00	\$195.00	\$405.00	\$202.50
	December 2018									2.70		2.70	\$390.00	\$1,053.00	\$405.00	\$1,093.50
NAMBIAR, ANIL - LITIGATION SUPPORT / RESEARCH												280.00		106,020.00		113,400.00
	October 2016	7.00										7.00	\$360.00	\$2,520.00	\$405.00	\$2,835.00
	November 2016	7.00										7.00	\$360.00	\$2,520.00	\$405.00	\$2,835.00
	January 2017	27.00										27.00	\$375.00	\$10,125.00	\$405.00	\$10,935.00
	February 2017	39.00										39.00	\$375.00	\$14,625.00	\$405.00	\$15,795.00
	April 2017	5.00										5.00	\$375.00	\$1,875.00	\$405.00	\$2,025.00
	July 2017	22.00										22.00	\$375.00	\$8,250.00	\$405.00	\$8,910.00
	August 2017	43.00								31.00		74.00	\$375.00	\$27,750.00	\$405.00	\$29,970.00
	September 2017	14.00										14.00	\$375.00	\$5,250.00	\$405.00	\$5,670.00
	October 2017	3.00										3.00	\$375.00	\$1,125.00	\$405.00	\$1,215.00
	April 2018	4.00										4.00	\$390.00	\$1,560.00	\$405.00	\$1,620.00
	May 2018	32.00										32.00	\$390.00	\$12,480.00	\$405.00	\$12,960.00
	September 2018	7.00										7.00	\$390.00	\$2,730.00	\$405.00	\$2,835.00
	October 2018	7.00										7.00	\$390.00	\$2,730.00	\$405.00	\$2,835.00
	November 2018	32.00										32.00	\$390.00	\$12,480.00	\$405.00	\$12,960.00
RAHIMI, FAWAD - LITIGATION SUPPORT / RESEARCH												19.60		7,590.00		7,938.00
	July 2017				2.00							2.00	\$375.00	\$750.00	\$405.00	\$810.00
	August 2017	<u> </u>			0.60				ļ			0.60	\$375.00	\$225.00	\$405.00	\$243.00
	September 2017				1.00							1.00	\$375.00	\$375.00	\$405.00	\$405.00
	May 2018				0.30							0.30	\$390.00	\$117.00	\$405.00	\$121.50
	July 2018				2.20							2.20	\$390.00	\$858.00	\$405.00	\$891.00
	August 2018	<b> </b>		0.80	2.50							3.30	\$390.00	\$1,287.00	\$405.00	\$1,336.50
	September 2018	<b> </b>			3.00							3.00	\$390.00	\$1,170.00	\$405.00	\$1,215.00
	October 2018				6.20	1.00						7.20	\$390.00	\$2,808.00	\$405.00	\$2,916.00
GRAND TOTALS		956.50	97.50	7,019.70	693.10	2,043.70	2,780.10	348.10	1,686.50	3,009.10	98.30	18,732.60		\$8,931,195.00		\$9,217,2

### LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP CATEGORY CHART BY MONTH INCEPTION TO JUNE 10, 2019

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
- 3. Defendant/third party document review
- 4. Other discovery work memos, correspondence, meet & confers
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- 9. Mediation/Settlement, settlement administration
- 10. Expert work/consultations

Month	1	2	3	4	5	6	7	8	9	10	TOTAL HOURS	LODESTAR AT HISTORIC RATE	LODESTAR AT CURRENT RATE
September 2016	14.10							0.80			14.90	\$7,177.00	\$7,996.50
October 2016	90.50					116.60		1.00			208.10	\$109,560.00	\$121,819.00
November 2016	31.50					199.80		39.80			271.10	\$144,652.50	\$160,426.00
December 2016	14.40					118.20	0.60	22.40			155.60	\$78,509.50	\$85,821.00
January 2017	75.40	0.40		5.30		59.90	49.20	107.20			297.40	\$159,449.50	\$172,161.50
February 2017	168.70	8.20		0.80		251.20	17.90	45.70		0.80	493.30	\$263,356.00	\$283,478.00
March 2017	16.30	1.50		3.00		187.40	3.10	21.60			232.90	\$142,128.50	\$150,348.50
April 2017	84.00			1.40		173.30	4.50	16.90			280.10	\$160,115.50	\$171,332.50
May 2017	37.10			27.20		207.70	90.80	116.30	4.00	0.30	483.40	\$279,172.50	\$300,699.00
June 2017	13.20	21.90	13.00	14.00		195.40	5.70	79.70	34.10	2.80	379.80	\$205,556.50	\$221,506.00
July 2017	35.60	9.70	33.90	3.50		152.50	24.70	26.00	261.20	26.20	573.30	\$333,330.50	\$348,637.50
August 2017	89.70	4.40	176.90	6.60		32.90	4.50	13.80	477.00	25.00	830.80	\$448,052.00	\$468,844.00
September 2017	20.20		160.00	15.60		45.20	4.60	21.00	140.70	5.60	412.90	\$207,552.50	\$216,306.50
October 2017	11.70	0.70	32.00	18.50		14.30	10.30	49.50	141.40	0.70	279.10	\$153,686.50	\$163,861.00
November 2017	13.90			12.30		12.50	3.80	48.10			90.60	\$48,418.50	\$52,306.50
December 2017	5.80	1.80	0.20	21.50		11.90	0.70	35.40			77.30	\$40,240.00	\$43,553.00
January 2018	19.10			19.20		145.30	15.90	76.90		0.50	276.90	\$142,681.00	\$148,714.50
February 2018	8.60		3.10	31.80		44.40	0.60	52.50		0.20	141.20	\$72,500.00	\$75,444.00
March 2018	4.40	0.50	26.40	18.40		70.60		66.50		1.50	188.30	\$94,104.50	\$98,045.50
April 2018	8.10		1.00	9.10		97.00	34.50	52.50	0.50		202.70	\$106,349.00	\$110,672.00
May 2018	49.00	0.80	14.00	32.90		95.20	12.60	129.50		1.60	335.60	\$161,311.00	\$167,302.00
June 2018	8.10	12.80	407.60	47.90		224.10	9.20	88.30	4.40	4.80	807.20	\$364,182.00	\$371,680.00
July 2018	4.10	5.00	849.60	44.60		46.50	17.60	82.50	18.60	2.00	1,070.50	\$468,908.50	\$474,561.00
August 2018	3.30	29.80	1,372.90	74.70	8.00	44.60	9.00	71.30	28.10	0.80	1,642.50	\$698,777.50	\$706,282.50
September 2018	12.70		1,204.70	56.90	11.40	82.60	2.00	47.80	314.00	4.80	1,736.90	\$776,861.50	\$789,812.00
October 2018	28.80		1,252.70	119.50	488.90	44.40	16.40	100.00	269.60	0.10	2,320.40	\$1,023,202.50	\$1,040,277.00
November 2018	51.20		1,015.50	98.70	1,140.10	63.20	2.00	113.40	69.70	1.80	2,555.60	\$1,089,743.00	\$1,101,802.50
December 2018	3.50		454.70	5.50	395.30	33.30		35.90	441.60	3.20	1,373.00	\$622,720.00	\$634,662.50
January 2019	6.00		1.50	4.20		7.30	1.10	51.10	220.90	6.30	298.40	\$159,106.50	\$159,106.50
February 2019	3.30					0.90		15.70	332.70	8.00	360.60	\$193,565.00	\$193,565.00
March 2019	9.80					1.90	1.00	10.00	104.20	0.70	127.60	\$63,488.50	\$63,488.50
April 2019	10.40						0.60	17.90	21.10		50.00	\$25,217.00	\$25,217.00
May 2019	2.60						5.20	24.80	103.30	0.60	136.50	\$73,701.00	\$73,701.00
June 2019	1.40							4.70	22.00		28.10	\$13,819.00	\$13,819.00
	956.50	97.50	7,019.70	693.10	2,043.70	2,780.10	348.10	1,686.50	3,009.10	98.30	18,732.60	\$8,931,195.00	\$9,217,249.00

### LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP CATEGORY CHART BY TIMEKEEPER INCEPTION TO JUNE 10, 2019

- 1. Investigations and Fact Research
- 2. Plaintiff-side document review
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- 8. Lit strategy, correspondence w/defendants, & case mgmt
- 9. Mediation/Settlement, settlement administration
- Expert work/consultations

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	TOTAL SUM OF HOURS	LODESTAR AT HISTORIC RATE	LODESTAR AT CURRENT RATE
HEIMANN, RICHARD - PARTNER	11.40		3.60	2.20	3.20	76.80	44.60	34.20	231.00		407.00	\$422,932.50	\$437,525.00
FINEMAN, STEVEN - PARTNER						10.00	1.60	6.50	0.50	1.00	19.60	\$17,347.50	\$18,620.00
DERMODY, KELLY - PARTNER						0.50	7.50	3.40			11.40	\$9,690.00	\$10,260.00
KRUSE, JOY - PARTNER	43.70	19.90	1.60	11.10		136.20	12.30	42.10	225.30	29.60	521.80	\$443,207.50	\$443,530.00
CHIPLOCK, DANIEL - PARTNER	6.30			1.30		37.60	1.40	28.70	2.50		77.80	\$54,375.00	\$60,295.00
DIAMAND, NICHOLAS - PARTNER	101.70	4.50	62.10	86.40	17.00	301.30	38.10	343.60	532.40	13.30	1,500.40	\$998,247.50	\$1,050,280.00
LEE, SHARON - PARTNER	10.90			0.30		53.60	0.50	7.60			72.90	\$45,650.00	\$51,030.00
MIARMI, MICHAEL - PARTNER	0.30			0.10		417.20	5.10	29.60	94.10	3.00	549.40	\$328,600.00	\$357,110.00
CUTHBERTSON, DOUGLAS - PARTNER	7.00					3.00		1.00			11.00	\$5,690.00	\$6,490.00
BENSON, KATHERINE LUBIN - PARTNER	36.00		12.60	101.10	1.00	467.70	109.40	621.90	552.70	3.80	1,817.60	\$999,182.00	\$1,060,827.00
NICOLAOU, JOHN - ASSOCIATE	5.00			1.60		149.60		8.70			164.90	\$72,559.50	\$84,099.00
SHEEN, MIKE - ASSOCIATE	1.60		13.50	134.60	22.70	384.50	30.10	198.60	355.20	3.40	1,144.20	\$529,998.00	\$549,216.00
PETTERSON, SEAN - ASSOCIATE	3.10		35.40	35.80	38.30	1.40	1.40	45.80	321.50	1.70	484.40	\$207,282.00	\$213,136.00
BALLAN, EVAN - ASSOCIATE	1.40			5.80		47.30		12.10	50.40		117.00	\$43,702.50	\$46,215.00
BAKER, MICHELLE - STAFF ATTORNEY			98.00								98.00	\$40,670.00	\$40,670.00
BILKISS, ABBY - STAFF ATTORNEY			630.00		139.00						769.00	\$319,135.00	\$319,135.00
CARR, LINDSAY - STAFF ATTORNEY			224.00								224.00	\$92,960.00	\$92,960.00
CIBULKA, BRITT A STAFF ATTORNEY			180.80	1.70							182.50	\$75,737.50	\$75,737.50
GRALEWSKI, KELLY - STAFF ATTORNEY			137.40								137.40	\$57,021.00	\$57,021.00
KIM, DENNY - CONTRACT ATTORNEY			374.50								374.50	\$155,417.50	\$155,417.50
KIM, JASON - STAFF ATTORNEY			384.00		288.00						672.00	\$278,880.00	\$278,880.00
KRAINSKY, ELLA - STAFF ATTORNEY			64.00								64.00	\$26,560.00	\$26,560.00
LIEBMANN, COLEEN - STAFF ATTORNEY			259.00								259.00	\$107,485.00	\$107,485.00
MICLUT, ANDREEA - CONTRACT ATTORNEY			676.00		293.50						969.50	\$402,342.50	\$402,342.50
NGUYEN, PHIANH - STAFF ATTORNEY			254.00								254.00	\$105,410.00	\$105,410.00
NUTTING, LEAH - STAFF ATTORNEY	15.50		711.20		254.40			23.20			1,004.30	\$416,784.50	\$416,784.50
OH, MARISSA - STAFF ATTORNEY			187.10								187.10	\$77,646.50	\$77,646.50
PARK, JAE - STAFF ATTORNEY		24.00	577.00		297.00						898.00	\$372,670.00	\$372,670.00
SAUNDERS, CAMERON - STAFF ATTORNEY			344.30		193.00						537.30	\$222,979.50	\$222,979.50
SHINDELBOWER, JERRY - STAFF ATTORNEY			647.00		282.00						929.00	\$385,535.00	\$385,535.00
STURTEVANT, RYAN - STAFF ATTORNEY			264.00								264.00	\$109,560.00	\$109,560.00
SWENSON, YUN - STAFF ATTORNEY	28.50		11.80								40.30	\$14,508.00	\$16,724.50
ZAUL, JONATHAN - STAFF ATTORNEY			226.50		184.60						411.10	\$170,606.50	\$170,606.50
GREEN, RACHEL - LAW CLERK				2.10		57.70			1.00		60.80	\$22,800.00	\$22,800.00
SAAD, AISHA - LAW CLERK						45.50			2.50		48.00	\$18,960.00	\$18,960.00
ZANE, ALEXANDER - PARALEGAL/CLERK	10.30					25.70		11.70			47.70	\$16,972.50	\$18,603.00
TROXEL, BRIAN - PARALEGAL/CLERK				0.20		20.40	0.90	3.70	3.50		28.70	\$10,573.50	\$11,193.00
KEENLEY, ELIZABETH - PARALEGAL/CLERK			10.20								10.20	\$3,723.00	\$3,978.00
TEXIER, RICHARD - PARALEGAL/CLERK	338.80	15.40	278.50	144.20	11.50	456.50	93.20	228.70	406.20	25.80	1,998.80	\$737,053.50	\$779,532.00
CARNAM, TODD - PARALEGAL/CLERK	14.10			7.30		34.30		1.50	16.50	3.80	77.50	\$28,722.00	\$30,225.00
MUNOZ, CHRISTOPHER - PARALEGAL/CLERK			14.70								14.70	\$5,218.50	\$5,439.00
SELHORST, HANNAH - PARALEGAL/CLERK			17.80								17.80	\$5,874.00	\$6,586.00

### LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP CATEGORY CHART BY TIMEKEEPER **INCEPTION TO JUNE 10, 2019**

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- 10. Expert work/consultations

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	TOTAL SUM OF HOURS	LODESTAR AT HISTORIC RATE	LODESTAR AT CURRENT RATE
BROWN, AIDAN - PARALEGAL/CLERK			15.50	5.00				2.70			23.20	\$7,663.50	\$8,004.00
DUGAR, KIRTI - LITIGATION SUPPORT / RESEARCH	22.00	3.50	85.50	2.50	3.50	11.00		7.50	171.00	7.00	313.50	\$147,315.00	\$155,182.50
NAMBIAR, ANIL - LITIGATION SUPPORT / RESEARCH	249.00								31.00		280.00	\$106,020.00	\$113,400.00
GRANT, ANTHONY - LITIGATION SUPPORT / RESEARCH		0.50	28.00	111.20	6.70						146.40	\$56,721.00	\$59,292.00
RAHIMI, FAWAD - LITIGATION SUPPORT / RESEARCH			0.80	17.80	1.00						19.60	\$7,590.00	\$7,938.00
MUGRAGE, MAJOR - LITIGATION SUPPORT / RESEARCH		17.00	1.50	1.70							20.20	\$7,645.50	\$8,181.00
CALANGIAN, MARGIE - LITIGATION SUPPORT / RESEARCH	2.50		89.10	5.90	7.30		2.00	19.90			126.70	\$49,192.50	\$51,313.50
MUKHERJI, RENEE - LITIGATION SUPPORT / RESEARCH	14.90					6.70			2.70		24.30	\$8,846.00	\$9,841.50
ANTHONY, RICHARD - LITIGATION SUPPORT / RESEARCH	19.30	12.70	98.70	12.90		5.00				4.00	152.60	\$58,360.50	\$61,803.00
BELUSHKO BARROWS, NIKKI - LITIGATION SUPPORT / RESEARCH	5.20			0.30		24.80		0.90	9.10	1.90	42.20	\$15,810.00	\$16,458.00
MELTSER, JESSICA - LITIGATION SUPPORT / RESEARCH	8.00					5.80		2.90			16.70	\$5,761.50	\$5,761.50

TOTAL	95(	50 97.50	0 7 019 70	693 10	2 043 70	2 780 10	348 10	1 686 50	98 30	18 732 60	\$8 931 195 00	\$9 217 249 00

## Lieff Cabraser Heimann& Bernstein

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### FIRM PROFILE:

Lieff Cabraser Heimann & Bernstein, LLP, is a 90-plus attorney AV-rated law firm founded in 1972 with offices in San Francisco, New York, and Nashville. We have a diversified practice, successfully representing plaintiffs in the fields of personal injury and mass torts, securities and financial fraud, employment discrimination and unlawful employment practices, product defect, consumer protection, antitrust and intellectual property, environmental and toxic exposures, False Claims Act, digital privacy and data security, and human rights. Our clients include individuals, classes and groups of people, businesses, and public and private entities.

Lieff Cabraser has served as Court-appointed Plaintiffs' Lead or Class Counsel in state and federal coordinated, multi-district, and complex litigation throughout the United States. With co-counsel, we have represented clients across the globe in cases filed in American courts. Lieff Cabraser is among the largest firms in the United States that only represent plaintiffs.

Described by *The American Lawyer* as "one of the nation's premier plaintiffs' firms," Lieff Cabraser enjoys a national reputation for professional integrity and the successful prosecution of our clients' claims. We possess sophisticated legal skills and the financial resources necessary for the handling of large, complex cases, and for litigating against some of the nation's largest corporations. We take great pride in the leadership roles our firm plays in many of this country's major cases, including those resulting in landmark decisions and precedent-setting rulings.

Lieff Cabraser has litigated and resolved thousands of individual lawsuits and hundreds of class and group actions, including some of the most important civil cases in the United States over the past four decades. We have assisted our clients in recovering over \$118 billion in verdicts and settlements. Twenty-seven cases were resolved for over \$1 billion; another 44 have resulted in verdicts or settlements at or in excess of \$100 million.

The National Law Journal has recognized Lieff Cabraser as one of the nation's top plaintiffs' law firms for fourteen years, and we are a member of its Plaintiffs' Hot List Hall of Fame, "representing the best qualities of the plaintiffs' bar and demonstrating unusual dedication and creativity." The National Law Journal separately recognized Lieff Cabraser as one of the 50 Leading Plaintiffs Firms in America.

In March 2019, Benchmark Litigation selected Lieff Cabraser as its "California Plaintiff Firm of the Year." Also for 2019, Lieff Cabraser saw 20 lawyers named to the "Best Lawyers in America" listing, and we were 2018 finalists for Benchmark Litigation's "Plaintiff Law Firm of the Year" and for the National Law Journal's "Elite Trial Lawyers" in the fields of Mass Tort/Personal Injury, Environmental Protection, and Cybersecurity/Data Breach. We were named the *Daily Journal's* "California Lawyers of the Year 2018" as well as having eight lawyers named to Benchmark Litigation's "40 and Under Hot List 2018," and 21 lawyers named to the 2018 Super Lawyers "Super Lawyer" and "Rising Star" lists.

*U.S. News* and *Best Lawyers* has selected Lieff Cabraser as a national "Law Firm of the Year" six times in the last eight years, in categories including Mass Torts Litigation/Class Actions — Plaintiffs and Employment Law — Individuals. In 2017, Lieff Cabraser's Digital Privacy and Data Security practice group was named "Privacy Group of the Year" by *Law360*, and the firm's Consumer Protection practice group was named "Consumer Protection Group of the Year" by the publication as well.

In 2017, *Law360* named Lieff Cabraser one of six "California Powerhouse" firms for litigation, the only plaintiffs' firm so honored. In 2016, *Benchmark Litigation* named Lieff Cabraser to its "Top 10 Plaintiff Firms in America" list, *The National Law Journal* chose our firm as one of nine "Elite Trial Lawyers" nationwide, and *Law360* selected Lieff Cabraser as one of the "Top 50 Law Firms Nationwide for Litigation." The publication separately noted that our firm "persists as a formidable agency of change, producing world class legal work against some of the most powerful corporate players in the world today."

### SECURITIES AND FINANCIAL FRAUD CASE PROFILES:

### **CURRENT CASES**

1. BlackRock Global Allocation Fund, Inc., et al. v. Valeant Pharmaceuticals International, Inc., et al., No. 3:18-cv-00343 (D.N.J.); Senzar Healthcare Master Fund, LP, et al. v. Valeant Pharmaceuticals International, Inc., et al., No. 3:18-cv-02286-MAS-LHG (D.N.J.) (collectively, "Valeant"). Lieff Cabraser represents certain funds and accounts of institutional investors BlackRock and Senzar in these recently-filed individual actions against Valeant Pharmaceuticals International, Inc. and certain of Valeant's senior officers and directors for violations of the Securities Act of 1933 and/or the Securities Exchange Act of 1934 arising from Defendants' scheme

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to generate revenues through massive price increases for Valeant-branded drugs while concealing from investors the truth regarding the Company's business operations, financial results, and other material facts. In September 2018, the court denied defendants' partial motions to dismiss in both action, and BlackRock plaintiffs filed an amended complaint.

- 2. In re Wells Fargo & Company Shareholder Derivative Litigation, No. 3:16cv-05541 (N.D. Cal.). Lieff Cabraser was appointed as Co-Lead Counsel for Lead Plaintiffs FPPACO and The City of Birmingham Retirement and Relief System in this consolidated shareholder derivative action alleging that, since at least 2011, the Board and executive management of Wells Fargo knew or consciously disregarded that Wells Fargo employees were illicitly creating millions of deposit and credit card accounts for their customers, without those customers' consent, as part of Wells Fargo's intense effort to drive up its "cross-selling" statistics. Revelations regarding the scheme, and the defendants' knowledge or blatant disregard of it, have deeply damaged Wells Fargo's reputation and cost it millions of dollars in regulatory fines and lost business. In May and October 2017, the court largely denied Wells Fargo's and the Director and Officer Defendants' motions to dismiss Lead Plaintiffs' amended complaint. In May 2019, U.S. District Judge Jon S. Tigar granted preliminary approval to a proposed \$320 million settlement that would end shareholders' derivative litigation relating to Wells Fargo's fake accounts scandal.
- 3. Houston Municipal Employees Pension System v. Boff Holding, Inc., et al., No. 3:15-cv-02324-GPC-KSC (S.D. Cal.). Lieff Cabraser serves as lead counsel for courtappointed lead plaintiff, Houston Municipal Employees Pension System ("HMEPS"), in this securities fraud class action against Boff Holding, Inc. and certain of its senior officers. The action charges defendants with issuing materially false and misleading statements and failing to disclose material adverse facts about Boff's business, operations, and performance The action charges defendants with issuing materially false and misleading statements and failing to disclose material adverse facts about Boff's business, operations, and performance. On March 21, 2018, the court issued an order and entered judgment dismissing the third amended complaint, which HMEPS appealed to the Ninth Circuit. Parties are currently briefing the appeal.
- 4. **Normand, et al. v. Bank of New York Mellon Corp.**, No. 1:16-cv-00212-LAK-JLC (S.D.N.Y.). Lieff Cabraser, together with co-counsel, represents a proposed class of holders of American Depositary Receipts ("ADRs") (negotiable U.S. securities representing ownership of publicly traded shares in a non-U.S. corporation), for which BNY Mellon served as the depositary bank. Plaintiffs allege that under the contractual agreements underlying the ADRs, BNY Mellon was responsible for "promptly" converting cash distributions (such as dividends) received for ADRs into U.S. dollars for the benefit of ADR holders, and was required to act without bad faith. Plaintiffs allege that, instead, when doing the ADR cash conversions, BNY Mellon used the range of exchange rates available during the trading session in a manner that was unfavorable for ADR holders, and in doing so, improperly skimmed profits from distributions owed and payable to the class. In September 2016, the court denied, in substantial part,

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defendant's motion to dismiss, and plaintiffs subsequently filed a consolidated amended complaint. The case proceeded through substantial discovery and full briefing on class certification before the parties reached a proposed classwide settlement in late 2018.

5. **In re Facebook, Inc. IPO Securities And Derivative Litigation**, MDL No. 12-2389 (RWS) (S.D.N.Y.). Lieff Cabraser is counsel for two individual investor class representatives in the securities class litigation arising under the Private Securities Litigation Reform Act of 1995 (the "PSLRA") concerning Facebook's initial public offering in May 2012. In 2018, the court granted final approval of the settlement.

### **SUCCESSES**

1. **Arkansas Teacher Retirement System v. State Street Corp.**, Case No. 11cv10230 (MLW) (D. Mass.). Lieff Cabraser served as co-counsel for a nationwide class of institutional custodial clients of State Street, including public pension funds and ERISA plans, who allege that defendants deceptively charged class members on FX trades done in connection with the purchase and sale of foreign securities. The complaint charged that between 1999 and 2009, State Street consistently incorporated hidden and excessive mark-ups or mark-downs relative to the actual FX rates applicable at the times of the trades conducted for State Street's custodial FX clients.

State Street allegedly kept for itself, as an unlawful profit, the "spread" between the prices for foreign currency available to it in the FX marketplace and the rates it charged to its customers. Plaintiffs sought recovery under Massachusetts' Consumer Protection Law and common law tort and contract theories. On November 2, 2016, U.S. District Senior Judge Mark L. Wolf granted final approval to a \$300 million settlement of the litigation.

- 2. Janus Overseas Fund, et al. v. Petróleo Brasileiro S.A. Petrobras, et al., No. 1:15-cv-10086-JSR (S.D.N.Y.); Dodge & Cox Global Stock Fund, et al. v. Petróleo Brasileiro S.A. Petrobras, et al., No. 1:15-cv-10111-JSR (S.D.N.Y.). Lieff Cabraser represented certain Janus and Dodge & Cox funds and investment managers in these individual actions against Petróleo Brasileiro S.A. Petrobras ("Petrobras"), related Petrobras entities, and certain of Petrobras's senior officers and directors for misrepresenting and failing to disclose a pervasive and long-running scheme of bribery and corruption at Petrobras. As a result of the misconduct, Petrobras overstated the value of its assets by billions of dollars and materially misstated its financial results during the relevant period. The actions charged defendants with violations of the Securities Act of 1933 (the "Securities Act") and/or the Securities Exchange Act of 1934 ("Exchange Act"). The action recently settled on confidential terms favorable to plaintiffs.
- 3. **The Regents of the University of California v. American International Group**, No. 1:14-cv-01270-LTS-DCF (S.D.N.Y.). Lieff Cabraser represented The
  Regents of the University of California in this individual action against American
  International Group, Inc. ("AIG") and certain of its officers and directors for
  misrepresenting and omitting material information about AIG's financial condition and

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the extent of its exposure to the subprime mortgage market. The complaint charged defendants with violations of the Exchange Act, as well as common law fraud and unjust enrichment. The litigation settled in 2015.

- 4. **Biotechnology Value Fund, L.P. v. Celera Corp.**, 3:13-cv-03248-WHA (N.D. Cal.). Lieff Cabraser represented a group of affiliated funds investing in biotechnology companies in this individual action arising from misconduct in connection with Quest Diagnostics Inc.'s 2011 acquisition of Celera Corporation. Celera, Celera's individual directors, and Credit Suisse were charged with violations of Sections 14(e) and 20(a) of the Exchange Act and breach of fiduciary duty. In February 2014, the Court denied in large part defendants' motion to dismiss the second amended complaint. In September 2014, the plaintiffs settled with Credit Suisse for a confidential amount. After the completion of fact and expert discovery, and prior to a ruling on defendants' motion for summary judgment, the plaintiffs settled with the Celera defendants in January 2015 for a confidential amount.
- 5. The Charles Schwab Corp. v. BNP Paribas Sec. Corp., No. CGC-10-501610 (Cal. Super. Ct.); The Charles Schwab Corp. v. J.P. Morgan Sec., Inc., No. CGC-10-503206 (Cal. Super. Ct.); The Charles Schwab Corp. v. J.P. Morgan Sec., Inc., No. CGC-10-503207 (Cal. Super. Ct.); and The Charles Schwab Corp. v. Banc of America Sec. LLC, No. CGC-10-501151 (Cal. Super. Ct.). Lieff Cabraser, along with cocounsel, represents Charles Schwab in four separate individual securities actions against certain issuers and sellers of mortgage-backed securities ("MBS") for materially misrepresenting the quality of the loans underlying the securities in violation of California state law. Charles Schwab Bank, N.A., a subsidiary of Charles Schwab, suffered significant damages by purchasing the securities in reliance on defendants' misstatements. The court largely overruled defendants' demurrers in January 2012. Settlements have been reached with dozens of defendants for confidential amounts.
- 6. Honeywell International Inc. Defined Contribution Plans Master Savings
  Trust. v. Merck & Co., No. 14-cv 2523-SRC-CLW (S.D.N.Y.); Janus Balanced
  Fund v. Merck & Co., No. 14-cv-3019-SRC-CLW (S.D.N.Y.); Lord Abbett Affiliated
  Fund v. Merck & Co., No. 14-cv-2027-SRC-CLW (S.D.N.Y.); Nuveen Dividend
  Value Fund (f/k/a Nuveen Equity Income Fund), on its own behalf and as
  successor in interest to Nuveen Large Cap Value Fund (f/k/a First
  American Large Cap Value Fund) v. Merck & Co., No. 14-cv-1709-SRC-CLW
  (S.D.N.Y.). Lieff Cabraser represented certain Nuveen, Lord Abbett, and Janus funds,
  and two Honeywell International trusts in these individual actions against Merck & Co.,
  Inc. ("Merck") and certain of its senior officers and directors for misrepresenting the
  cardiovascular safety profile and commercial viability of Merck's purported
  "blockbuster" drug, VIOXX. The actions charged defendants with violations of the
  Exchange Act. The action settled on confidential terms.
- 7. In re First Capital Holdings Corp. Financial Products Securities Litigation, MDL No. 901 (C.D. Cal.). Lieff Cabraser served as Co-Lead Counsel in a class action brought to recover damages sustained by policyholders of First Capital Life Insurance

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Company and Fidelity Bankers Life Insurance Company policyholders resulting from the insurance companies' allegedly fraudulent or reckless investment and financial practices, and the manipulation of the companies' financial statements. This policyholder settlement generated over \$1 billion in restored life insurance policies. The settlement was approved by both federal and state courts in parallel proceedings and then affirmed by the Ninth Circuit on appeal.

8. **In re Bank of New York Mellon Corp. Foreign Exchange Transactions Litigation**, MDL 2335 (S.D. N.Y.). Lieff Cabraser served as co-lead class counsel for a proposed nationwide class of institutional custodial customers of The Bank of New York Mellon Corporation ("BNY Mellon"). The litigation stemmed from alleged deceptive overcharges imposed by BNY Mellon on foreign currency exchanges (FX) that were done in connection with custodial customers' purchases or sales of foreign securities. Plaintiffs alleged that for more than a decade, BNY Mellon consistently charged its custodial customers hidden and excessive mark-ups on exchange rates for FX trades done pursuant to "standing instructions," using "range of the day" pricing, rather than the rates readily available when the trades were actually executed.

In addition to serving as co-lead counsel for a nationwide class of affected custodial customers, which included public pension funds, ERISA funds, and other public and private institutions, Lieff Cabraser was one of three firms on Plaintiffs' Executive Committee tasked with managing all activities on the plaintiffs' side in the multidistrict consolidated litigation. Prior to the cases being transferred and consolidated in the Southern District of New York, Lieff Cabraser defeated, in its entirety, BNY Mellon's motion to dismiss claims brought on behalf of ERISA and other funds under California's and New York's consumer protection laws.

The firm's clients and class representatives in the consolidated litigation included the Ohio Police & Fire Pension Fund, the School Employees Retirement System of Ohio, and the International Union of Operating Engineers, Stationary Engineers Local 39 Pension Trust Fund.

In March 2015, a global resolution of the private and governmental enforcement actions against BNY Mellon was announced, in which \$504 million will be paid back to BNY Mellon customers (\$335 million of which is directly attributable to the class litigation).

On September 24, 2015, U.S. District Court Judge Lewis A. Kaplan granted final approval to the settlement. Commenting on the work of plaintiffs' counsel, Judge Kaplan stated, "This really was an extraordinary case in which plaintiff's counsel performed, at no small risk, an extraordinary service. They did a wonderful job in this case, and I've seen a lot of wonderful lawyers over the years. This was a great performance. They were fought tooth and nail at every step of the road. It undoubtedly vastly expanded the costs of the case, but it's an adversary system, and sometimes you meet adversaries who are heavily armed and well financed, and if you're going to win, you have to fight them and it costs money. This was an outrageous wrong committed by the Bank of New York Mellon, and plaintiffs' counsel deserve a world of credit for taking it on, for running the risk, for financing it and doing a great job."

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9. In re Broadcom Corporation Derivative Litigation, No. CV 06-3252-R (C.D. Cal.). Lieff Cabraser served as Court-appointed Lead Counsel in a shareholders derivative action arising out of stock options backdating in Broadcom securities. The complaint alleged that defendants intentionally manipulated their stock option grant dates between 1998 and 2003 at the expense of Broadcom and Broadcom shareholders. By making it seem as if stock option grants occurred on dates when Broadcom stock was trading at a comparatively low per share price, stock option grant recipients were able to exercise their stock option grants at exercise prices that were lower than the fair market value of Broadcom stock on the day the options were actually granted. In December 2009, U.S. District Judge Manuel L. Real granted final approval to a partial settlement in which Broadcom Corporation's insurance carriers paid \$118 million to Broadcom. The settlement released certain individual director and officer defendants covered by Broadcom's directors' and officers' policy.

Plaintiffs' counsel continued to pursue claims against William J. Ruehle, Broadcom's former Chief Financial Officer, Henry T. Nicholas, III, Broadcom's co-founder and former Chief Executive Officer, and Henry Samueli, Broadcom's co-founder and former Chief Technology Officer. In May 2011, the Court approved a settlement with these defendants. The settlement provided substantial consideration to Broadcom, consisting of the receipt of cash and cancelled options from Dr. Nicholas and Dr. Samueli totaling \$53 million in value, plus the release of a claim by Mr. Ruehle, which sought damages in excess of \$26 million.

Coupled with the earlier \$118 million partial settlement, the total recovery in the derivative action was \$197 million, which constitutes the third-largest settlement ever in a derivative action involving stock options backdating.

10. In re Scorpion Technologies Securities Litigation I, No. C-93-20333-EAI (N.D. Cal.); Dietrich v. Bauer, No. C-95-7051-RWS (S.D.N.Y.); Claghorn v. Edsaco, No. 98-3039-SI (N.D. Cal.). Lieff Cabraser served as Lead Counsel in class action suits arising out of an alleged fraudulent scheme by Scorpion Technologies, Inc., certain of its officers, accountants, underwriters and business affiliates to inflate the company's earnings through reporting fictitious sales. In Scorpion I, the Court found plaintiffs had presented sufficient evidence of liability under Federal securities acts against the accounting firm Grant Thornton for the case to proceed to trial. In re Scorpion Techs., 1996 U.S. Dist. LEXIS 22294 (N.D. Cal. Mar. 27, 1996). In 1988, the Court approved a \$5.5 million settlement with Grant Thornton. In 2000, the Court approved a \$950,000 settlement with Credit Suisse First Boston Corporation. In April 2002, a federal jury in San Francisco, California returned a \$170.7 million verdict against Edsaco Ltd. The jury found that Edsaco aided Scorpion in setting up phony European companies as part of a scheme in which Scorpion reported fictitious sales of its software to these companies, thereby inflating its earnings. Included in the jury verdict, one of the largest verdicts in the U.S. in 2002, was \$165 million in punitive damages. Richard M. Heimann conducted the trial for plaintiffs.

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On June 14, 2002, U.S. District Court Judge Susan Illston commented on Lieff Cabraser's representation: "[C]ounsel for the plaintiffs did a very good job in a very tough situation of achieving an excellent recovery for the class here. You were opposed by extremely capable lawyers. It was an uphill battle. There were some complicated questions, and then there was the tricky issue of actually collecting anything in the end. I think based on the efforts that were made here that it was an excellent result for the class. . . [T]he recovery that was achieved for the class in this second trial is remarkable, almost a hundred percent."

- 11. **In re Diamond Foods, Inc., Securities Litigation**, No. 11-cv-05386-WHA (N.D. Cal.). Lieff Cabraser served as local counsel for Lead Plaintiff Public Employees' Retirement System of Mississippi ("MissPERS") and the class of investors it represented in this securities class action lawsuit arising under the PSLRA. The complaint charged Diamond Foods and certain senior executives of the company with violations of the Exchange Act for knowingly understating the cost of walnuts Diamond Foods purchased in order to inflate the price of Diamond Foods' common stock. In January 2014, the Court granted final approval of a settlement of the action requiring Diamond Foods to pay \$11 million in cash and issue 4.45 million common shares worth \$116.3 million on the date of final approval based on the stock's closing price on that date.
- 12. Merrill Lynch Fundamental Growth Fund and Merrill Lynch Global Value Fund v. McKesson HBOC, No. 02-405792 (Cal. Supr. Ct.). Lieff Cabraser served as counsel for two Merrill Lynch sponsored mutual funds in a private lawsuit alleging that a massive accounting fraud occurred at HBOC & Company ("HBOC") before and following its 1999 acquisition by McKesson Corporation ("McKesson"). The funds charged that defendants, including the former CFO of McKesson HBOC, the name McKesson adopted after acquiring HBOC, artificially inflated the price of securities in McKesson HBOC, through misrepresentations and omissions concerning the financial condition of HBOC, resulting in approximately \$135 million in losses for plaintiffs. In a significant discovery ruling in 2004, the California Court of Appeal held that defendants waived the attorneyclient and work product privileges in regard to an audit committee report and interview memoranda prepared in anticipation of shareholder lawsuits by disclosing the information to the U.S. Attorney and SEC. McKesson HBOC, Inc. v. Supr. Court, 115 Cal. App. 4th 1229 (2004). Lieff Cabraser's clients recovered approximately \$145 million, representing nearly 104% of damages suffered by the funds. This amount was approximately \$115-120 million more than the Merrill Lynch funds would have recovered had they participated in the federal class action settlement.
- 13. **Informix/Illustra Securities Litigation**, No. C-97-1289-CRB (N.D. Cal.). Lieff Cabraser represented Richard H. Williams, the former Chief Executive Officer and President of Illustra Information Technologies, Inc. ("Illustra"), and a class of Illustra shareholders in a class action suit on behalf of all former Illustra securities holders who tendered their Illustra preferred or common stock, stock warrants or stock options in exchange for securities of Informix Corporation ("Informix") in connection with Informix's 1996 purchase of Illustra. Pursuant to that acquisition, Illustra stockholders received Informix securities representing approximately 10% of the value of the

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combined company. The complaint alleged claims for common law fraud and violations of Federal securities law arising out of the acquisition. In October 1999, U.S. District Judge Charles E. Breyer approved a global settlement of the litigation for \$136 million, constituting one of the largest settlements ever involving a high technology company alleged to have committed securities fraud. Our clients, the Illustra shareholders, received approximately 30% of the net settlement fund.

- 14. In re Qwest Communications International Securities and "ERISA" Litigation (No. II), No. 06-cv-17880-REB-PAC (MDL No. 1788) (D. Colo.). Lieff Cabraser represented the New York State Common Retirement Fund, Fire and Police Pension Association of Colorado, Denver Employees' Retirement Plan, San Francisco Employees' Retirement System, and over thirty BlackRock managed mutual funds in individual securities fraud actions ("opt out" cases) against Qwest Communications International, Inc., Philip F. Anschutz, former co-chairman of the Qwest board of directors, and other senior executives at Qwest. In each action, the plaintiffs charged defendants with massively overstating Qwest's publicly-reported growth, revenues, earnings, and earnings per share from 1999 through 2002. The cases were filed in the wake of a \$400 million settlement of a securities fraud class action against Qwest that was announced in early 2006. The cases brought by Lieff Cabraser's clients settled in October 2007 for recoveries totaling more than \$85 million, or more than 13 times what the clients would have received had they remained in the class.
- 15. In re AXA Rosenberg Investor Litigation, No. CV 11-00536 JSW (N.D. Cal). Lieff Cabraser served as Co-Lead Counsel for a class of institutional investors, ERISA-covered plans, and other investors in quantitative funds managed by AXA Rosenberg Group, LLC and its affiliates ("AXA"). Plaintiffs alleged that AXA breached its fiduciary duties and violated ERISA by failing to discover a material computer error that existed in its system for years, and then failing to remedy it for months after its eventual discovery in 2009. By the time AXA disclosed the error in 2010, investors had suffered losses and paid substantial investment management fees to AXA. After briefing motions to dismiss and working with experts to analyze data obtained from AXA relating to the impact of the error, Lieff Cabraser reached a \$65 million settlement with AXA that the Court approved in April 2012.
- 16. **In re National Century Financial Enterprises, Inc. Investment Litigation**, MDL No. 1565 (S.D. Ohio). Lieff Cabraser served as outside counsel for the New York City Employees' Retirement System, Teachers' Retirement System for the City of New York, New York City Police Pension Fund, and New York City Fire Department Pension Fund in this multidistrict litigation arising from fraud in connection with NCFE's issuance of notes backed by healthcare receivables. The New York City Pension Funds recovered more than 70% of their \$89 million in losses, primarily through settlements achieved in the federal litigation and another NCFE-matter brought on their behalf by Lieff Cabraser.
- 17. BlackRock Global Allocation Fund v. Tyco International Ltd., et al., No. 2:08-cv-519 (D. N.J.); Nuveen Balanced Municipal and Stock Fund v. Tyco

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International Ltd., et al., No. 2:08-cv-518 (D. N.J.). Lieff Cabraser represented multiple funds of the investment firms BlackRock Inc. and Nuveen Asset Management in separate, direct securities fraud actions against Tyco International Ltd., Tyco Electronics Ltd., Covidien Ltd, Covidien (U.S.), L. Dennis Kozlowski, Mark H. Swartz, and Frank E. Walsh, Jr. Plaintiffs alleged that defendants engaged in a massive criminal enterprise that combined the theft of corporate assets with fraudulent accounting entries that concealed Tyco's financial condition from investors. As a result, plaintiffs purchased Tyco common stock and other Tyco securities at artificially inflated prices and suffered losses upon disclosures revealing Tyco's true financial condition and defendants' misconduct. In 2009, the parties settled the claims against the corporate defendants (Tyco International Ltd., Tyco Electronics Ltd., Covidien Ltd., and Covidien (U.S.). The litigation concluded in 2010. The total settlement proceeds paid by all defendants were in excess of \$57 million.

- 18. Kofuku Bank and Namihaya Bank v. Republic New York Securities Corp., No. 00 CIV 3298 (S.D.N.Y.); and Kita Hyogo Shinyo-Kumiai v. Republic New York Securities Corp., No. 00 CIV 4114 (S.D.N.Y.). Lieff Cabraser represented Kofuku Bank, Namihaya Bank and Kita Hyogo Shinyo-Kumiai (a credit union) in individual lawsuits against, among others, Martin A. Armstrong and HSBC, Inc., the successor-in-interest to Republic New York Corporation, Republic New York Bank and Republic New York Securities Corporation for alleged violations of federal securities and racketeering laws. Through a group of interconnected companies owned and controlled by Armstrong—the Princeton Companies—Armstrong and the Republic Companies promoted and sold promissory notes, known as the "Princeton Notes," to more than eighty of the largest companies and financial institutions in Japan. Lieff Cabraser's lawsuits, as well as the lawsuits of dozens of other Princeton Note investors, alleged that the Princeton and Republic Companies made fraudulent misrepresentations and non-disclosures in connection with the promotion and sale of Princeton Notes, and that investors' monies were commingled and misused to the benefit of Armstrong, the Princeton Companies and the Republic Companies. In December 2001, the claims of our clients and those of the other Princeton Note investors were settled. As part of the settlement, our clients recovered more than \$50 million, which represented 100% of the value of their principal investments less money they received in interest or other payments.
- 19. Alaska State Department of Revenue v. America Online, No. 1JU-04-503 (Alaska Supr. Ct.). In December 2006, a \$50 million settlement was reached in a securities fraud action brought by the Alaska State Department of Revenue, Alaska State Pension Investment Board and Alaska Permanent Fund Corporation against defendants America Online, Inc. ("AOL"), Time Warner Inc. (formerly known as AOL Time Warner ("AOLTW")), Historic TW Inc. When the action was filed, the Alaska Attorney General estimated total losses at \$70 million. The recovery on behalf of Alaska was approximately 50 times what the state would have received as a member of the class in the federal securities class action settlement. The lawsuit, filed in 2004 in Alaska State Court, alleged that defendants misrepresented advertising revenues and growth of AOL and AOLTW along with the number of AOL subscribers, which artificially inflated the stock price of AOL and AOLTW to the detriment of Alaska State funds.

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The Alaska Department of Law retained Lieff Cabraser to lead the litigation efforts under its direction. "We appreciate the diligence and expertise of our counsel in achieving an outstanding resolution of the case," said Mark Morones, spokesperson for the Department of Law, following announcement of the settlement.

20. **Allocco v. Gardner**, No. GIC 806450 (Cal. Supr. Ct.). Lieff Cabraser represented Lawrence L. Garlick, the co-founder and former Chief Executive Officer of Remedy Corporation and 24 other former senior executives and directors of Remedy Corporation in a private (non-class) securities fraud lawsuit against Stephen P. Gardner, the former Chief Executive Officer of Peregrine Systems, Inc., John J. Moores, Peregrine's former Chairman of the Board, Matthew C. Gless, Peregrine's former Chief Financial Officer, Peregrine's accounting firm Arthur Andersen and certain entities that entered into fraudulent transactions with Peregrine. The lawsuit, filed in California state court, arose out of Peregrine's August 2001 acquisition of Remedy. Plaintiffs charged that they were induced to exchange their Remedy stock for Peregrine stock on the basis of false and misleading representations made by defendants. Within months of the Remedy acquisition, Peregrine began to reveal to the public that it had grossly overstated its revenue during the years 2000-2002, and eventually restated more than \$500 million in revenues.

After successfully defeating demurrers brought by defendants, including third parties who were customers of Peregrine who aided and abetted Peregrine's accounting fraud under California common law, plaintiffs reached a series of settlements. The settling defendants included Arthur Andersen, all of the director defendants, three officer defendants and the third party customer defendants KPMG, British Telecom, Fujitsu, Software Spectrum and Bindview. The total amount received in settlements was approximately \$45 million.

- 21. In re Cablevision Systems Corp. Shareholder Derivative Litigation, No. 06-cv-4130-DGT-AKT (E.D.N.Y.). Lieff Cabraser served as Co-Lead Counsel in a shareholders' derivative action against the board of directors and numerous officers of Cablevision. The suit alleged that defendants intentionally manipulated stock option grant dates to Cablevision employees between 1997 and 2002 in order to enrich certain officer and director defendants at the expense of Cablevision and Cablevision shareholders. According to the complaint, Defendants made it appear as if stock options were granted earlier than they actually were in order to maximize the value of the grants. In September 2008, the Court granted final approval to a \$34.4 million settlement of the action. Over \$24 million of the settlement was contributed directly by individual defendants who either received backdated options or participated in the backdating activity.
- 22. *In re Media Vision Technology Securities Litigation*, No. CV-94-1015 (N.D. Cal.). Lieff Cabraser served as Co-Lead Counsel in a class action lawsuit which alleged that certain Media Vision's officers, outside directors, accountants and underwriters engaged in a fraudulent scheme to inflate the company's earnings and issued false and misleading public statements about the company's finances, earnings and profits. By

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1998, the Court had approved several partial settlements with many of Media Vision's officers and directors, accountants and underwriters which totaled \$31 million. The settlement proceeds have been distributed to eligible class members. The evidence that Lieff Cabraser developed in the civil case led prosecutors to commence an investigation and ultimately file criminal charges against Media Vision's former Chief Executive Officer and Chief Financial Officer. The civil action against Media Vision's CEO and CFO was stayed pending the criminal proceedings against them. In the criminal proceedings, the CEO pled guilty on several counts, and the CFO was convicted at trial. In October 2003, the Court granted Plaintiffs' motions for summary judgment and entered a judgment in favor of the class against the two defendants in the amount of \$188 million.

23. **In re California Micro Devices Securities Litigation**, No. C-94-2817-VRW (N.D. Cal.). Lieff Cabraser served as Liaison Counsel for the Colorado Public Employees' Retirement Association and the California State Teachers' Retirement System, and the class they represented. Prior to 2001, the Court approved \$19 million in settlements. In May 2001, the Court approved an additional settlement of \$12 million, which, combined with the earlier settlements, provided class members an almost complete return on their losses. The settlement with the company included multi-million dollar contributions by the former Chairman of the Board and Chief Executive Officer.

Commenting in 2001 on Lieff Cabraser's work in Cal Micro Devices, U.S. District Court Judge Vaughn R. Walker stated, "It is highly unusual for a class action in the securities area to recover anywhere close to the percentage of loss that has been recovered here, and counsel and the lead plaintiffs have done an admirable job in bringing about this most satisfactory conclusion of the litigation." One year later, in a related proceeding and in response to the statement that the class had received nearly a 100% recovery, Judge Walker observed, "That's pretty remarkable. In these cases, 25 cents on the dollar is considered to be a magnificent recovery, and this is [almost] a hundred percent."

24. *In re Network Associates, Inc. Securities Litigation*, No. C-99-1729-WHA (N.D. Cal.). Following a competitive bidding process, the Court appointed Lieff Cabraser as Lead Counsel for the Lead Plaintiff and the class of investors. The complaint alleged that Network Associates improperly accounted for acquisitions in order to inflate its stock price. In May 2001, the Court granted approval to a \$30 million settlement.

In reviewing the *Network Associates* settlement, U.S. District Court Judge William H. Alsup observed, "[T]he class was well served at a good price by excellent counsel... We have class counsel who's one of the foremost law firms in the country in both securities law and class actions. And they have a very excellent reputation for the conduct of these kinds of cases..."

25. **In re FPI/Agretech Securities Litigation**, MDL No. 763 (D. Haw., Real, J.). Lieff Cabraser served as Lead Class Counsel for investors defrauded in a "Ponzi-like" limited partnership investment scheme. The Court approved \$15 million in partial, pretrial settlements. At trial, the jury returned a \$24 million verdict, which included \$10 million in punitive damages, against non-settling defendant Arthur Young & Co. for its knowing complicity and active and substantial assistance in the marketing and sale of the

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- worthless limited partnership offerings. The Appellate Court affirmed the compensatory damages award and remanded the case for a retrial on punitive damages. In 1994, the Court approved a \$17 million settlement with Ernst & Young, the successor to Arthur Young & Co.
- 26. **Nguyen v. FundAmerica**, No. C-90-2090 MHP (N.D. Cal., Patel, J.), 1990 Fed. Sec. L. Rep. (CCH) ¶¶ 95,497, 95,498 (N.D. Cal. 1990). Lieff Cabraser served as Plaintiffs' Class Counsel in this securities/RICO/tort action seeking an injunction against alleged unfair "pyramid" marketing practices and compensation to participants. The District Court certified a nationwide class for injunctive relief and damages on a mandatory basis and enjoined fraudulent overseas transfers of assets. The Bankruptcy Court permitted class proof of claims. Lieff Cabraser obtained dual District Court and Bankruptcy Court approval of settlements distributing over \$13 million in FundAmerica assets to class members.
- 27. In re Brooks Automation, Inc. Securities Litigation, No. 06 CA 11068 (D. Mass.). Lieff Cabraser served as Court-Appointed Lead Counsel for Lead Plaintiff the Los Angeles County Employees Retirement Association and co-plaintiff Sacramento County Employees' Retirement System in a class action lawsuit on behalf of purchasers of Brooks Automation securities. Plaintiffs charged that Brooks Automation, its senior corporate officers and directors violated federal securities laws by backdating company stock options over a six-year period, and failed to disclose the scheme in publicly filed financial statements. Subsequent to Lieff Cabraser's filing of a consolidated amended complaint in this action, both the Securities and Exchange Commission and the United States Department of Justice filed complaints against the Company's former C.E.O., Robert Therrien, related to the same alleged practices. In October 2008, the Court approved a \$7.75 million settlement of the action.
- 28. In re A-Power Energy Generation Systems, Ltd. Securities Litigation, No. 2:11-ml-2302-GW- (CWx) (C.D. Cal.). Lieff Cabraser served as Court-appointed Lead Counsel for Lead Plaintiff in this securities class action that charged defendants with materially misrepresenting A-Power Energy Generation Systems, Ltd.'s financial results and business prospects in violation of the antifraud provisions of the Securities Exchange Act of 1934. The Court approved a \$3.675 million settlement in August 2013.
- 29. **Bank of America-Merrill Lynch Merger Securities Cases**. In two cases—
  DiNapoli, et al. v. Bank of America Corp., No. 10 CV 5563 (S.D. N.Y.) and Schwab S&P
  500 Index Fund, et al. v. Bank of America Corp., et al., No. 11-cv- 07779 PKC (S.D.
  N.Y.). Lieff Cabraser sought recovery on a direct, non-class basis for losses that a number
  of public pension funds and mutual funds incurred as a result of Bank of America's
  alleged misrepresentations and concealment of material facts in connection with its
  acquisition of Merrill Lynch & Co., Inc. Lieff Cabraser represented the New York State
  Common Retirement Fund, the New York State Teachers' Retirement System, the Public
  Employees' Retirement Association of Colorado, and fourteen mutual funds managed by
  Charles Schwab Investment Management. Both cases settled in 2013 on confidential
  terms favorable for our clients.

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30. **Albert v. Alex. Brown Management Services; Baker v. Alex. Brown Management Services** (Del. Ch. Ct.). In May 2004, on behalf of investors in two investment funds controlled, managed and operated by Deutsche Bank and advised by DC Investment Partners, Lieff Cabraser filed lawsuits for alleged fraudulent conduct that resulted in an aggregate loss of hundreds of millions of dollars. The suits named as defendants Deutsche Bank and its subsidiaries Alex. Brown Management Services and Deutsche Bank Securities, members of the funds' management committee, as well as DC Investments Partners and two of its principals. Among the plaintiff-investors were 70 high net worth individuals. In the fall of 2006, the cases settled by confidential agreement.

### FIRM BIOGRAPHY:

### **PARTNERS**

ELIZABETH J. CABRASER, Admitted to practice in California, 1978; U.S. Supreme Court, 1996; U.S. Tax Court, 1979; California Supreme Court, 1978; U.S. District Court, Northern District of California, 1978; U.S. District Court, Eastern District of California, 1979; U.S. District Court, Central District of California and Southern District of California, 1992; U.S. District Court, Eastern District of Michigan, 2005; U.S. Court of Appeals, First Circuit, 2011; U.S. Court of Appeals, Second Circuit, 2009; U.S. Court of Appeals, Third Circuit, 1994; U.S. Court of Appeals, Fifth Circuit, 1992; U.S. Court of Appeals, Sixth Circuit, 1992; U.S. Court of Appeals, Seventh Circuit, 2001; U.S. Court of Appeals, Ninth Circuit, 1979; U.S. Court of Appeals, Tenth Circuit, 1992; U.S. Court of Appeals, Eleventh Circuit, 1992; U.S. District Court, District of Hawaii, 1986; Fourth Circuit Court of Appeals, 2013. Education: University of California, Berkeley, School of Law (Berkeley Law), Berkeley, California (J.D., 1978); University of California at Berkeley (A.B., 1975). Awards and Honors: AV Preeminent Peer Review Rated, Martindale-Hubbell; ; selected for inclusion by peers in The Best Lawyers in America in the fields of "Mass Tort Litigation/Class Actions – Plaintiffs" and "Personal Injury Litigation – Plaintiffs, Product Liability Litigation – Plaintiffs," 2005-2019; "Northern California Super Lawyer," Super Lawyers, 2004-2019; "Lawyer of the Year," Best Lawyers, recognized in the category of Mass Tort Litigation/Class Actions - Plaintiffs and Product Liability Litigation -Plaintiffs for San Francisco, 2014, 2016, 2018; "Elite Women of the Plaintiffs Bar," National Law Journal, 2018; "Champion of Justice," Public Justice, 2018; "Titan of the Plaintiffs' Bar," Law360, 2018; "Top California Women Lawyers," Daily Journal, 2007-2018; "National Trial Lawyers Hall of Fame," National Trial Lawyers Association, 2018; "Lifetime Achievement Award," National Law Journal, 2017; "Plaintiff Lawyer of the Year," Benchmark Litigation, 2017; "Top 250 Women in Litigation," Benchmark Litigation, 2016-2018; "Top Plaintiff Lawyers," Daily Journal, 2016-2017; "Leader in the Field" for General Commercial Litigation (California); Product Liability - Plaintiffs (Nationwide), Chambers USA, 2017; "Energy and Environmental Law Trailblazer," National Law Journal, 2017; "Top 10 Northern California Super Lawyers," Super Lawyers, 2018; "Top 50 Women Northern California Super Lawyers," Super Lawyers, 2005-2018; "Top 100 Northern California Super Lawyers," Super Lawyers, 2005-2018; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2017; "California Litigation Star," Benchmark Litigation, 2012-2017; "Litigator of the Week,"

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on Class Actions and Derivative Suits; Tort and Insurance Practice Section; Rules & Procedures Committee, Past Vice-Chair; Civil Procedure & Evidence News Letter, Contributor; Business Law Section); American Constitution Society, Board of Advisors; American Law Institute (1993 present; Council, 1999 - present; Adviser, the Restatement Third, Consumer Contracts project and the Restatement Third, Torts: Liability for Economic Harm; Members Consultative Group, the Restatement Third, Torts: Liability for Physical Harm; past Adviser, the Recognition & Enforcement of Foreign Judgments project and the Principles of the Law of Aggregate Litigation project); Association of Business Trial Lawyers; Bar Association of the Fifth Federal Circuit; Bar Association of San Francisco (Past President, Securities Litigation Section; Board of Directors, 1997 - 1998; Judiciary Committee); Bay Area Lawyers for Individual Freedom; California Constitution Revision Commission (1993 -1996); California Women Lawyers; Consumer Attorneys of California; Federal Bar Association; Federal Bar Association (Northern District of California Chapter); Federal Civil Rules Advisory Committee (Appointed by Supreme Court, 2011); Lawyers Club of San Francisco; National Center for State Courts (Board Member; Mass Tort Conference Planning Committee); National Judicial College (Board of Trustees); Ninth Circuit Judicial Conference (Lawyer Delegate, 1992 - 1995); Northern District of California Civil Justice Reform Act (Advisory Committee; Advisory Committee on Professional Conduct); Northern District of California Civil Justice Reform Act (CJRA) Advisory Committee; Public Justice Foundation; Queen's Bench; State Bar of California.

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STEVEN E. FINEMAN, Managing Partner. Admitted to practice in California, 1989; U.S. District Court, Northern, Eastern and Central Districts of California and U.S. Court of Appeals, Ninth Circuit, 1995; U.S. Court of Appeals, Fifth Circuit, 1996; New York, U.S. District Court, Eastern and Southern Districts of New York, U.S. District Court, District of Colorado, 2006; U.S. Court of Appeals, Second Circuit and U.S. Supreme Court, 1997; U.S. District Court for the District of Columbia, 1997. Education: University of California, Hastings College of the Law (J.D., 1988); University of California, San Diego (B.A., 1985); Stirling University, Scotland (English Literature and Political Science, 1983-84). Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in the fields of "Mass Tort Litigation/Class Actions -Plaintiffs," 2006-2019; "Super Lawyer for New York Metro," Super Lawyers, 2006-2017; "Lawyer of the Year," Best Lawyers, recognized in the category of Mass Tort Litigation/Class Actions - Plaintiffs for New York City, 2016; "New York Litigation Star," Benchmark Litigation, 2013-2016; Member, Best Lawyers Advisory Board, a select group of U.S. and international law firm leaders and general counsel, 2011-2012; "Lawdragon Finalist," Lawdragon, 2009-present; "Top Attorneys In Securities Law," Super Lawyers Business Edition, 2008-present; Consultant to the Office of Attorney General, State of New York, in connection with an industry-wide investigation and settlement concerning health insurers' use of the "Ingenix database" to determine usual and customary rates for out-of-network services, April 2008-February 2009; "100 Managing Partners You Need to Know," Lawdragon, 2008; "40 Under 40," selected as one of the country's most successful litigators under the age of 40, The National Law Journal, 2002. Publications & Presentations: American Association for Justice, The Future of Class Actions: Teamwork, Savvy Defense, and Smart Offense, Panel Member, "Going on Offense: Developing a Proactive Plan" (May 11, 2017, Nashville, Tennessee); University of Haifa Faculty of Law, Dispute Resolution of Consumer Mass Disputes, Panelist, "The Role of the Lead Lawyer in Consumer Class Actions" (March 17, 2017, Haifa, Israel); Global Justice Forum, Presented by Robert L. Lieff – Moderator of Financial Fraud Litigation Panel and Participant on Financing of Litigation Panel (October 4, 2011, Columbia Law School, New York, New York); The Canadian Institute, The 12th Annual Forum on Class Actions – Panel Member, Key U.S. and Cross-Border Trends: Northbound Impacts and Must-Have Requirements (September 21, 2011, Toronto, Ontario, Canada); Co-Author with Michael J. Miarmi, "The Basics of Obtaining Class Certification in Securities Fraud Cases: U.S. Supreme Court Clarifies Standard, Rejecting Fifth Circuit's 'Loss Causation' Requirement," Bloomberg Law Reports (July 5, 2011); Stanford

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University Law School, Guest Lecturer for Professor Deborah Hensler's course on Complex Litigation, Representing Plaintiffs in Large-Scale Litigation (March 2, 2011, Stanford, California); Stanford University Law School — Panel Member, Symposium on the Future of the Legal Profession, (March 1, 2011, Stanford, California); Stanford University Law School, Member, Advisory Forum, Center of the Legal Profession (2011-Present); 4th Annual International Conference on the Globalization of Collective Litigation — Panel Member, Funding Issues: Public versus Private Financing (December 10, 2010, Florida International University College of Law, Miami, Florida); "Bill of Particulars, A Review of Developments in New York State Trial Law," Column, The Supreme Court's Decisions in Igbol and Twombly Threaten Access to Federal Courts (Winter 2010); American Constitution Society for Law and Policy, Access to Justice in Federal Courts — Panel Member, The Iqbal and Twombly Cases (January 21, 2010, New York, New York); American Bar Association, Section of Litigation, The 13th Annual National Institute on Class Actions — Panel Member, Hydrogen Peroxide Will Clear It Up Right Away: Developments in the Law of Class Certification (November 20, 2009, Washington, D.C.); Global Justice Forum, Presented by Robert L. Lieff and Lieff, Cabraser, Heimann & Bernstein, LLP — Conference Co-Host and Moderator of Mediation/Arbitration Panel (October 16, 2009, Columbia Law School, New York, New York); Stanford University Law School, Guest Lecturer for Professor Deborah Hensler's course on Complex Litigation, Foreign Claimants in U.S. Courts/U.S. Lawyers in Foreign Courts (April 6, 2009, Stanford, California); Consultant to the Office of Attorney General, State of New York, in connection with an industry-wide investigation and settlement concerning health insurers' use of the "Ingenix database" to determine usual and customary rates for out-of-network services, April 2008-February 2009; Stanford University Law School, Guest Lecturer for Professor Deborah Hensler's course on Complex Litigation, Foreign Claimants in U.S. Courts/U.S. Lawyers in Foreign Courts (April 16, 2008, Stanford, California); Benjamin N. Cardozo Law School, The American Constitution Society for Law & Policy, and Public Justice, Co-Organizer of conference and Master of Ceremonies for conference, Justice and the Role of Class Actions (March 28, 2008, New York, New York); Stanford University Law School and The Centre for Socio-Legal Studies, Oxford University, Conference on The Globalization of Class Actions, Panel Member, Resolution of Class and Mass Actions (December 13 and 14, 2007, Oxford, England); Editorial Board and Columnist, "Federal Practice for the State Court Practitioner," New York State Trial Lawyers Association's "Bill of Particulars," (2005-present); "Bill of Particulars, A Review of Developments in New York State Trial Law," Federal Multidistrict Litigation Practice (Fall 2007); "Bill of Particulars, A Review of Developments in New York State Trial Law," Pleading a Federal Court Complaint (Summer 2007); Stanford University Law School, Guest Lecturer for Professor Deborah Hensler's course on Complex Litigation, Foreign Claimants in U.S. Courts (April 17, 2007, Palo Alto, California); "Bill of Particulars, A Review of Developments in New York State Law," *Initiating Litigation* and Electronic Filing in Federal Court (Spring 2007); "Bill of Particulars, A Review of Developments in New York State Trial Law," Column, Federal Court Jurisdiction: Getting to Federal Court By Choice or Removal (Winter 2007); American Constitution Society for Law and Policy, 2006 National Convention, Panel Member, Finding the Balance: Federal Preemption of State Law (June 16, 2006, Washington, D.C.); Global Justice Forum, Presented by Lieff, Cabraser, Heimann & Bernstein, LLP — Conference Moderator and Panel Member on Securities Litigation (May 19, 2006, Paris, France); Stanford University Law School, Guest Lecturer for Professor Deborah Hensler's course on Complex Litigation, Foreign Claimants in U.S. Court (April 25, 2006, Stanford, California); Global Justice Forum, Presented by Lieff, Cabraser,

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Heimann & Bernstein, LLP — Conference Moderator and Speaker and Papers, The Basics of Federal Multidistrict Litigation: How Disbursed Claims are Centralized in U.S. Practice and Basic Principles of Securities Actions for Institutional Investors (May 20, 2005, London, England); New York State Trial Lawyers Institute, Federal Practice for State Practitioners, Speaker and Paper, Federal Multidistrict Litigation Practice, (March 30, 2005, New York, New York), published in "Bill of Particulars, A Review of Developments in New York State Trial Law" (Spring 2005); Stanford University Law School, The Stanford Center on Conflict and Negotiation, Interdisciplinary Seminar on Conflict and Dispute Resolution, Guest Lecturer, In Search of "Global Settlements": Resolving Class Actions and Mass Torts with Finality (March 16, 2004, Stanford, California); Lexis/Nexis, Mealey's Publications and Conferences Group, Wall Street Forum: Mass Tort Litigation, Co-Chair of Event (July 15, 2003, New York, New York); Northstar Conferences, The Class Action Litigation Summit, Panel Member on Class Actions in the Securities Industry, and Paper, Practical Considerations for Investors' Counsel - Getting the Case (June 27, 2003, Washington, D.C.); The Manhattan Institute, Center for Legal Policy, Forum Commentator on Presentation by John H. Beisner, Magnet Courts: If You Build Them, Claims Will Come (April 22, 2003, New York, New York); Stanford University Law School, Guest Lecturer for Professor Deborah Hensler's Courses on Complex Litigation, Selecting The Forum For a Complex Case — Strategic Choices Between Federal And State Jurisdictions, and Alternative Dispute Resolution ADR In Mass Tort Litigation, (March 4, 2003, Stanford, California); American Bar Association, Tort and Insurance Practice Section, Emerging Issues Committee, Member of Focus Group on Emerging Issues in Tort and Insurance Practice (coordinated event with New York University Law School and University of Connecticut Law School, August 27, 2002, New York, New York); Duke University and University of Geneva, "Debates Over Group Litigation in Comparative Perspective," Panel Member on Mass Torts and Products Liability (July 21-22, 2000, Geneva, Switzerland); New York Law Journal, Article, Consumer Protection Class Actions Have Important Position, Applying New York's Statutory Scheme (November 23, 1998); Leader Publications, Litigation Strategist, "Fen-Phen," Articles, The Admissibility of Scientific Evidence in Fen-Phen Litigation and Daubert Developments: Something For Plaintiffs, Defense Counsel (June 1998, New York, New York); "Consumer Protection Class Actions Have Important Position, Applying New York's Statutory Scheme," New York Law Journal (November 23, 1998); The Defense Research Institute and Trial Lawyer Association, Toxic Torts and Environmental Law Seminar, Article and Lecture, A Plaintiffs' Counsels' Perspective: What's the Next Horizon? (April 30, 1998, New York, New York); Lexis/Nexis, Mealey's Publications and Conference Group, Mealey's Tobacco Conference: Settlement and Beyond 1998, Article and Lecture, The Expanding Litigation (February 21, 1998, Washington, D.C.); New York State Bar Association, Expert Testimony in Federal Court After Daubert and New Federal Rule 26, Article and Lecture, Breast Implant Litigation: Plaintiffs' Perspective on the Daubert Principles (May 23, 1997, New York, New York); Plaintiff Toxic Tort Advisory Council, Lexis/Nexis, Mealey's Publications and Conferences Group (January 2002-2005). Member: American Association for Justice; American Bar Association; American Constitution Society (Board of Directors, 2016-present); Association of the Bar of the City of New York; Bar Association of the District of Columbia; Civil Justice Foundation (Board of Trustees, 2004-present); Fight for Justice Campaign; Human Rights First; National Association of Shareholder and Consumer Attorneys (Executive Committee, 2009-present); New York State Bar Association; New York State Trial Lawyers Association (Board of Directors, 2001-2004); New York State Trial Lawyers Association's "Bill of Particulars" (Editorial Board and Columnist,

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"Federal Practice for the State Court Practitioner," 2005-present); Plaintiff Toxic Tort Advisory Council (Lexis/Nexis, Mealey's Publications and Conferences Group, 2002-2005); Public Justice Foundation (President, 2011-2012; Executive Committee, July 2006-present; Board of Directors, July 2002-present); Co-Chair, Major Donors/Special Gifts Committee, July 2009-present; Class Action Preservation Project Committee, July 2005-present); State Bar of California; Supreme Court Historical Society.

ROBERT J. NELSON, Admitted to practice in California, 1987; California Supreme Court; U.S. District Court, Central District of California, 1987; U.S. District Court, Northern District of California, 1988; U.S. Court of Appeals, Ninth Circuit, 1988; U.S. Court of Appeals, Sixth Circuit, 1995; U.S. Court of Appeals, Seventh Circuit, 2016; District of Columbia, 1998; U.S. District Court, Eastern District of California, 2006; U.S. District Court, Northern District of Ohio; U.S. District Court, Southern District of Ohio; U.S. District Court, Middle District of Tennessee. Education: New York University School of Law (J.D., 1987): Order of the Coif, Articles Editor, New York University Law Review, Root-Tilden-Kern Scholarship Program. Cornell University (A.B., cum laude 1982): Member, Phi Beta Kappa; College Scholar Honors Program. London School of Economics (General Course, 1980-81): Graded First. Prior Employment: Judicial Clerk to Judge Stephen Reinhardt, U.S. Court of Appeals, Ninth Circuit, 1987-88; Assistant Federal Public Defender, Northern District of California, 1988-93; Legal Research and Writing Instructor, University of California-Hastings College of the Law, 1989-91 (Part-time position). Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in fields of "Personal Injury Litigation – Plaintiffs" and "Product Liability Litigation – Plaintiffs," 2012-2019; "Northern California Super Lawyer," Super Lawyers, 2004-2019; "California Litigation Star," Benchmark Litigation, 2013-2016; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2007, 2010, 2014-2015; Legal 500 recommended lawyer, LegalEase, 2013-Present; "Lawdragon Finalist," Lawdragon, 2009-2011; "California Lawyer Attorney of the Year (CLAY)" Award, California Lawyer, 2008, 2010; "San Francisco Trial Lawyer of the Year Finalist," San Francisco Trial Lawyers' Association, 2007. Publications: False Claims Roundtable, California Lawyer (January 2013); False Claims Roundtable, California Lawyer (April 2012); False Claims Roundtable, California Lawyer (June 2011); False Claims Roundtable, California Lawyer (June 2010); Product Liability Roundtable, California Lawyer (March 2010); Product Liability Roundtable, California Lawyer (July 2009); "Class Action Treatment of Punitive Damages Issues after Philip Morris v. Williams: We Can Get There from Here," 2 Charleston Law Review 2 (Spring 2008) (with Elizabeth J. Cabraser); Product Liability Roundtable, California Lawyer (December 2007); Contributing Author, California Class Actions Practice and Procedures (Elizabeth J. Cabraser editor in chief, 2003); "The Importance of Privilege Logs," The Practical Litigator, Vol. II, No. 2 (March 2000) (ALI-ABA Publication); "To Infer or Not to Infer a Discriminatory Purpose: Rethinking Equal Protection Doctrine," 61 New York University Law Review 334 (1986). Member: American Association for Justice, Fight for Justice Campaign; American Bar Association; American Civil Liberties Union of Northern California; Bar Association of San Francisco; Bar of the District of Columbia; Consumer Attorneys of California; Human Rights Watch California Committee North; RE-volv, Board Member; San Francisco Trial Lawyers Association; State Bar of California.

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**KELLY M. DERMODY**, Admitted to practice in California (1994); U.S. Supreme Court (2013); U.S. Court of Appeals for the First Circuit (2012); U.S. Court of Appeals for the Second Circuit (2010); U.S. Court of Appeals for the Third Circuit (2001); U.S. Court of Appeals for the Fourth Circuit (2008); U.S. Court of Appeals for the Sixth Circuit (2008); U.S. Court of Appeals for the Seventh Circuit (2006); U.S. Court of Appeals for the Ninth Circuit (2007); U.S. District Court, Northern District of California (1995); U.S. District Court, Central District of California (2005); U.S. District Court, Eastern District of California (2012); U.S. District Court of Colorado (2007). Education: University of California, Berkeley, School of Law (Berkeley Law) (J.D. 1993); Moot Court Executive Board (1992-1993); Articles Editor, Industrial Relations Law Journal/Berkeley Journal of Employment and Labor Law (1991-1992); Harvard University (A.B. magna cum laude, 1990), Senior Class Ames Memorial Public Service Award. Prior Employment: Law Clerk to Chief Judge John T. Nixon, U.S. District Court, Middle District of Tennessee, 1993-1994; Adjunct Professor of Law, Golden Gate University School of Law, Employment Law (Spring 2001). Awards & Honors: AV Preeminent Peer Review Rated, Martindale-Hubbell; "Margaret Brent Women Lawyers of Achievement Award," American Bar Association Commission on Women in the Profession, 2019; "Top California Women Lawyers," Daily Journal, 2007, 2010, 2012-2018; Selected for inclusion by peers in The Best Lawyers in America in fields of "Employment Law – Individuals" and "Litigation – Labor and Employment," 2010-2019; "500 Leading Lawyers in America," Lawdragon, 2010-2017, 2019; "Employment Law Trailblazer, National Law Journal, 2019; "Northern California Super Lawyer," Super Lawyers, 2004-2019; "Lawyer of the Year," Best Lawyers, Employment Law-Individuals for San Francisco, 2014, 2018; "Top Labor & Employment Lawyers," Daily Journal, 2018; "Top 250 Women in Litigation," Benchmark Litigation, 2016-2018; "Gender Justice Honoree," Equal Rights Advocates, 2017; "California Litigation Star," Benchmark Litigation, 2013-2016; Fellow, The College of Labor and Employment Lawyers, 2015; "Top 100 Attorneys in California, Daily Journal, 2012-2015; "Top 75 Labor and Employment Attorneys in California," Daily Journal, 2011-2015; "Top 50 Women Northern California Super Lawyers," Super Lawyers, 2007-2015, 2018; "Top 100 Northern California Super Lawyers," Super Lawyers, 2007, 2009-2015, 2018; Distinguished Jurisprudence Award, Anti-Defamation League, 2014; "Lawyer of the Year," Best Lawyers, recognized in the category of Employment Law – Individuals for San Francisco, 2014; "Top 10 Northern California Super Lawyers, Super Lawyers, 2014; "Dolores Huerta Adelita Award," California Rural Assistance, 2013; "Recommended Lawyer," The Legal 500 (U.S. edition, 2013); "Women of Achievement Award," Legal Momentum (formerly the NOW Legal Defense & Education Fund), 2011; "Irish Legal 100" Finalist, The Irish Voice, 2010; "Florence K. Murray Award," National Association of Women Judges, 2010 (for influencing women to pursue legal careers, opening doors for women attorneys, and advancing opportunities for women within the legal profession); "Lawdragon Finalist," Lawdragon, 2007-2009; "Community Service Award," Bay Area Lawyers for Individual Freedom, 2008; "Community Justice Award," Centro Legal de la Raza, 2008; "Award of Merit," Bar Association of San Francisco, 2007; "California Lawyer Attorney of the Year (CLAY) Award," California Lawyer, 2007; "500 Leading Plaintiffs' Lawyers in America," Lawdragon, Winter 2007; "Trial Lawyer of the Year Finalist," Public Justice Foundation, 2007; "Consumer Attorney of the Year" Finalist, Consumer Attorneys of California, 2006; "California's Top 20 Lawyers Under 40," Daily Journal, 2006; "Living the Dream Partner," Lawyers' Committee for Civil Rights of the San Francisco Bay Area, 2005; "Top Bay Area Employment Attorney," The Recorder, 2004. Member: American Law Institute, Elected Member, 2019;

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American Bar Association, Labor and Employment Law Section (Governing Council, 2009present; Co-Chair, Section Conference, 2008-2009; Vice-Chair, Section Conference, 2007-2008; Co-Chair, Committee on Equal Opportunity in the Legal Profession, 2006-2007); American Bar Association, Section of Litigation (Attorney Client Privilege Task Force, 2017-2018); Bar Association of San Francisco (Board of Directors, 2005-2012; President, 2011-2012; President-Elect, 2010-2011; Treasurer, 2009-2010; Secretary, 2008-2009; Litigation Section; Executive Committee, 2002-2005); Bay Area Lawyers for Individual Freedom; Lawyers' Committee for Civil Rights of the San Francisco Bay Area (Board of Directors, 1998-2005; Secretary, 1999-2003; Co-Chair, 2003-2005; Member, 1997-Present); Carver Healthy Environments and Response to Trauma in Schools (Steering Committee, 2007); College of Labor and Employment Lawyers (Fellow, 2015); Consumer Attorneys of California; Equal Rights Advocates (Litigation Committee, 2000-2002); National Association of Women Judges (Independence of the Judiciary Co-Chair, 2011-2014; Resource Board, Co-Chair, 2009-2011, Member, 2005-2014); National Center for Lesbian Rights (Board of Directors, 2002-2008; Co-Chair, 2005-2006); National Employment Lawyers' Association; Northern District of California Historical Society (Board of Directors, 2015- Present); Northern District of California Lawyer Representative to the Ninth Circuit Judicial Conference (2007-2010); Pride Law Fund (Board of Directors, 1995-2002; Secretary, 1995-1997; Chairperson, 1997-2002); Public Justice Foundation; State Bar of California.

JONATHAN D. SELBIN, Admitted to practice in California, 1994; District of Columbia, 2000; New York, 2001; U.S. Supreme Court, 2012; U.S. Court of Appeals, Second Circuit, 2016; U.S. Court of Appeals, Third Circuit, 2009; U.S. Court of Appeals, Fifth Circuit, 2002; U.S. Court of Appeals, Sixth Circuit, 2012; U.S. Court of Appeals, Ninth Circuit, 2007; U.S. Court of Appeals, Tenth Circuit, 2014; U.S. District Court, Northern District of California, 1997; U.S. District Court, Central District of California, 1995; U.S. District Court, Northern District of Florida, 2009; U.S. District Court Northern District of Illinois, 2010; U.S. District Court, Southern District of New York, 2001; U.S. District Court, Eastern District of New York, 2008; U.S. District Court, Eastern District of Michigan, 2007; U.S. District Court, Eastern District of Wisconsin, 2013. Education: Harvard Law School (J.D., magna cum laude, 1993); University of Michigan (B.A., summa cum laude, 1989). Prior Employment: Law Clerk to Judge Marilyn Hall Patel, U.S. District Court, Northern District of California, 1993-95. Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in field of "Product Liability Litigation - Plaintiffs," 2013-2019; "New York Super Lawyers," Super Lawyers, 2006-2018; Distinguished Service Award, American Association for Justice, 2016; "New York Litigation Star," Benchmark Litigation, 2013-2016; "Lawdragon Finalist," Lawdragon, 2009. Publications & Presentations: On Class Actions (2009); Contributing Author, "Ninth Circuit Reshapes California Consumer-Protection Law," American Bar Association (July 2012); Contributing Author, California Class Actions Practice and Procedures (Elizabeth J. Cabraser editor-in-chief, 2003); "Bashers Beware: The Continuing Constitutionality of Hate Crimes Statutes After R.A.V.," 72 Oregon Law Review 157 (Spring, 1993). Member: American Association for Justice; American Bar Association; District of Columbia Bar Association; Equal Justice Works, Board of Counselors; New York Advisory Board, Alliance for Justice; New York State Bar Association; New York State Trial Lawyers Association; State Bar of California.

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MICHAEL W. SOBOL, Admitted to practice in Massachusetts, 1989; California, 1998; United States District Court, District of Massachusetts, 1990; U.S. District Court, Northern District of California, 2001; U.S. District Court, Central District of California, 2005; U.S. District Court, Eastern District of California, 2011; U.S. District Court, Southern District of California, 2010; U.S. Court of Appeals for the Ninth Circuit (2009); U.S. Court of Appeals for the Eleventh Circuit (2012). Education: Boston University (J.D., 1989); Hobart College (B.A., cum laude, 1983). Prior Employment: Lecturer in Law, Boston University School of Law, 1995-1997. Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in fields of "Mass Tort Litigation/Class Actions – Plaintiffs" and "Product Liability Litigation – Plaintiffs," 2013-2019; "Super Lawyer for Northern California," Super Lawyers, 2012 – 2019; "Top Cyber/Artificial Intelligence Lawyer," Daily Journal, 2018; "MVP for Cybersecurity and Privacy," Law360, 2017; "Cybersecurity & Data Privacy Trailblazer," The National Law Journal, 2017; California Litigation Star," Benchmark Litigation, 2013-2015; "Top 100 Northern California Super Lawyers," Super Lawyers, 2013; "Top 100 Attorneys in California," Daily Journal, 2012-2013; "Trial Lawyer of the Year Finalist," Public Justice, 2012; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2011; "Lawdragon Finalist," Lawdragon, 2009. Publications & Presentations: Panelist, National Consumer Law Center's 15th Annual Consumer Rights Litigation Conference, Class Action Symposium; Panelist, Continuing Education of the Bar (C.E.B.) Seminar on Unfair Business Practices—California's Business and Professions Code Section 17200 and Beyond; Columnist, On Class Actions, Association of Business Trial Lawyers, 2005 to present; The Fall of Class Action Waivers (2005); The Rise of Issue Class Certification (2006); Proposition 64's Unintended Consequences (2007); The Reach of Statutory Damages (2008). Member: State Bar of California; Bar Association of San Francisco; Consumer Attorneys of California, Board of Governors, (2007-2008, 2009-2010); National Association of **Consumer Advocates.** 

**FABRICE N. VINCENT**, Admitted to practice in California, 1992; U.S. District Court, Northern District of California, Central District of California, Eastern District of California, Ninth Circuit Court of Appeals, 1992. Education: Cornell Law School (J.D., cum laude, 1992); University of California at Berkeley (B.A., 1989). Awards & Honors: Selected for inclusion by peers in *The Best Lawyers in America* in fields of "Mass Tort Litigation/Class Actions – Plaintiffs," "Product Liability Litigation – Plaintiffs," and "Personal Injury Litigation – Plaintiffs," 2012-2019; "Super Lawyer for Northern California," Super Lawyers, 2006–2019; "Outstanding Subcommittee Chair for the Class Actions & Derivative Suits," ABA Section of Litigation, 2013. Publications & Presentations: Lead Author, Citizen Report on Utility Terrain Vehicle (UTV) Hazards and Urgent Need to Improve Safety and Performance Standards; and Request for Urgent Efforts To Increase Yamaha Rhino Safety and Avoid Needless New Catastrophic Injuries, Amputations and Deaths, Lieff Cabraser Heimann & Bernstein, LLP (2009); Co-Author with Elizabeth J. Cabraser, "Class Actions Fairness Act of 2005," California Litigation, Vol. 18, No. 3 (2005); Co-Editor, California Class Actions Practice and Procedures (2003-06); Co-Author, "Ethics and Admissibility: Failure to Disclose Conflicts of Interest in and/or Funding of Scientific Studies and/or Data May Warrant Evidentiary Exclusions," Mealey's December Emerging Drugs Reporter (December 2002); Co-author, "The Shareholder Strikes Back: Varied Approaches to Civil Litigation Claims Are Available to Help Make Shareholders Whole," *Mealey's Emerging Securities Litigation Reporter* (September 2002); Co-Author, "Decisions Interpreting California's Rules of Class Action Procedure," Survey of

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State Class Action Law (ABA 2000-09), updated and re-published in 5 Newberg on Class Actions (2001-09); Coordinating Editor and Co-Author of California section of the ABA State Class Action Survey (2001-06); Co-Editor-In-Chief, Fen-Phen Litigation Strategist (Leader Publications 1998-2000); Author of "Off-Label Drug Promotion Permitted" (Oct. 1999); Co-Author, "The Future of Prescription Drug Products Liability Litigation in a Changing Marketplace," and "Six Courts Certify Medical Monitoring Claims for Class Treatment," 29 Forum 4 (Consumer Attorneys of California 1999); Co-Author, Class Certification of Medical Monitoring Claims in Mass Tort Product Liability Litigation (ALI-ABA Course of Study 1999); Co-Author, "How Class Proofs of Claim in Bankruptcy Can Help in Medical Monitoring Cases," (Leader Publications 1999); Author, "AHP Loses Key California Motion In Limine," (February 2000); Co-Author, Introduction, "Sanctioning Discovery Abuses in the Federal Court," (LRP Publications 2000); "With Final Approval, Diet Drug Class Action Settlement Avoids Problems That Doomed Asbestos Pact," (Leader Publications 2000); Author, "Special Master Rules Against SmithKline Beecham Privilege Log," (November 1999). Member: American Association for Justice; Association of Business Trial Lawyers; State Bar of California; Bar Association of San Francisco; American Bar Association; Fight for Justice Campaign; Association of Business Trial Lawyers; Society of Automotive Engineers.

*DAVID S. STELLINGS*, Admitted to practice in New York, 1994; New Jersey; 1994; U.S. District Court, Southern District of New York, 1994. *Education*: New York University School of Law (J.D., 1993); Editor, *Journal of International Law and Politics;* Cornell University (B.A., *cum laude*, 1990). *Awards & Honors*: "Super Lawyer for New York Metro," *Super Lawyers*, 2012-2017; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2017; "Trial Lawyer of the Year Finalist," Public Justice, 2012; "*Lawdragon* Finalist, *Lawdragon*, 2009. *Member*: New York State Bar Association; New Jersey State Association; Bar Association of the City of New York; American Bar Association.

**ERIC B. FASTIFF,** Admitted to practice in California, 1996; District of Columbia, 1997; U.S. Courts of Appeals for the Third, Ninth and Federal Circuit; U.S. District Courts for the Northern, Southern, Eastern, and Central Districts of California, District of Columbia; U.S. District Court, Eastern District of Wisconsin; U.S. Court of Federal Claims. Education: Cornell Law School (J.D., 1995); Editor-in-Chief, Cornell International Law Journal; London School of Economics (M.Sc.(Econ.), 1991); Tufts University (B.A., cum laude, magno cum honore in thesi, 1990). Prior Employment: Law Clerk to Hon. James T. Turner, U.S. Court of Federal Claims, 1995-1996; International Trade Specialist, Eastern Europe Business Information Center, U.S. Department of Commerce, 1992. Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in the field of "Litigation - Antitrust," 2013-2019; "Lawdragon 500 Leading Lawyers in America," Lawdragon, 2019; "Northern California Super Lawyer," Super Lawyers, 2010-2019; "Top Plaintiff Lawyers," Daily Journal, 2016-2017; "Plaintiffs' Law Trailblazer," National Law Journal, 2018; "Leader in the Field" for Antitrust (California), Antitrust (National), Chambers USA, 2017; "Outstanding Private Practice Antitrust Achievement," American Antitrust Institute, 2017; "California Litigation Star," Benchmark Litigation, 2013-2015; Legal 500 recommended lawyer, LegalEase, 2013; "Top 100 Lawyers in California," Daily Journal, 2013; "Top Attorneys in Business Law," Super Lawyers Corporate Counsel Edition, 2012; "Lawdragon Finalist," Lawdragon, 2009. Publications & Presentations: General Editor, California Class Actions Practice and Procedures, (2003-2009); Coordinating Editor and Co-

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Author of California section of the *ABA State Class Action Survey* (2003-2008); Author, "US Generic Drug Litigation Update," 1 *Journal of Generic Medicines* 212 (2004); Author, "The Proposed Hague Convention on the Recognition and Enforcement of Civil and Commercial Judgments: A Solution to Butch Reynolds's Jurisdiction and Enforcement Problems," 28 *Cornell International Law Journal* 469 (1995). *Member*: American Antitrust Institute (Advisory Board, 2012-Present); Committee to Support the Antitrust Laws, President, 2017; Bar Association of San Francisco; Children's Day School (Board of Trustees); District of Columbia Bar Association; *Journal of Generic Medicines* (Editorial Board Member, 2003-Present); State Bar of California; U.S. Court of Federal Claims Bar Association.

WENDY R. FLEISHMAN, Admitted to practice in New York, 1992; Pennsylvania, 1977; U.S. Supreme Court, 2000; U.S. Court of Appeals 2nd Circuit, 1998; U.S. Court of Appeals 3rd Circuit, 2010; U.S. Court of Appeals 8th Circuit, 2009; U.S. Court of Appeals 9th Circuit, 2010; U.S. District Court, District of Arizona, 2013; U.S. District Court, Western District of New York, 2012; U.S. District Court Eastern District of New York, 1999; U.S. District Court Northern District of New York, 1999; U.S. District Court Southern District of New York, 1995; U.S. District Court, Eastern District of Wisconsin, 2013; U.S. District Court, Eastern District of Pennsylvania, 1984; U.S. District Court, Western District of Pennsylvania, 2001; U.S. Court of Appeals 5th Circuit, March 5, 2014. Education: University of Pennsylvania (Post-Baccalaureate Pre-Med, 1982); Temple University (J.D., 1977); Sarah Lawrence College (B.A., 1974). Prior Employment: Skadden, Arps, Slate, Meagher & Flom LLP in New York (Counsel in the Mass Torts and Complex Litigation Department), 1993-2001; Fox, Rothschild O'Brien & Frankel (partner), 1988-93 (tried more than thirty civil, criminal, employment and jury trials, and AAA arbitrations, including toxic tort, medical malpractice and serious injury and wrongful death cases); Ballard Spahr Andrews & Ingersoll (associate), 1984-88 (tried more than thirty jury trials on behalf of the defense and the plaintiffs in civil personal injury and tort actions as well as employment—and construction—related matters); Assistant District Attorney in Philadelphia, PA, 1977-84 (in charge of and tried major homicide and sex crime cases). Awards and Honors: Life Fellow, American Bar Foundation; AV Preeminent Peer Review Rated, Martindale-Hubbell; "Top 100 Trial Lawyers," The National Trial Lawyers; Selected for inclusion by peers in The Best Lawyers in America in the field of "Mass Tort Litigation/Class Actions - Plaintiffs," 2019; "New York Super Lawyers," Super Lawyers, 2006-2018; "New York Litigation Star," Benchmark Litigation, 2013-2016; Legal 500 recommended lawyer, LegalEase, 2013; Officer of New York State Trial Lawyers Association, 2010-present; New York State Academy of Trial Lawyers, 2011; "Lawdragon Finalist," Lawdragon, 2009. Publications & Presentations: Moderator, "Jurisdiction: Defining State Courts' Authority," Pound Civil Justice Institute Judges Forum; Boston, MA, July 2017; Speaker, "Diversity in Mass Torts," AAJ Education Programs, Boston, MA, July 2017; Speaker, "Mass Torts & Criminality," JAMS Mass Torts Judicial Forum, New York, NY, April 2017; Speaker, "Settling Strategies for MDLs," JAMS Mass Torts Judicial Forum, New York, NY, April 2016; Moderator & Chair, "Toxic, Environmental & Pharmaceutical Torts," American Association for Justice Annual Convention, Baltimore, MD, July 2014; "Where Do You Want To Be? Don't Get Left Behind, Creating a Vision for Your Practice," Minority Caucus and Women Trial Lawyers Caucus (July 22, 2013); Editor, Brown & Fleishman, "Proving and Defending Damage Claims: A Fifty-State Guide" (2007-2010); Co-Author with Donald Arbitblit, "The Risky Business of Off-Label Use," Trial (March 2005); Co-Author, "From the Defense Perspective," Scientific Evidence, Chapter 6, Aspen Law Pub (1999); Editor, Trial

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Techniques Newsletter, Tort and Insurance Practices Section, American Bar Association (1995-1996; 1993-1994); "How to Find, Understand, and Litigate Mass Torts," NYSTLA Mass Torts Seminar (April 2009); "Ethics of Fee Agreements in Mass Torts," AAJ Education Programs (July 2009). Appointments: Plaintiffs' Executive Committee, IVC Filters Litigation; Lead Counsel, Joint Coordinated California Litigation, Amo Lens Solution Litigation; Co-Liaison, In re Zimmer Durom Cup Hip Implant Litigation; Plaintiffs' Steering Committee, DePuy ASR Hip Implant Litigation; Liaison, NJ Ortho Evra Patch Product Liability Litigation; Co-Liaison, NJ Reglan Mass Tort Litigation; Co-Chair, Mealey's Drug & Medical Device Litigation Conference (2007); Executive Committee, In re ReNu MoistureLoc Product Liability Litigation, MDL; Discovery Chair, In re Guidant Products Liability Litigation; Co-Chair Science Committee, In re Baycol MDL Litigation; Pricing Committee, In re Vioxx MDL Litigation. Member: New York State Trial Lawyers Association (Treasurer, 2010-present; Board of Directors, 2004-Present); Association of the Bar of the City of New York (Product Liability Committee, 2007-present; Judiciary Committee, 2004-Present); American Bar Association (Annual Meeting, Torts & Insurance Practices Section, NYC, Affair Chair, 1997; Trial Techniques Committee, Torts and Insurance Practices, Chair-Elect, 1996); American Association for Justice (Board of Governors); American Association for Justice (Board of Governors, Women Trial Lawyers' Caucus); Pennsylvania Bar Association (Committee on Legal Ethics and Professionalism, 1993-Present; Committee on Attorney Advertising, 1993-Present; Vice-Chair, Task Force on Attorney Advertising, 1991-92); State Bar of New York; Federal Bar Association; Member, Gender and Race Bias Task Force of the Second Circuit, 1994-present; Deputy Counsel, Governor Cuomo's Screening Committee for New York State Judicial Candidates, 1993-94; New York Women's Bar Association; New York County Lawyers; Fight for Justice Campaign; PATLA; Philadelphia Bar Association (Member of Committee on Professionalism 1991-92).

**RACHEL GEMAN**, Admitted to practice in New York, 1998; Southern and Eastern Districts of New York, 1999; U.S. District Court, Eastern District of Michigan, 2005; U.S. District Court of Colorado, 2007; U.S. Supreme Court, 2013. Education: Columbia University School of Law (J.D. 1997); Stone Scholar; Equal Justice America Fellow; Human Rights Fellow; Editor, Columbia Journal of Law and Social Problems; Harvard University (A.B. cum laude 1993). Prior Employment: Adjunct Professor, New York Law School; Special Advisor, United States Mission to the United Nations, 2000; Law Clerk to Judge Constance Baker Motley, U.S. District Court, Southern District of New York, 1997-98. Awards & Honors: AV Preeminent Peer Review Rated, Martindale-Hubbell; Selected for inclusion by peers in *The Best Lawyers in* America in field of "Employment Law – Individuals," 2012-2019; "Lawyer of the Year," Best Lawyers, recognized in the category of Employment Law – Individuals for San Francisco, 2014, 2019; "Super Lawyer for New York Metro," Super Lawyers, 2013-2017; Legal 500 recommended lawyer, LegalEase, 2013; "Rising Star for New York Metro," Super Lawyers, 2011; Distinguished Honor Award, United States Department of State, 2001. Publications & Presentations: Speaker and Moderator, "Statistics for Lawyers - Even Those Who Hate Math," National Employment Lawyers Association Annual Convention (2015); Speaker, "Gender Pay Disparities: Enforcement, Litigation, and Remedies," New York City Conference on Representing Employees (2015); Speaker, "Protecting Pay: Representing Workers With Wage and Hour Claims," National Employment Lawyers Association (2015); Speaker and Author, "What Employment Lawyers Need to Know About Non-Employment Class Actions," ABA Section of Labor and Employment Law Conference (2014); Moderator, "Dodd-Frank and

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Sarbanes-Oxley Whistleblower Issues," National Employment Lawyers Association/New York (2014); Author, "Whistleblower Under Pressure," Trial Magazine (April 2013); Panelist, "Class Certification Strategies: Dukes in the Rear View Mirror," Impact Fund Class Action Conference (2013); Author & Panelist, "Who is an Employer Under the FLSA?" National Employment Lawyers Association Conference (2013); Panelist, "Fraud and Consumer Protection: Plaintiff and Defense Strategies," Current Issues in Pharmaceutical and Medical Device Litigation, ABA Section of Litigation (2012); Participant and Moderator, "Ask the EEOC: Current Insights on Enforcement and Litigation," ABA Section of Labor and Employment Law (2011); Panelist, "Drafting Class Action Complaints," New York State Bar Association (2011); Participant and Moderator, "Ask the EEOC: Current Insights on Enforcement and Litigation," ABA Section of Labor and Employment Law (2011); The New York Employee Advocate, Co-Editor (2005-2009), Regular Contributor (2008-present); Moderator, "Hot Topics in Wage and Hour Class and Collective Actions," American Association for Justice Tele-Seminar (2010); Author & Panelist, "Class Action Considerations: Certification, Settlement, and More," American Conference Institute Advanced Forum (2009); Panelist, "Rights Without Remedies," American Constitutional Society National Convention, Revitalizing Our Democracy: Progress and Possibilities (2008); Panelist, Fair Measure: Toward Effective Attorney Evaluations, American Bar Association Annual Meeting (2008); Panelist, "Getting to Know You: Use and Misuse of Selection Devices for Hiring and Promotion," ABA Labor & Employment Section Annual Meeting (2008); Author, "'Don't I Think I Know You Already?': Excessive Subjective Decision-Making as an Improper Tool for Hiring and Promotion," ABA Labor & Employment Section Annual Meeting (2008); Author & Panelist, "Ethical Issues in Representing Workers in Wage & Hour Actions," Representing Workers in Individuals & Collective Actions under the FLSA (2007); Author & Panelist, "Evidence and Jury Instructions in FLSA Actions," Georgetown Law Center/ACL-ABA (2007); Author & Panelist, "Crucial Events in the 'Life' of an FLSA Collective Action: Filing Considerations and the Two-step 'Similarly-Situated' Analysis," National Employment Lawyers Association, Annual Convention (2006); Author & Panelist, "Time is Money, Except When It's Not: Compensable Time and the FLSA," National Employment Lawyers Association, Impact Litigation Conference (2005); Panelist, "Electronic Discovery," Federal Judicial Center & Institute of Judicial Administration, Workshop on Employment Law for Federal Judges (2005); "Image-Based Discrimination and the BFOQ Defense," EEO Today: The Newsletter of the EEO Committee of the ABA's Section of Labor and Employment Law, Vol. 9, Issue 1 (2004); "Fair Labor Standards Act Overtime Exemptions: Proposed Regulatory Changes," New York State Bar Association Labor and Employment Newsletter (2004); Chair & Panelist, "Current Topics in Fair Labor Standards Act Litigation," Conference, Association of the Bar of the City of New York (2003); Moderator, "Workforce Without Borders," ABA Section of Labor & Employment Law, EEOC Midwinter Meeting (2003). Member: American Bar Association [Labor and Employment Law Section, Standing Committee on Equal Employment Opportunity (Member, Past Employee Co-Chair, 2009-2011)]; Association of the Bar of the City of New York; Certified Fraud Examiners, New York Chapter, Member; National Employment Lawyers' Association - New York Chapter (Chair of Amicus Committee, 2017; Board Member, 2005-2011); National Employment Lawyers' Association – National; Public Justice Foundation; Rutter Federal Employment Guide, Contributing Editor (2017-present); Taxpayers Against Fraud Education Fund.

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BRENDAN P. GLACKIN, Admitted to practice in California, 1998; New York, 2000; U.S. District Court, Northern, Central, Eastern and Southern Districts of California, 2001; U.S. Court of Appeals for the Ninth Circuit, 2004; U.S. District Court, Southern District of New York, 2001; U.S. Court of Appeals for the Second Circuit, 2013; U.S. Court of Appeals for the Fourth Circuit, 2016; U.S. Court of Appeals for the Ninth Circuit. Education: Harvard Law School (J.D., cum laude, 1998); University of Chicago (A.B., Phi Beta Kappa, 1995). Prior Employment: Contra Costa Public Defender, 2005-2007; Boies, Schiller & Flexner, 2000-2005; Willkie Farr & Gallagher, 1999-2000; Law Clerk to Honorable William B. Shubb, U.S. District Court, Eastern District of California, 1998-1999. Awards & Honors: "Northern California Super Lawyer," Super Lawyers, 2013-2019; "California Lawyer Attorney of the Year," California Lawyer, 2016. Member: State Bar of California; BASF Antitrust Section, Executive Committee. Seminars: Ramifications of American Needle, Inc. v. National Football League, 2010; Antitrust Institute 2011: Developments & Hot Topics, 2011; Antitrust Trials: The View From the Trenches, 2013; Applying Settlement Offsets to Antitrust Judgments, ABA Spring Meetings, 2013; California Trial Advocacy, PLI, 2013; Building Trial Skills, NITA, 2013, California Trial Advocacy, PLI, 2013, Applying Settlements Offsets to Antiftust Judgments, ABA Spring Meetings, 2013, Antitrust Trials: The View From the Trenches, 2013, Antitrust and Silicon Valley: New Themes and Direction in Competition Law and Policy, Santa Clara University School of Law, March 2019.

MARK P. CHALOS, Admitted to practice in Tennessee, 1998; U.S. Court of Appeals, Sixth Circuit, 1998; U.S. Court of Appeals, Seventh Circuit, 2012; U.S. District Court, Middle District of Tennessee, 2000; U.S. District Court, Western District of Tennessee, 2002; U.S. District Court, Eastern District of Tennessee, 2006; U.S. District Court, Northern District of Florida, 2006; U.S. District Court, Northern District of California, 2007; U.S. Supreme Court, 2012. Education: Emory University School of Law (J.D., 1998); Dean's List; Award for Highest Grade, Admiralty Law; Research Editor, Emory International Law Review; Phi Delta Phi Legal Fraternity; Vanderbilt University (B.A., 1995). Honors & Awards: AV Peer Review Rated, Martindale-Hubbell; Selected for inclusion by peers in *The Best Lawyers in America* in the field of "Mass Tort Litigation/Class Actions - Plaintiffs," 2012-2019; American Bar Foundation Fellow, 2016; "Tennessee Litigation Star," Benchmark Litigation, 2013-2015; "Best of the Bar," Nashville Business Journal, 2008-2010, 2015-2016; "Super Lawyer for Mid-South," Super Lawyers, 2011 - 2017; "Tennessee Top 100," Super Lawyers, 2015; "Rising Star for Mid-South," Super Lawyers, 2008 - 2010; "Top 40 Under 40," The Tennessean, 2004. Publications & Presentations: "Supreme Court Limits The Reach Of Alien Tort Statute In Kiobel," Legal Solutions Blog, April 2013; "The Rise of Bellwether Trials," Legal Solutions Blog, March 2013; "Amgen: The Supreme Court Refuses to Erect New Class Action Bar," Legal Solutions Blog, March 2013; "Are International Wrongdoers Above the Law?," The Trial Lawyer Magazine, January 2013; "Kiobel v. Royal Dutch Petroleum: Supreme Court to Decide Role of US Courts Abroad," ABA Journal, January 2013. "Legislation Protects the Guilty [in Deadly Meningitis Outbreak]," The Tennessean, December 2012; Litigating International Torts in United States Courts, 2012 ed., Thomson Reuters/West (2012); "Successfully Suing Foreign Manufacturers," TRIAL Magazine, November 2008; "Washington Regulators Versus American Juries: The United States Supreme Court Shifts the Balance in Riegel v. Medtronic," Nashville Bar Journal, 2008; "Washington Bureaucrats Taking Over American Justice System," The Tennessean (December 2007); "The End of Meaningful Punitive Damages," Nashville Bar Journal,

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November 2001; "Is Civility Dead?" *Nashville Bar Journal*, October 2003; "The FCC: The Constitution, Censorship, and a Celebrity Breast," *Nashville Bar Journal*, April 2005. *Member*: American Bar Foundation (Fellow, 2016); American Association for Justice (Chair, Public Education Committee, 2015); American Bar Association (Past-Chair, YLD Criminal & Juvenile Justice Committee; Tort Trial and Insurance Practice Section Professionalism Committee); First Center for the Visual Arts (Founding Member, Young Professionals Program); Harry Phillips American Inn of Court; Kappa Chapter of Kappa Sigma Fraternity Alumni Association (President); Metropolitan Nashville Arts Commission (Grant Review Panelist); Nashville Bar Association (YLD Board of Directors; Nashville Bar Association YLD Continuing Legal Education and Professional Development Director); Nashville Bar Journal (Editorial Board); Tennessee Association for Justice (Board of Directors, 2008-2011; Legislative Committee); Tennessee Bar Association (Continuing Legal Education Committee); Tennessee Trial Lawyers Association (Board of Directors; Vice-President, 2018-2019; Treasurer & Secretary, 2017-2018); Historic Belcourt Theatre (Past Board Chair; Board of Directors); Nashville Cares (Board of Directors).

**PAULINA do AMARAL**, Admitted to practice in New York, 1997; California, 1998; U.S. Court of Appeals, Ninth Circuit, 1999; U.S. District Court, Southern District of New York, 2004; U.S. District Court, Western District of Michigan, 2004; U.S. District Court, Eastern District of Michigan, 2007. Education: University of California Hastings College of Law (J.D., 1996); Executive Editor, Hastings Constitutional Law Quarterly; National Moot Court Competition Team, 1995; Moot Court Executive Board; University of Rochester (B.A., 1988). Employment: Law Clerk to Chief Judge Richard Alan Enslen, U.S. District Court, Western District of Michigan, 1996-98. Publications & Presentations: Co-Chair, HarrisMartin Opioid Litigation Conference, San Francisco, 2018; "Rapid Response: Opioid Litigation," American Association for Justice Seminar, September 2017; Co-Author, "Class Action Fairness Act of 2005," California Litigation, Vol. 18, No. 3, 2005. Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in the field of "Mass Tort Litigation/Class Actions – Plaintiffs," 2017-2019; Legal 500 recommended lawyer, LegalEase, 2013. Member: American Association for Justice; UC Hastings College of the Law, Board of Trustees; Association of the Bar of the City of New York, (2007-2010, Committee on the Judiciary); American Bar Association; State Bar of New York; State Bar of California; Bar Association of San Francisco; American Trial Lawyers Association; New York State Trial Lawyers Association.

**KENNETH S. BYRD**, Admitted to practice in Tennessee, 2004; U.S. District Court of Appeals, 6th Circuit, 2009; U.S. District Court, Western District of Tennessee, 2007; U.S. District Court, Eastern District of Tennessee, 2006; U.S. District Court, Middle District of Tennessee, 2005. *Education:* Boston College Law School (J.D., *cum laude*, 2004), Law Student Association (President, 2003-2004), National Moot Court Team (Regional Champion, 2003-2004), American Constitution Society (Secretary, 2002-2003), Judicial Process Clinic (2003), Criminal Justice Clinic (2003-2004); Samford University (B.S., *cum laude*, in Mathematics with Honors, minor in Journalism, 1995). *Prior Employment:* Harwell Howard Hyne Gabbert & Manner, P.C., 2004-2010; Summer Associate, Harwell Howard Hyne Gabbert & Manner, P.C., 2003; Summer Associate, Edward, Angell, Palmer, Dodger, LLP, 2003. *Awards:* Selected for inclusion by peers in The Best Lawyers in America in fields of Consumer Protection Law, Personal Injury Litigation-Plaintiffs, and Product Liability Litigation-Plaintiffs, 2018-2019;

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"Paladin Award," Tennessee Association for Justice, 2015; "Rising Star for Mid-South," Super Lawyers, 2014. *Member:* American Bar Association; American Constitution Society, Nashville Chapter (Member & Chair of 2008 Supreme Court Preview Event); Tennessee Trial Lawyers Association (Board of Governors, 2018-2019); Camp Ridgecrest Alumni & Friends (Board Member); Harry Phillips American Inn of Court, Nashville Chapter (Associate Member, 2008-2010; Barrister, 2010-2014); Historic Edgefield, Inc. (President, 2009-2011); Nashville Bar Association; Tennessee Bar Association.

LIN Y. CHAN, Admitted to practice in California, 2008; U.S. District Court, Northern District of California, 2008; U.S. District Court, Central District of California, 2010; U.S. Court of Appeals for the Fifth Circuit, 2011; U.S. Court of Appeals for the Ninth Circuit, 2011; U.S. Court of Appeals for the Tenth Circuit, 2010. Education: Wellesley College (B.A. summa cum laude 2001); Stanford Law School (J.D. 2007); Editor-in-Chief, Stanford Journal of Civil Rights and Civil Liberties; Fundraising Chair, Shaking the Foundations Progressive Lawyering Conference. Prior Employment: Associate, Goldstein, Borgen, Dardarian & Ho (formerly Goldstein, Demchak Baller Borgen & Dardarian), 2008-2013; Law Clerk to Judge Damon J. Keith, Sixth Circuit Court of Appeals, 2007-2008; Clinic Student, Stanford Immigrants' Rights Clinic, 2006-2007; Union Organizer, SEIU and SEIU Local 250, 2002-2004; Wellesley-Yenching Teaching Fellow, Chinese University of Hong Kong, 2001-2002. Awards & Honors: "Super Lawyer for Northern California," Super Lawyers, 2019; "Rising Star for Northern California," Super Lawyers, 2015-2018; "Outstanding Antitrust Litigation Achievement by a Young Lawyer," American Antitrust Institute, 2017; "Outstanding Private Practice Antitrust Achievement," American Antitrust Institute, 2017. Presentations & Publications: Moderator, "Antitrust for HR: No-Poach and Wage Fixing Agreements," Bar Association of San Francisco (January 2018); Author, "Do Federal Associated General Contractors Standing Requirements Apply to State Illinois Brick Repealer Statutes?," Business Torts & Rico News, Winter 2015; Panelist, "Federal and State Whistleblower Laws: What You Need to Know," Asian American Bar Association (November 2014); Author, "California Supreme Court Clarifies State Class Certification Standards in Brinker," American Bar Association Labor & Employment Law Newsletter (April 2013); Presenter, "Rule 23 Basics in Employment Cases," Impact Fund's 11th Annual Employment Discrimination Class Action Conference (February 2013); Chapter Author, The Class Action Fairness Act: Law and Strategies; Co-Author, "Clash of the Titans: Iqbal and Wage and Hour Class/Collective Actions," BNA, Daily Labor Report, 80 DLR L-1 (April 2010); Chapter Co-Chair, Lindemann & Grossman, Employment Discrimination Law Treatise, Fifth Edition; Chapter Monitor, Lindemann & Grossman, Employment Discrimination Law Treatise 2010 Cumulative Supplement. Member: American Antitrust Institute, Advisory Board, 2018; Asian Americans Advancing Justice - Asian Law Caucus, Board Member, 2013 - Present, Annual Dinner Committee Co-Chair, 2015; Asian American Bar Association, Civil Rights Committee Co-Chair, 2011 - Present; American Bar Association, Fair and Impartial Courts Committee Vice-Chair, 2014 - Present; Bar Association of San Francisco; Public Justice; State Bar of California.

**DANIEL P. CHIPLOCK**, Admitted to practice in New York, 2001; U.S. District Court, Southern District of New York, 2001; U.S. District Court, Eastern District of New York, 2001; U.S. District Court, District of Colorado, 2006; U.S. Court of Appeals for the Second Circuit, 2009; U.S. Court of Appeals for the Sixth

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Circuit, 2011; U.S. Supreme Court, 2011. *Education*: Stanford Law School (J.D., 2000); Article Review Board, *Stanford Environmental Law Journal*; Recipient, Keck Award for Public Service; Columbia University (B.A., *summa cum laude*, 1994); Phi Beta Kappa. *Awards & Honors:* "Super Lawyer for New York Metro," *Super Lawyers*, 2016-2017. *Member*: State Bar of New York; American Association for Justice; Fight for Justice Campaign; Public Justice; National Association of Shareholder and Consumer Attorneys (Executive Committee/Secretary); American Constitution Society for Law and Policy (Advocate's Circle). *Classes/Seminars:* "Fraud on the Market," Federal Bar Council, Feb. 25, 2014 (CLE panel participant).

**DOUGLAS CUTHBERTSON**, Admitted to practice in New York, 2008; U.S. Court of Appeals for the Eleventh Circuit, 2017; U.S. Court of Appeals for the Second Circuit, 2016; U.S. Court of Appeals for the Seventh Circuit, 2015; U.S. District Court, District of Connecticut, 2017; U.S. District Court, Northern District of New York, 2018; U.S. District Court, Eastern District of New York, 2008; U.S. District Court, Southern District of New York, 2008; U.S. District Court, District of Colorado, 2013; U.S. District Court, Eastern District of Wisconsin, 2013; U.S. District Court, Western District of Wisconsin, 2014; U.S. District Court, Northern District of Illinois, 2014. Education: Fordham University School of Law (J.D. cum laude 2007); President, Fordham Law School Chapter of Just Democracy; Senior Articles Editor, Fordham Urban Law Journal; Fordham University School of Law Legal Writing Award, 2004-2005; Legal Writing Teaching Assistant, 2005-2006; Dean's List, 2004-2007; Alpha Sigma Nu Jesuit Honor Society. Bowdoin College (B.A. summa cum laude, 1999), Sarah and James Bowdoin Scholar for Academic Excellence (1995-1999). Prior Employment: Associate, Debevoise & Plimpton, LLP, 2009-2012; Law Clerk to Honorable Magistrate Judge Andrew J. Peck, U.S. District Court, Southern District of New York, 2007-2009. Awards & Honors: "Rising Star for New York Metro," Super Lawyers, 2013-2017. Member: Federal Bar Council; New York Civil Liberties Union, Board of Directors; New York State Bar Association.

**NIMISH R. DESAI**, Admitted to practice in Texas, 2017; Admitted to practice in California, 2006; U.S. Court of Appeals, Ninth Circuit, 2009; US District Court, Northern District of California, 2007; Texas, 2017; US District Court, Central District of California, 2008; US District Court, Northern District of Florida, 2009; US District Court, Eastern District of Texas, 2017; US District Court, Southern District of Texas, 2019. Education: University of California, Berkeley, School of Law (Berkeley Law) (J.D., 2006), Finalist and Best Brief, McBaine Moot Court Competition (2006), Moot Court Best Brief Award (2004); University of Texas, Austin, (B.S. & B.A., High Honors, 2002). Prior Employment: Extern, Sierra Club Environmental Law Program, 2004; Researcher, Public Citizen, 2003; Center for Energy and Environmental Resources, 2001-2002. Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in field of "Qui Tam Law," 2016-2019; "Northern California Super Lawyer," Super Lawyers, 2013-2019; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2014; "Rising Star for Northern California," Super Lawyers, 2012. Publications & Presentations: "BP, Exxon Valdez, and Class-Wide Punitive Damages," 21 Class Action and Derivative Suit Committee Newsletter (Fall 2010); "American Chemistry Council v. Johnson: Community Right to Know, But About What? D.C. Circuit Takes Restrictive View of EPCRA," 33 Ecology L.Q. 583 (Winter 2006); "Lessons Learned and Unlearned: A Case Study of Medical Malpractice Award Caps in Texas," The Subcontinental, (Winter 2004, Vol. 1, Issue 4, pp. 81-87); "Separation of Fine Particulate Matter Emitted from Gasoline and Diesel Vehicles

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Using Chemical Mass Balancing Techniques," *Environmental Science Technology*, (2003; 37(17) pp. 3904-3909); "Analysis of Motor Vehicle Emissions in a Houston Tunnel during Texas Air Quality Study 2000," *Atmospheric Environment*, 38, 3363-3372 (2004). *Member*: State Bar of California; Bar Association of San Francisco; Consumer Attorneys of California; American Bar Association; American Constitution Society; East Bay Community Law Center (Board Member, 2010-present); South Asian Bar Association (Board Member, 2010-present). *Languages*: Gujarati (conversational).

**NICHOLAS DIAMAND**, Admitted to practice in England & Wales, 1999; New York, 2003; U.S. District Court for the District of Colorado, 2007; U.S. District Court, Southern, Eastern, and Western Districts of New York; US. Court of Appeals, Seventh Circuit, Ninth Circuit; U.S. Supreme Court, 2013; U.S. Court of Appeals, Second Circuit, 2016. Education: Columbia University School of Law (LL.M., Stone Scholar, 2002); College of Law, London, England (C.P.E.; L.P.C.; Commendation, 1997); Columbia University (B.A., magna cum laude, 1992). Awards & Honors: "Super Lawyer for New York Metro," Super Lawyers, 2013-2017; "Rising Star for New York Metro," Super Lawyers, 2012. Prior Employment: Solicitor, Herbert Smith, London (1999-2001); Law Clerk to the Honorable Edward R. Korman, Chief Judge, U.S. District Court, Eastern District of New York (2002-03). Publications & Presentations: Panelist, Federal Bar Council: Webinar on Amendment to Fed R. Civ. P. 23: Impact on Securities, Antitrust, Consumer & Date Breach Class Action Practice, December 2018; "Spokeo Still Standing: No Sign of a Circuit Split" (with Andrew Kaufman), Law360, 2016; "Spotlight on Spokeo: A Win for Consumers" (with Andrew Kaufman), Law360, 2016; "U.S. Securities Litigation & Enforcement Action," Corporate Disputes magazine, April-June 2015; Speaker, Strafford CLE webinar "Ethical Risks in Class Litigation," 2015; Speaker, International Corporate Governance Network Conference, 2014; "Fraud on the Market in a Post-Amgen World" (with M. Miarmi), Trial Magazine, November 2013; Contributing Author, California Class Actions Practice and Procedure (Elizabeth J. Cabraser, Editor-in-Chief), 2006; Panelist, "Obstacles to Access to Justice in Pharmaceutical Cases," Pharmaceutical Regulation and Product Liability, British Institute of International and Comparative Law, April 21, 2006; Panelist, "Pre-Trial Discovery in the United States," Union Internationale des Avocats, Winter Seminar, February 2006. Member: American Association for Justice (Chair, Consumer Privacy/Data Breach Litigation Group, 2016); New York City Bar Association; New York State Bar Association; Public Justice Foundation; International Corporate Governance Network; Peer Articles Reviewer; Trial magazine.

**DEAN M. HARVEY**, Admitted to practice in California, 2007; U.S. District Court, Northern District of California, 2007; U.S. District Court, Central District of California, 2007; U.S. District Court, Eastern District of California, 2008; U.S. District Court, Southern District of California, 2008; U.S. Court of Appeals for the Ninth Circuit, 2008; U.S. District Court, Eastern District of Wisconsin, 2013; U.S. Court of Appeals for the Fourth Circuit, 2016; U.S. Supreme Court, 2018. Education: University of California, Berkeley, School of Law (Berkeley Law) (J.D. 2006); Articles Editor, California Law Review (2005-2006); Assistant Editor, Berkeley Journal of International Law (2004); University of Minnesota, Twin Cities (B.A. summa cum laude, 2002). Prior Employment: Partner, Lieff Cabraser Heimann & Bernstein, LLP (2013-Present); Associate, Lieff Cabraser Heimann & Bernstein, LLP (2009-2013); Associate, Boies, Schiller & Flexner LLP (2007-2008); Law Clerk, The Honorable James V. Selna, U.S. District Court for the

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Central District of California (2006-2007); Law Clerk, U.S. Department of Justice, Antitrust Division, San Francisco Field Office (2006); Summer Law Intern, U.S. Department of Justice (2005); Summer Associate, Boies, Schiller & Flexner LLP (2005). Awards & Honors: "Super Lawyer for Northern California," Super Lawyers, 2013-2019; "On the Rise – Top 40 Young Lawyers," American Bar Association, 2017; "Top 40 Under 40" Lawyer in California, Daily Journal, 2017; "Outstanding Private Practice Antitrust Achievement," American Antitrust Institute, 2017; "California Lawyer Attorney of the Year (CLAY) Award," California Lawyer, 2016; "Lawyers on the Fast Track," The Recorder, 2013; "Rising Star for Northern California," Super Lawyers, 2010-2012; "William E. Swope Antitrust Writing Prize," 2006. Publications & Presentations: Speaker, "Current and Future Antitrust and Labor Issues," National Association of Attorneys General, April 2019; Panelist, "Competition Tort Claims Around the Globe," ABA Antitrust Section Spring Meeting, March 2019; Speaker, "Antitrust and Silicon Valley: New Themes and Direction in Competition Law and Policy," Santa Clara University School of Law, March 2019Speaker, "Antitrust Analysis in Two-Sided Markets," California Lawyers Association, February 2019; Speaker, "Latest Developments in No-Poach Agreements," California Lawyers Association (January 2019); Panelist, "Antitrust and Workers — Agreements, Mergers, and Monopsony," American Antitrust Institute Conference (June 2018); Speaker, "Anticompetitive Practices in the Labor Market," Unrigging the Market Program, Harvard Law School (June 2018); Speaker, "Tech-Savvy and Talented: Competition in Employment Practices," American Bar Association (May 2018); Speaker, "Antitrust for HR: No-Poach and Wage Fixing Agreements," Bar Association of San Francisco (January 2018); Moderator, "Competition Torts in the Trenches: Lessons From Recent High-Profile Cases," American Bar Association (November 2016); Speaker, "Are Computers About to Eat Your Lunch (Or At Least Change the Way You Practice)?", Association of Business Trial Lawyers Panel (August 2016); Moderator, "The Law and Economics of Employee Non-Compete Agreements," American Bar Association Panel (June 2016); Speaker, "Lessons from the Headlines: In re: High-Tech Employee Antitrust Litigation," The Recorder and Corporate Counsel's 13th Annual General Counsel Conference West Coast (November 2015); Speaker, "The Future of Private Antitrust Enforcement," American Antitrust Institute Panel (November 2015); Moderator, "From High-Tech Labor to Sandwich Artists: The Law and Economics of Employee Solicitation and Hiring," American Bar Association Panel (March 2015); Panelist, "Tech Sector 'No Poaching' Case Update - What Antitrust Counselors and HR Departments Need to Know," American Bar Association (2015); Speaker, "Cases at the Intersection of Class Actions and Employee Protection Regulations," Law Seminars International (2015); Speaker, Town Hall Meeting, American Bar Association Section of Antitrust Law Business Torts & Civil RICO Committee (December 2014); Panelist, "If You Don't Steal My Employees, I Won't Steal Yours: The Antitrust Treatment of Non-Poaching and Non-Solicitation Agreements," American Bar Association (2013); Panelist, "In the Wake of AT&T Mobility v. Concepcion: Perspectives on the Future of Class Litigation," American Bar Association (2011); Co-Author, "Play Ball: Potential Private Rights of Action Emerging From the FIFA Corruption Scandal," 11 Business Torts & RICO News 1 (Summer 2015); Contributing Author, The Class Action Fairness Act: Law and Strategy, American Bar Association, 2013; Contributing Author, Concurrent Antitrust Criminal and Civil Proceedings: Identifying Problems and Planning for Success, American Bar Association (2013); Co-Editor, California Class Actions Practice and Procedures (2010-2013); Articles Editor, Competition (the Journal of the Antitrust and Unfair Competition Law Section of the State Bar of California) (2012); Contributing Author, ABA Annual Review of Antitrust Law Developments (2011); New

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Guidance for Standard Setting Organizations: Broadcom Corp. v. Qualcomm Inc. and In the Matter of Rambus, Inc., 5 ABA Sherman Act Section 1 Newsl. 35 (2008); Anticompetitive Social Norms as Antitrust Violations, 94 Calif. L. Rev. 769 (2006). Member: American Antitrust Institute, Advisory Board, 2018; American Bar Association (Antitrust Section), and Co-Chair, Competition Torts Committee; Bar Association of San Francisco; San Francisco Trial Lawyers Association.

LEXI J. HAZAM, Admitted to practice in California, 2003; U.S. Court of Appeals for the Second Circuit, 2008; U.S. Court of Appeals for the Seventh Circuit, 2006; U.S. Court of Appeals for the Eighth Circuit, 2008; U.S. District Court, Northern District of California, 2003; U.S. District Court, Southern District of CA, 2013; U.S. District Court, Western District of Michigan, 2017. Education: Stanford University (B.A., 1995, M.A., 1996), Phi Beta Kappa. University of California, Berkeley, School of Law (Berkeley Law) (J.D., 2001); California Law Review and La Raza Law Journal (Articles Editor); Berkeley Law Foundation Summer Grant for Public Service; Federal Practice Clinic; Hopi Appellate Clinic). Prior Employment: Law Clerk, Mexican American Legal Defense and Education Fund, 1999; Law Clerk, Judge Henry H. Kennedy, Jr., U.S. District Court for the District of Columbia, 2001-2002; Associate, Lieff Cabraser Heimann & Bernstein, LLP, 2002-2006; Partner, Lieff Global LLP, 2006-2008. *Honors & Awards*: Selected for inclusion by peers in *The Best Lawyers in America* in the field of "Mass Tort Litigation/Class Actions - Plaintiffs" and "Qui Tam Law," 2015-2019; "Northern California Super Lawyer," Super Lawyers, 2015-2019; "Lawyer of the Year," The Best Lawyers in America, Mass Tort Litigation/Class Actions-Plaintiffs for San Francisco, 2017; "California Litigation Star," Benchmark Litigation, 2016; "California Future Star," Benchmark Litigation, 2015; "Consumer Attorney of the Year Finalist," Consumer Attorneys of California, 2015; Legal 500 recommended lawyer, LegalEase, 2013; "Northern California Rising Stars," Super Lawyers, 2009-2011, 2013. Publications & Presentations: "Supreme Court Review of Escobar," Qui Tam Litigation Group and "Opioid Litigation: the Next Tobacco?" Litigation at Sunrise, American Association for Justice Annual Convention, Boston, 2017; "Discovery Following the 2015 Federal Rules Amendments: What Does Proportionality Mean in the Class Action and Mass Tort Contexts?" ABA 4th Annual Western Regional CLE on Class Actions & Mass Torts, San Francisco, 2017; "Increasing the Number of Women & Minority Lawyers Appointed to Leadership Positions in Class Actions & MDLs," Duke Law Center for Judicial Studies Conference, Atlanta, 2017; "2015 Rules Amendments," "Search Methodology and Technology," "New Forms of Communications and Data Protection," Innovation in eDiscovery Conference, San Francisco, 2016; "Technology-Assisted Review: Advice for Requesting Parties," Practical Law, October/November 2016; "Technology-Assisted Review," Sedona Conference Working Group 1 Drafting Team, 2015; "The Benicar Litigation," Mass Torts Made Perfect, Las Vegas, 2015; "The Benicar Litigation," HarrisMartin's MDL Conference, San Diego, 2015; "Now You See Them, Now You Don't: The Skill of Finding, Retaining, and Preparing Expert Witnesses For Trial," Women En Mass, Aspen; 2014. Member: American Association for Justice (Chair, Section on Toxic, Environmental, and Pharmaceutical Torts, 2017); American Association for Justice (Co-Secretary, Section on Qui Tam Litigation, 2016); Consumer Attorneys of California; Board of Governors, Consumer Attorneys of California (2015); Bar Association of San Francisco; San Francisco Trial Lawyers Association; State Bar of California.

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ROGER N. HELLER, Admitted to practice in California, 2001; U.S. District Court, Northern District of California, 2001; U.S. District Court, Eastern District of California, 2017; U.S. District Court, District of Colorado, 2015; U.S. Court of Appeals for the Second Circuit, 2017; U.S. Court of Appeals for the Ninth Circuit, 2001. Education: Columbia University School of Law (J.D., 2001); Columbia Law Review, Senior Editor. Emory University (B.A., 1997). Prior Employment: Extern, Honorable Michael Dolinger, U.S. District Court, Southern District of New York, 1999; Associate, O'Melveny & Myers LLP, 2001-2005; Senior Staff Attorney, Disability Rights Advocates, 2005-2008. Honors & Awards: "Northern California Super Lawyer," Super Lawyers, 2013-2019; "Partners Council Rising Star," National Consumer Law Center, 2015; "Rising Star," Law 360, 2014-2015; "Finalist for Consumer Attorney of the Year," Consumer Attorneys of California, 2012-2013; "Trial Lawyer of the Year Finalist," Public Justice, 2012; "Northern California Rising Star," Super Lawyers, 2011-2012; Harlan Fiske Stone Scholar, 1998-2001. Publications & Presentations: Co-author, Fighting For Troops on the Homefront, Trial Magazine (September 2006). Member: American Bar Association; Bar Association of San Francisco; Consumer Attorneys of California; State Bar of California; Advisory Committee Member, Santa Venetia Community Plan.

**DANIEL M. HUTCHINSON**, Admitted to practice in California, 2005; U.S. District Court, Central District of California, 2012; U.S. District Court, Southern District of California, 2012; U.S. Court of Appeals for the Eleventh Circuit, 2018; U.S. Court of Appeals for the First Circuit, 2012; U.S. Court of Appeals for the Ninth Circuit, 2006; U.S. District Court, Northern District of California, 2006; U.S. Court of Appeals for the Fourth Circuit, 2008; U.S. District Court Eastern District of Wisconsin, 2013; U.S. District, Northern District of Illinois, 2014. Education: University of California, Berkeley, School of Law (Berkeley Law) (J.D., 2005), Senior Articles Editor, African-American Law & Policy Report, Prosser Prizes in Constitutional Law and Employment Law; University of California, Berkeley, School of Law (Berkeley Law) Teaching & Curriculum Committee (2003-2004); University of California, Berkeley Extension (Multiple Subject Teaching Credential, 2002); Brown University (B.A., 1999), Mellon Mays Fellowship (1997-1999). Prior Employment: Judicial Extern to the Hon. Martin J. Jenkins, U.S. District Court, Northern District of California, 2004; Law Clerk, Lewis & Feinberg, P.C., 2003-2004; Teacher, Oakland Unified School District, 1999-2002. Honors & Awards: "Northern California Super Lawyer," Super Lawyers, 2013-2019; "Rising Star," Law360, 2014; Legal 500 recommended lawyer, LegalEase, 2013; "50 Lawyers on the Fast Track," The Recorder, 2012; "Northern California Rising Stars," Super Lawyers, 2009-2012. Publications & Presentations: Panelist, "Ascertainability isn't a thing. Or is it?" Impact Fund Class Action Conference, February 2019; Panelist, "Employment Discrimination Class Actions Post-Dukes," Consumer Attorneys of California 50th Annual Convention (2011); "Ten Points from Dukes v. Wal-Mart Stores, Inc.," 20(3) CADS Report 1 (Spring 2010); Panelist, "Rethinking Pro Bono: Private Lawyers and Public Service in the 21st Century," UCLA School of Law (2008); Author and Panelist, "Pleading an Employment Discrimination Class Action" and "EEO Litigation: From Complaint to the Courthouse Steps," ABA Section of Labor and Employment Law Second Annual CLE Conference (2008); Co-Presenter, "Rule 23 Basics in Employment Cases," Strategic Conference on Employment Discrimination Class Actions (2008). Member: American Bar Association (Section of Labor & Employment Law Leadership Development Program, 2009 -2010); Association of Business Trial Lawyers (Leadership Development Committee, 2008 -2010); Bar Association of San Francisco (Vice Chair, Cybersecurity and Privacy Law Section);

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Consumer Attorneys of California; Lawyer's Committee for Civil Rights of the San Francisco Bay Area (Board Chair, 2015; Chair-Elect, 2014; Board Secretary, 2011 - 2013; Board of Directors, 2009 - Present); National Bar Association; National Employment Lawyers Association; State Bar of California.

SHARON M. LEE, Admitted to practice in New York, 2002; U.S. District Court, Southern District of New York, 2003; U.S. District Court, Eastern District of New York, 2003; Washington State, 2005; U.S. District Court, Western District of Washington, 2015. Education: St. John's University School of Law (J.D. 2001); New York International Law Review, Notes & Comments Editor, 2000-2001; St. John's University (M.A. 1998); St. John's University (B.A. 1997). Prior Employment: Milberg Weiss & Bershad, LLP, 2003-2007. Publications & Presentations: Author, The Development of China's Securities Regulatory Framework and the Insider Trading Provisions of the New Securities Law, 14 N.Y. Int'l L.Rev. 1 (2001); Co-author, Post-Tellabs Treatment of Confidential Witnesses in Federal Securities Litigation, 2 J. Sec. Law, Reg. and Compliance 205 (3d ed. 2009). Member: American Bar Association; Asian Bar Association of Washington; Washington State Bar Association; Washington State Joint Asian Judicial Evaluation Committee.

BRUCE W. LEPPLA, Admitted to practice in California, 1976; New York, 1978; Colorado, 2006; U.S. Court of Appeals Ninth Circuit, 1976; U.S. District Court Central District of California, 1976; U.S. District Court Eastern District of California, 1976; U.S. District Court Northern District of California, 1976; U.S. District Court Southern District of New York, 2015. Education: University of California, Berkeley, School of Law (Berkeley Law) (J.D., M.G. Reade Scholarship Award); University of California at Berkeley (M.S., Law and Economics, Quantitative Economics); Yale University (B.A., magna cum laude, Highest Honors in Economics). Prior Employment: California-licensed Real Estate Broker (2009-present); FINRA and California-licensed Registered Investment Adviser (2008-present); Chairman, Leppla Capital Management LLC (2008-present); Chairman, Susquehanna Corporation (2006present); Partner, Lieff Cabraser Heimann & Bernstein, LLP (2004-2008), Counsel (2002-2003); CEO and President, California Bankers Insurance Services Inc., 1999-2001; CEO and President, Redwood Bank (1985-1998), CFO and General Counsel (1981-1984); Brobeck, Phleger & Harrison (1980); Davis Polk & Wardwell (1976-80). Publications: Author or coauthor of 11 different U.S. and International patents in electronic commerce and commercial product design, including "A Method for Storing and Retrieving Digital Data Transmissions," United States Patent No. 5,659,746, issued August 19, 1997; "Stay in the Class or Opt-Out? Institutional Investors Are Increasingly Opting-Out of Securities Class Litigation," Securities Litigation Report, Vol. 3, No. 8, September 2006, West LegalWorks; reprinted by permission of the author in Wall Street Lawyer, October 2006, Vol. 10, No. 10, West LegalWorks; "Selected Waiver: Recent Developments in the Ninth Circuit and California, Part 1;" Elizabeth J. Cabraser, Joy A. Kruse and Bruce W. Leppla; Securities Litigation Report, May 2005, Vol. I, No. 9, pp. 1, 3-7; "Selected Waiver: Recent Developments in the Ninth Circuit and California, Part 2;" Elizabeth J. Cabraser, Joy A. Kruse and Bruce W. Leppla; Securities Litigation Report, June 2005, Vol. I, No. 10, pp. 1, 3-9; Author, "Securities Powers for Community Banks," California Bankers Association Legislative Journal (Nov. 1987). Teaching Positions: Lecturer, University of California at Berkeley, Haas School of Business, Real Estate Law and Finance (1993-96); Lecturer, California Bankers Association General Counsel Seminars, Lending

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Documentation, Financial Institutions Litigation and similar topics (1993-96). Panel Presentations: Union Internationale des Avocats, Spring Meeting 2010, Frankfurt, Germany, "Recent Developments in Cross-Border Litigation;" Union Internationale des Avocats, Winter Meeting 2010, Park City, Utah, "Legal and Economic Aspects of Securities Class and Opt-out Litigation; "EPI European Pension Fund Summit, Montreux, Switzerland, "Legal and Global Economic Implications of the U.S. Subprime Lending Crisis," May 2, 2008; Bar Association of San Francisco, "Impact of Spitzer's Litigation and Attempted Reforms on the Investment Banking and Insurance Industries," May 19, 2005; Opal Financial Conference, National Public Fund System Legal Conference, Phoenix, AZ, "Basic Principles of Securities Litigation," January 14, 2005; American Enterprise Institute, "Betting on the Horse After the Race is Over— In Defense of Mutual Fund Litigation Related to Undisclosed After Hours Order Submission," September 30, 2004. Member: American Association for Justice; Bar Association of San Francisco, Barrister's Club, California Bankers Association, Director, 1993 – 1999, California State Small Business Development Board, 1989 – 1997, Community Reinvestment Institute, Founding Director, 1989 – 1990, National Association of Public Pension Attorneys, New York State Bar Association, San Francisco Chamber of Commerce, Leadership Council, 1990 – 1992, State Bar of California, Union Internationale des Avocats, Winter Corporate Governance Seminar, Seminar Chairman, 2012; University of California at Berkeley, University of California, Berkeley, School of Law (Berkeley Law) Alumni, Board of Directors, 1993 – 1996, Wall Street Lawyer, Member, Editorial Board, Yale University Alumni Board of Directors, Director, 2001 -2005.

**JASON L. LICHTMAN**, Admitted to practice in Illinois, 2006; New Jersey, 2011; New York, 2011; U.S. Supreme Court, 2012; District of Columbia, 2007; U.S. Court of Appeals, Second Circuit, 2016; U.S. Court of Appeals, Third Circuit, 2012; U.S. Court of Appeals, Fifth Circuit, 2016; U.S. Court of Appeals, Sixth Circuit, 2010; U.S. Court of Appeals, Seventh Circuit, 2011; U.S. Court of Appeals, Ninth Circuit, 2012; U.S. Court of Appeals, Tenth Circuit, 2014; U.S. Court of Appeals, Eleventh Circuit, 2013; U.S. District Court, Northern District of Illinois, 2006; U.S. District Court, New Jersey, 2011; U.S. District Court, Northern District of Ohio, 2010; U.S. District Court, Eastern District of New York, 2012, U.S. District Court, Southern District of New York, 2012; U.S. Court of Appeals Federal Circuit, 2015; U.S. District Court, Eastern District of Wisconsin, 2014; U.S. District Court, Eastern District of Texas, 2016. Education: University of Michigan Law School (J.D., cum laude, 2006), Campbell Moot Court Executive Board; Clarence T. Darrow Scholar; Northwestern University (B.A. in Economics, 2000). Prior Employment: Judicial Law Clerk to Honorable Kathleen M. O'Malley, United States District Court, Northern District of Ohio, 2008-2010; Litigation Associate, Howrey LLP, 2006-2008; Summer Associate, Howrey LLP, 2005; Summer Associate, Reed Smith LLP, 2004. Awards & Honors: "Rising Star," Consumer Protection, Law360, 2017; "Super Lawyer for New York Metro," Super Lawyers, 2017; "Rising Star for New York Metro," Super Lawyers, 2013-2016. Member: American Association for Justice; Public Justice; Chair, Class Action Committee, Public Justice; Sedona Conference. Publications and Presentations: Contributing Author, "Ninth Circuit Reshapes California Consumer-Protection Law," American Bar Association (July 2012).

**SARAH R. LONDON**, Admitted to practice in California, 2009; U.S. District Court, Northern District of California, 2009; U.S. Court of Appeals for the Ninth Circuit, 2009; U.S.

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District Court, Central District of California, 2010; U.S. Court of Appeals for the Eleventh Circuit, 2012. Education: National Institute for Trial Advocacy, Building Trial Skills: Boston (Winter 2013); University of California, Berkeley, School of Law (Berkeley Law) (J.D., 2009), Order of the Coif, National Runner-Up Constance Baker Motley Moot Court Competition; Northwestern University (B.A., cum laude, 2002). Prior Employment: Public Policy Manager, Planned Parenthood of Kansas and Mid-Missouri (2004-2006). Publications & Presentations: "Reproductive Justice: Developing a Lawyering Model," Berkeley Journal of African-American Law & Policy (Volume 13, Numbers 1 & 2, 2011); "Building the Case for Closing Argument: Mass Torts," Presentation at Consumer Attorneys of California Annual Conference (Fall 2014). Awards & Honors: Selected for inclusion by peers in The Best Lawyers in America in the fields of "Mass Tort Litigation/Class Actions - Plaintiffs," 2017-2019; "Rising Star for Northern California," Super Lawyers, 2012-2019; "Street Fighter of the Year Award Finalist," Consumer Attorneys of California,"2015; Coro Fellow in Public Affairs (St. Louis, 2002-2003). Member: American Association for Justice (Executive Committee Member, Section on Toxic, Environmental, and Pharmaceutical Torts, 2016); The Bar Association of San Francisco; Consumer Attorneys of California (Board of Governors 2012-2013); San Francisco Trial Lawyers Association; State Bar of California; Bar Association San Francisco; American Association for Justice; YWCA San Francisco and Marin County (Board of Directors 2014-2016).

ANNIKA K. MARTIN, Admitted to practice in New York, 2005; U.S. District Court, Southern District of New York, 2005; U.S. District Court Eastern District of New York, 2005. Education: Law Center, University of Southern California (J.D., 2004); Review of Law & Women's Studies; Jessup Moot Court; Medill School of Journalism, Northwestern University (B.S.J., 2001); Stockholm University (Political Science, 1999). Publications & Presentations: Faculty Member, "Mass Tort MDL Certificate Program," Duke Law School Bolch Judicial Institute, March 2019; Speaker, "Certifying a Class on Women's Issues - Pay Equity, Sexual Assault, and More," Women's Issues in Litigation Conference, Santa Monica, CA, October 25, 2018; Co-founder and Producer, "Complex Litigation E-Discovery Forum; Speaker, "Proportionality: What's Happened since the Amendments," Minneapolis, MN, September 28, 2018; Producer & Speaker, "Getting the Most Out of Your Team," AAJ Class Action Litigation Group CLE, Denver, CO, July 18, 2018; Speaker, "Careful What You Wish For: Protecting Data Security in Discovery," ABA 12th Annual National Institute on E-Discovery, Chicago, IL, May 18, 2018; Speaker, "Class Certification," HB Class Action Mastery Conference, New York, NY, May 9, 2018; Producer & Faculty Member, AAJ Effective Legal Writing Workshop, New York, NY, April 12-13, 2018; Co-Editor-in-Chief, "The Sedona Conference Federal Rule of Civil Procedure 34 Primer," 19 Sedona Conf. J. 447, March 2018; aserSpeaker, "Lawyers as Managers," Emory Law's Institute for Complex Litigation & Mass Claims Leadership Conference - Atlanta, GA, January 19, 2018; Speaker, "From Terabytes to Binders: Fusing Discovery and Advocacy Strategies," Georgetown Law's 14th Annual Advanced eDiscovery Institute - Washington DC, November 17, 2017; Co-Editor-in-Chief & Steering Committee Liaison, "The Sedona Conference Federal Rule of Civil Procedure 34 Primer," The Sedona Conference Working Group Series, September 2017; Drafting Team Member, "The Sedona Conference Commentary on Proportionality in Electronic Discovery," The Sedona Conference Journal, Volume 18, May 2017; Producer & Moderator, "The Future of Class Actions," AAJ Class Action Litigation Group seminar – Nashville, TN, May 11, 2017; Producer & Speaker, "Examining Amended Rule 34," The Sedona Conference Working Group 1 Mid-Year Meeting – Minneapolis, MN, May 4-5, 2017;

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Speaker, "The Economic Influence and Role of the Class Representative – Ethical and Policy Issues," Class Action Money & Ethics Conference - New York, NY, May 1, 2017; Producer & Speaker, "Diversity in Law: The Challenges and How to Overcome Them," AAJ Education webinar, March 27, 2017; Co-chair, "Staying Ahead of the eDiscovery Curve: Retooling Your Practice Under the New Federal Rules," 10th Annual Sedona Conference Institute Program on eDiscovery, March 2-3, 2017; Faculty Member, "The Sedona Conference eDiscovery Negotiation Training: Practical Cooperative Strategies," Miami, FL, February 8-9, 2017; Speaker, "Proportionality: What's Happened since the Amendments," Western Trial Lawyers Association CLE, Steamboat Springs, CO, February 2017; "Quality In, Quality Out," Trial Magazine, January 2017; Testified before the Federal Rules Advisory Committee concerning proposed amendments to Federal Rule 23, Phoenix, AZ, January 4, 2017; Profiled in "Women of Legal Tech: From Journalism to Law", LegalTech News – December 8, 2016; Speaker, "Closure Mechanisms," Federal Judicial Center / Judicial Panel on Multidistrict Litigation Conference, Atlanta, GA, December 15, 2016; Speaker, "Getting Selected for Leadership – What Decisionmakers Look For and How to Overcome Common Barriers," Emory Law Insitute for Complex Litigation & Mass Claims, Atlanta, GA, December 14, 2016; Producer & Speaker, "Mitigating Explicit and Implicit Bias in Associate Recruitment and Retention," AAJ Hot Topics: Diversity in the Law, Charlotte, NC, November 30, 2016; Speaker, "The New Rules x 1 Year: Sanctions," Georgetown Law Advanced E-Discovery Institute, Washington DC, November 10-11, 2016; Faculty Member, AAJ Effective Legal Writing Workshop, Washington DC, November 3-4, 2016; Speaker, "Proportionality under the Amended FRCP 26", Complex Litigation E-Discovery Forum, Minneapolis, MN, September 25, 2016; Speaker, "Proportionality: What's Happened since the Amendments," Complex Litigation E-Discovery Forum, Minneapolis, MN, September 23, 2016; Moderator, "Who Will Write Your Rules—Your State Court or the Federal Judiciary?," Pound Civil Justice Institute Forum for State Appellate Court Judges, Los Angeles, CA, July 23, 2016; Producer, Moderator & Speaker, "Dissecting the U.S. Supreme Court Decision in Spokeo, Inc. v. Robins," American Association for Justice webinar, May 26, 2016; Moderator & Speaker, "Consumer Class Actions," HB Litigation Conference, San Juan, PR, May 4, 2016; Faculty Member, The Sedona Conference eDiscovery Negotiation Training: Practical Cooperative Strategies, Washington, DC, March 1-2, 2016; Producer & Speaker, "The 2015 Amendments to the Federal Rules of Civil Procedure," New York, NY, February 9, 2016; "How to Stop Worrying and Love Predictive Coding," Trial Magazine, January 2016; Speaker, "How Will New Rule 26(b)(1) on Proportionality Impact Search and the Use of Search Technology?," Innovation in E-Discovery Conference, New York, NY, December 9, 2015; Speaker, "New Forms of Communication," Innovation in E-Discovery Conference, New York, NY, December 9, 2015; Speaker, "2015 Amendments to Federal Civil Rules," Tennessee Bar Association CLE, Nashville, TN, December 2, 2015; "Discovery Proportionality Guidelines and Practices," 99 Judicature, no. 3, Winter 2015, at 47-60 (Complex Litigation Drafting Team Leader); Speaker, "Check Your Sources: Understanding the Technical Aspects of Data Collection", Georgetown Advanced E-Discovery Institute, Washington, DC, November 19, 2015; Speaker, "The Contentious Battle over Search Protocols in e-Discovery", Association of Certified E-Discovery Specialists webinar, October 8, 2015; Speaker, "Proportionality in Preservation and Discovery," The Sedona Conference Working Group 1 Mid-Year Meeting, Dallas, TX, April 30, 2015; Speaker, "Ethical Challenges in eDiscovery: Representing Clients Responsibly," The Sedona Conference Institute, Nashville, TN, March 20, 2015; Speaker, "Issue Classes under Rule 23," Western Trial Lawyers Association CLE, Squaw Valley, NV, February 2015; Speaker, "Issue Classes under Rule 23,"

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American Association for Justice Winter Convention, Palm Desert, CA, February 24, 2015; "An Introduction to Issue Classes under Rule 23(c)(4)," American Association for Justice Winter Convention published materials, February 2015; Speaker, "Shifting and Sharing the Costs of Preservation and Discovery: How, When, and Why," Bloomberg BNA webinar, November 18, 2014; Speaker, "Application of Proportionality in Preservation and Discovery," The Sedona Conference All Voices Meeting, New Orleans, LA, November 5, 2014; Speaker, "A Tour of TAR (Technology-Assisted Review)," The Sedona Conference All Voices Meeting, New Orleans, LA, November 7, 2014; Speaker, "Data Privacy and Security Are Front and Center in Litigation News - Substantive Claims and eDiscovery Issues Abound," Georgetown Advanced E-Discovery Institute, Tysons Corner, VA, November 21, 2014; Interviewed re class action litigation regarding defective products on China Central Television for China's national "Consumer Protection Week" feature programming – CCTV, March 15, 2014; Organizer & Speaker, "Introduction to TAR," Lieff Cabraser Heimann & Bernstein CLE, New York, NY, August 18, 2014; Speaker, "Motions to Strike Class Allegations Using 'Predominance'," Strafford webinar, August 6, 2014; "Wit and Wisdom," Trial Magazine, Volume 49, No. 12, December 2013; Speaker, "Status of Subsistence Claims in BP Oil Spill Settlement," American Association for Justice Annual Convention, San Francisco, CA, July 2013; "Stick a Toothbrush Down Your Throat: An Analysis of the Potential Liability of Pro-Eating Disorder Websites," Texas Journal of Women & the Law, Volume 14 Issue 2, Spring 2005; "The Gift of Legal Vision," USC Law, Spring 2003; "Welcome to Law School," monthly column on www.vault.com, 2001 - 2004. Awards and Honors: "Leaders in the Field - Litigation: E-Discovery," Chambers USA, 2017; "Rising Star for New York Metro," Super Lawyers, 2013-2015; Wiley W. Manuel Award for Pro Bono Legal Services awarded by the State Bar of California for voluntary provision of legal services to the poor, 2005. Member: American Association for Justice (Co-Chair, Class Action Litigation Group, 2016); American Association for Justice (Steering Committee of the Public Education Committee); Barrister of the New York American Inn of Court; Emory University Law School Institute for Complex Litigation & Mass Claims (Next Generation Advisory Board Member); Georgetown Law Advanced E-Discovery Institute (Advisory Board and Planning Committee); New York City Bar Association; New York County Lawyer's Association; New York State Bar Association; Swedish American Bar Association; The Sedona Conference Working Group 1 (Steering Committee Member). Languages: Swedish (fluent); French (DFA1-certified in Business French); Spanish (conversational).

MICHAEL J. MIARMI, Admitted to practice New York, 2006; U.S. District Court, Eastern District of New York, 2012; U.S. District Court, Southern District of New York, 2012; U.S. Court of Appeals for the Second Circuit, 2011; U.S. Court of Appeals for the Third Circuit, 2007; U.S. Court of Appeals for the Eighth Circuit, 2007; U.S. Supreme Court, 2011. Education: Fordham Law School (J.D., 2005); Yale University (B.A., cum laude, 2000). Prior Employment: Milberg Weiss LLP, Associate, 2005-2007. Awards & Honors: "Rising Star for New York Metro," Super Lawyers, 2013-2017. Publications & Presentations: Co-Author with Steven E. Fineman, "The Basics of Obtaining Class Certification in Securities Fraud Cases: U.S. Supreme Court Clarifies Standard, Rejecting Fifth Circuit's 'Loss Causation' Requirement," Bloomberg Law Reports (July 5, 2011). Member: State Bar of New York; New York State Trial Lawyers Association; Public Justice Foundation; American Bar Association; New York State Bar Association.

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**DAVID RUDOLPH**, Admitted to practice in California, 2004; U.S. District Court, Northern District of California, 2008; U.S. District Court, Southern District of California, 2008; U.S. Court of Appeals for the Ninth Circuit, 2009; U.S. Court of Appeals for the Federal Circuit, 2012. *Education:* University of California, Berkeley, School of Law (Berkeley Law) (J.D. 2004); Moot Court Board; Appellate Advocacy Student Advisor; Berkeley Technology Law Journal; Berkeley Journal of International Law; Rutgers University (Ph.D. Program, 1999-2001); University of California, Berkeley (B.A. 1998). *Awards & Honors:* "Outstanding Private Practice Antitrust Achievement," American Antitrust Institute, 2017. *Prior Employment:* Associate, Quinn Emanuel Urquhart & Sullivan, LLP, 2008-2012; Law Clerk to the Honorable Saundra Brown Armstrong, U.S. District Court for the Northern District of California, 2007-2008.

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Language Issues in the Workplace," ABA Labor & Employment Section, November 2012 (moderator); "U.S. v. Fort and the Future of Work-Product in Criminal Discovery," 44 Cal. W. L. Rev. 127, 12293 (Fall 2007); "Rule 23 Basics," Impact Fund Class Action Training Institute, May 2011; "A Place At The Table? Recent Developments in LBGT Rights," ABA Labor & Employment Section Conference, April 2012 (moderator); "Transgender Workplace Issues After the EEOC's Landmark Macy Ruling," Bar Association of San Francisco, September 2012 (moderator); CAOC, "Latest Developments in Employment and Wage and Hour Law," February 25, 2014 (speaker). Member: Bar Association of San Francisco; Consumer Attorneys of California; National Employment Lawyers Association; American Bar Association Equal Employment Opportunity Committee (Co-Chair); Programs Committee.

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Scandal," 11 Business Torts & RICO News 1 (Summer 2015). *Member*: American Association for Justice, Bar Association of San Francisco, Consumer Attorneys of California, State Bar of California, San Francisco Trial Lawyers Association.

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Litigation and Class Actions, 1972-73); American Bar Association (Section on Corporation, Banking and Business Law); Lawyers Club of San Francisco; San Francisco Trial Lawyers Association; California Trial Lawyers Association; Consumer Attorneys of California; Fight for Justice Campaign.

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Specific Forms of Markets, 6 Charleston L. Rev. 163 (2012); Changing Demographics and Language: A New Challenge to Legal Services Programs, 26 J. Mgmt. Info. Exchange (Winter Issue) 9 (2011). *Member:* State Bar of California.

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Education, Health, and Environmental Affairs Committee, Maryland Senate (February 2016); Christie Misses a Golden Opportunity for the Garden State, The Huffington Post (November 2015); Panelist, "Voting Rights Panel," SiX National Legislator Conference, Washington, DC (October 2015). *Prior Employment:* Counsel, Brennan Center for Justice at NYU School of Law (2015-2017); Trial Attorney, U.S. Department of Justice Antitrust Division, Litigation I Section (2008-2015); Law Clerk to Judge Noël A. Kramer, District of Columbia Court of Appeals (2007-2008).

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